

Article

Asymmetry, Symmetry and Beauty

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Abstract: Asymmetry and symmetry coexist in natural and human processes. The vital role of symmetry in art has been well demonstrated. This article highlights the complementary role of asymmetry. Further we show that the interaction of asymmetric action (recursion) and symmetric opposition (sinusoidal waves) are instrumental in generating creative features (relatively low entropy, temporal complexity, novelty (less recurrence in the data than in randomized copies and complex frequency composition). These features define Bios, a pattern found in musical compositions and in poetry, except for recurrence instead of novelty. Bios is a common pattern in many natural and human processes (quantum processes, the expansion of the universe, gravitational waves, cosmic microwave background radiation, DNA, physiological processes, animal and human populations, and economic time series). The reduction in entropy is significant, as it reveals creativity and contradicts the standard claim of unavoidable decay towards disorder. Artistic creations capture fundamental features of the world.

Keywords: poetry; music; bios; chaos; creativity

1. Introduction

Here we study music and poetry with mathematical methods that analyze symmetry and creativity. The relation between music and mathematics has been recognized since Pythagoras. The concept of symmetry has found many applications in science and art, but the term is given several different interpretations. Thermodynamics defines the spontaneous increase in entropy as an increase in symmetry, and, according to nineteenth century thermodynamics, natural processes tend to decay. In contrast, physics interprets symmetry as regularity, and esthetics highlights symmetry as a defining

feature of beauty and art. Evolutionary theory states that natural processes are creative; human and artistic creativity thus continue natural creativity.

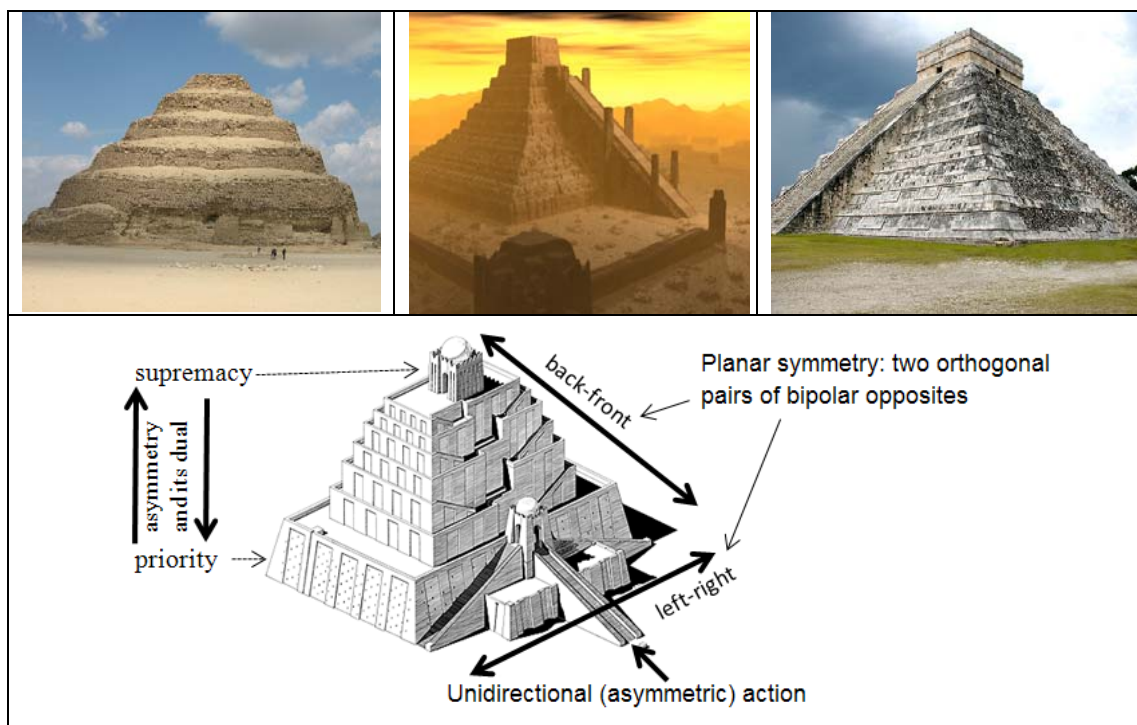
The term symmetry originally meant a sense of harmonious, aesthetically pleasing proportionality that embodies beauty. Soon the term symmetry acquired a more precise meaning of mathematical balance such as when one shape becomes exactly like another if you flip ("mirror" symmetry), slide, or turn it. In time, symmetry came to be defined when this internal identity could be demonstrated according to the rules of a formal system. A mathematical object is symmetric with respect to a given mathematical operation, if, when applied to the object, this operation preserves some property of the object. The set of operations that preserve a given property of the object form a group. Symmetry has been transformed in physics to mean invariance under any kind of transformation. According to Noether's theorem, a continuous mathematical symmetry corresponds to a conserved quantity. Symmetry has come to mean any form of conservation; conversely, creation is associated with change and asymmetry. There is an ideological implication in interpreting symmetry as conservation. In this sense, it is said that most if not all laws of nature originate in symmetries [1]. Asymmetries such as the golden proportion are described as "symmetries". This overlooks the fundamental role of asymmetry in physical and esthetic processes.

Symmetry does not exclude its opposite, asymmetry, but it is paired with it, as all opposites are. Indeed, for reasons of symmetry, symmetry itself must be paired with asymmetry. The concept of asymmetry has evolved from the mere lack of symmetry to the asymmetry of time in physics and biology, the discovery of asymmetry in biological molecules by Pasteur, and the demonstration of symmetry breaking as a core process in physical and biological evolution, from Pierre Curie to the 2008 Nobel prize winners Nambu, Kobayashi, and Maskawa. Parity symmetry is violated by phenomena that involve the weak force, and there also are violations of charge symmetry and of CP-symmetry. Asymmetry is central in natural and human processes. Asymmetry is also central to beauty and art, as illustrated by the golden proportion 1:1.618, utilized in classic architecture, renaissance and contemporary painting, books, and postcards.

Asymmetry and symmetry coexist as fundamental forms among mathematical and psychological structures. Bourbaki, a seminal group of mathematicians, described the architecture of mathematics as based on three foundations, lattices, groups and topology [2]. Lattices, groups and topology are mathematical abstractions of order, symmetry, and spatial form. The leading Swiss psychologist Jean Piaget [3] was astonished to realize that the fundamental cognitive structures he had discovered in the study of mental development corresponded to Bourbaki's three 'mother structures' of mathematics.

Bourbaki's mother forms—linear asymmetry, two-dimensional and bipolar symmetry, and vertical hierarchy as a sequence of steps—were prefigured in one of the earliest artistic archetypes: the first pyramid constructed by the prime minister and physician Imhotep (author of the first medical (and scientific) textbook, identified with Asclepius by the Greeks and the Romans), the Ziggurats built by the Sumerians, Elamites and Assyrians, and the step pyramids built by the Mayans, Mexicans and Incas (figure 1).

Figure 1. Step pyramid archetype. Top: early pyramids in Egypt, Middle East, and Mexico. Bottom: Diagram illustrating how it captures asymmetry, symmetry of opposites, and transformation from simple and larger to a smaller top.



The Bourbaki forms also correspond to macroscopic features of the three dimensions of the Central Nervous System [4] that is the most complex system known: the asymmetry of sensory to motor function in its dorsal ventral axis, symmetry in its right-left axis, and the creation of complex forms from the folding of simpler ones in the vertical axis. Thus Sabelli [4-6] proposed that asymmetry, symmetry and continuous topological transformation from simple to complex (evolution) are the three fundamental patterns of processes, and that asymmetry and symmetry represent a primordial opposition that accounts for creativity in nature as well as in art.

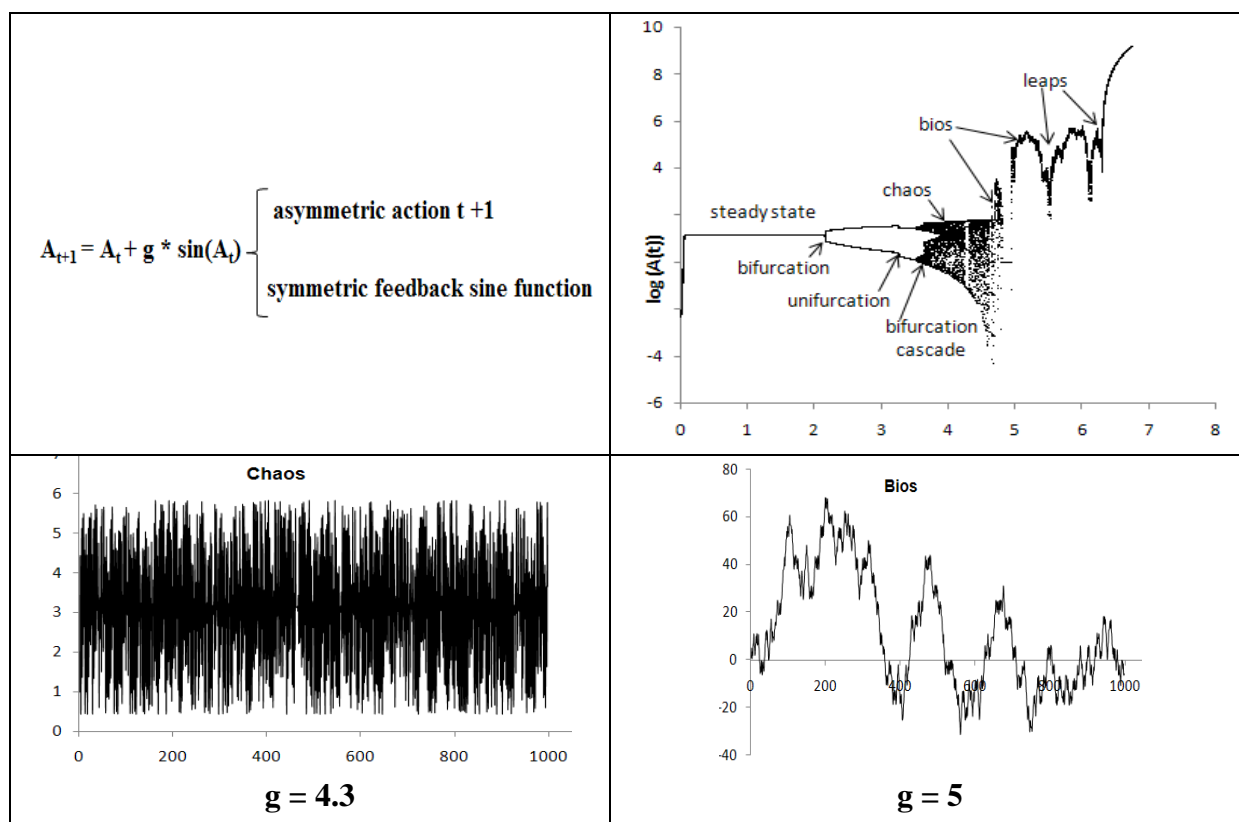
Asymmetry is evident in non-stationary and non-periodic processes, both stochastic (random walks) and causal (Bios). Together, asymmetry, creativity and nonrandom causation define Bios [5], a fractal pattern generated mathematically by recursions that involve both asymmetry and symmetry (figure 2). We first identified Bios as a distinct pattern when studying heartbeat series; this led us to develop analytic methods that demonstrate causality and creativity in empirical processes. Bios is commonly found in natural and human processes: quantum processes [7], the expansion of galaxies and quasars in three surveys [8,9,10] gravitational waves [11], cosmic microwave background radiation [10], DNA [5], physiological processes [5,12-17], animal populations [18] and human populations [5], economic time series [19-21] and the prime numbers [22]. Levy *et al* [23] have already demonstrated Bios in several musical scores; we have expanded this work in this and other publications [24]. In other words, asymmetry is natural, a core property of complex and creative processes.

Causality and creativity define Bios and differentiate it from chaos and from random walks. Bios can be generated mathematically by several recursions that combine the temporal asymmetry of action and the symmetry of bipolar feedback [5,9]. The sinusoidal function provides a mathematical model

for the philosophical tenet advanced by Heraclitus, Empedocles, Lao-tzu, Hegel, Darwin, and Salk that the interaction of opposites creates novel and complex processes [4]. This connects Bios with both creativity and a well-supported hypothesis regarding its generation.

To study artistic creations and archetypes in relation to natural processes is meaningful. Artistic archetypes portray fundamental patterns of nature, as highlighted by Pythagoras who found in music the mathematics of the universe. In this paper we demonstrate asymmetry, symmetry and creativity in music and poetry using mathematical techniques that measure these features in time series.

Figure 2. Process equation. The sequence of patterns in steps of increasing complexity in the time series generated by the equation as g increases (logarithmic scale).



2. Methods

The data presented in the figures above are time series and therefore have no physical dimensions. We analyzed the sequence of notes in musical scores and the sequence of letters in literary texts in English and Spanish. The data were compared with mathematical models of non-periodic variations: random series, random walks, and stationary Chaos and non-stationary Bios generated by the process equation [25] (figure 2) for $g = 4.3$ and 5.

We measure the degree of asymmetry these musical and poetic texts and its changes in time by dividing the series into epochs and computing the middle, mean and median of each epoch. To measure symmetry and opposition we use complement plots [26] (graphs of the sine versus cosine of each term of the series) and trigonometric walks [27] (cumulative sum of the sine versus cosine of each term of the series). Complement plots omit the linearity of time but conserve temporal order (in the

lines connecting successive points of the series). As sine and cosine are orthogonal to each other, this creates a 2-D plot. Sine and cosine are out of phase; one of these opposites is larger at each time and the data are plotted in a 3-D helix rather than a 2-D circle. Complement plots thus assume the priority of time, the asymmetry of opposites and the alternation of their relative size. The helix is a classic portrait of dialectic oscillation of opposites

We analyze these musical and poetic texts with recurrence methods using the Bios Data Analyzer [28]. Given a series A_1, A_2, \dots, A_N , we construct the sequences (Euclidean vectors) y_i of N successive members of a time series [$y_i = (A_i, A_{i+1}, A_{i+2}, \dots, A_{i+N})$] starting with each data point A_i . This is referred to as embedding in dimension N . *Recurrence isometries* are calculated by comparing the Euclidean norms of these vectors, and if the difference between them is less than a chosen cutoff radius (1%), a recurrence is plotted and counted. We have made calculations with many cutoff radii, ranging from 0.1 to 50 % and the results are similar. The quantification of recurrences at low and high embeddings allows one to consider both simple and complex patterns. *Recurrence plots* graph isometries as a function of time. Recurrences are colored according to their distance from red to violet. Both types of recurrence generate the same pattern in recurrence plots, but the number of isometries increases and the number of similarity recurrences decreases with the length of the vector. *Isometry* is the number of isometric recurrences as a percentage of the total number of possible recurrences in the sample ($N \times N / 2$). The number of isometries (as % of the total number of possible recurrences) is computed for the original data and for a copy of the data randomized by shuffling. *Novelty* is defined as the increase in recurrence isometry produced by shuffling the data [29,30], and is quantified as the ratio of isometries after shuffling over isometries in the original data. Novelty is a key measure to distinguish creative from non-creative processes. Novelty is demonstrable for recurrence isometry but not for similarity recurrence. Embedding plots present the value of novelty computed with 2, 3, ..., 100 embeddings.

In addition to analyzing the time series, we also analyzed the time series of difference between consecutive terms. Periodic series as well as causally generated non-periodic series (chaos, bios) show morphological and numerically quantifiable pattern in the series of differences, while random series and random walks do not show pattern (randomness) in the series of differences between consecutive terms (except at very high embeddings).

The standard deviation S.D. = $\sqrt{(\sum (x_i - \text{mean})^2 / N)}$ of the series was recorded as a function of time. Series converging to an attractor display a decrease in S.D. with increasingly larger samples. In contrast, diversification is the increase in variance of a time series with an increase of the size of the sample (*global diversification*) or with increasing the embedding (*local diversification*): the SD is computed for sets ("embeddings") of 2, 3, ..., 100 consecutive terms of the time series, starting with each term in the series. The values obtained for each embedding are averaged for the entire series, and these averages are plotted as a function of the number of embeddings.

The power spectrum of these series was calculated using a program developed by Patel (Patel and Sabelli, 2003).

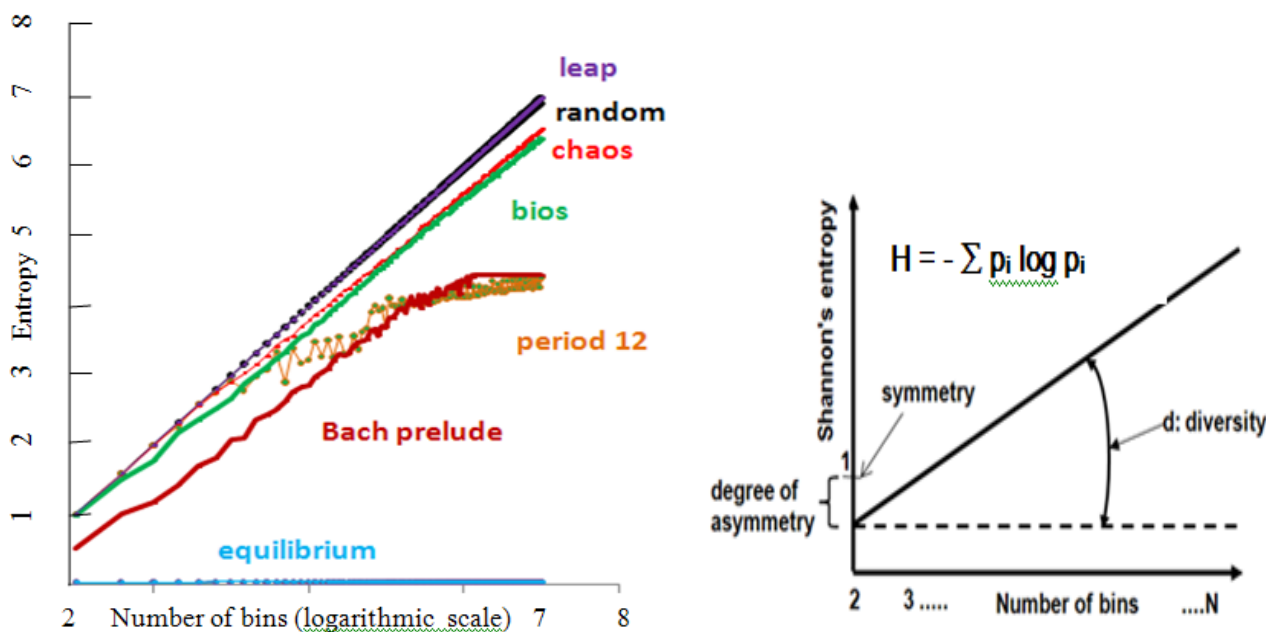
We measured entropy H with the de Moivre equation adopted by Boltzmann, and by Shannon to measure entropy, using a range of bins ($N = 2, 4, 8, \dots$), and plot the value of entropy as a function of the logarithm of the number of bins (figure 3). The values for entropy H a linear function of the logarithm of the number of bins:

$$H = s + d \cdot \log_2 n$$

where n is the number of bins used to calculate entropy [31]. The slope d (for diversity) of the entropy-bin regression line measures diversity of the data (figure 2); the slope is 0 for numerical series with two equally probable values, regardless of their temporal arrangement (random or periodic), and increases with the number of different values in the data from period 2 to period 3, 4, ..., and chaos. Linearly increasing series, random series, statistical noise (random walks, pink noise), chaotic series, biotic series, and many empirical time series have a near 1 slope.

The intercept s (for symmetry s) of the entropy-bin regression line at 2 bins (the least number of bins required for the calculation of entropy) is 1 for all symmetric distributions (random, sinusoidal, chaotic); asymmetry y ($s < 1$) is present in mathematically generated biotic series, in random walks and in empirical data (physical, biological, economic).

Figure 3. Entropy-bin plot. Plot of informational entropy as defined by Shannon. It shows the calculation of the degree of symmetry (entropy at 2 bins = 1 for perfect symmetry and less than 1 for asymmetry) and diversity (slope). Many natural and human processes are highly asymmetric. Biotic series and random walks have a small degree of asymmetry, while chaos, random, and periodic series are symmetric.



3. Results

3.1. Asymmetry and Symmetry

Histograms (figure 4) and Pareto histograms (figure 5) demonstrate asymmetry in the distribution of notes and letters in musical and literary texts. Statistical measures quantify asymmetry and demonstrate non-stationarity (figure 6 and Table 1).

Figure 4: Histogram showing asymmetry in the statistical distribution of letters in poetry (Hugo’s *A Sunset* and Wordsworth’s *Lines Written as a School Exercise at Hawkshead*) and musical notes (Georges Bizet’s “Habanera” from *Carmen* and Bach’s *Prelude*). Units: the number of occurrences are plotted in the y-axis.

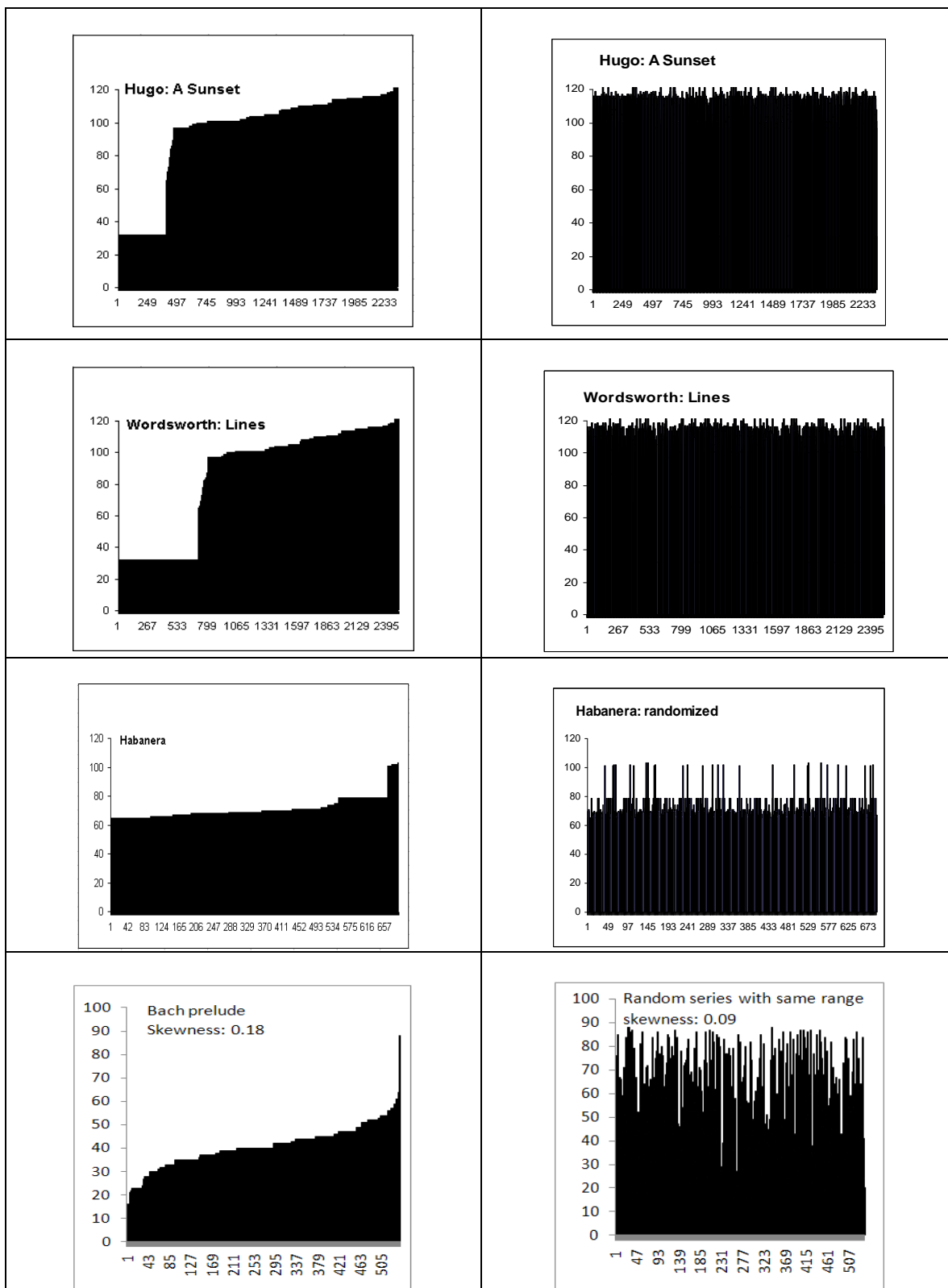


Figure 5. Pareto histogram and logarithmic trendline (except for random walk, where a linear trendline fits).

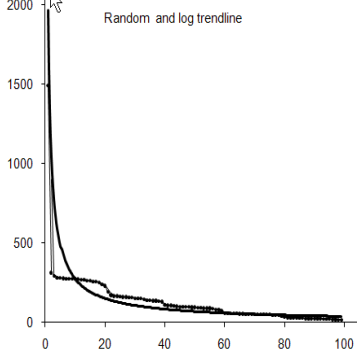
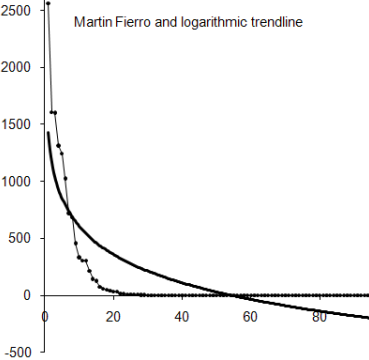
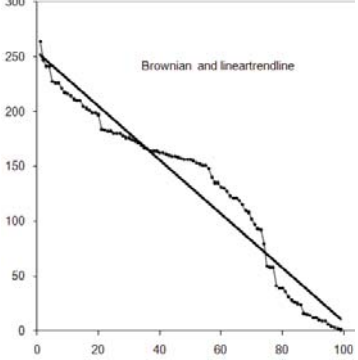
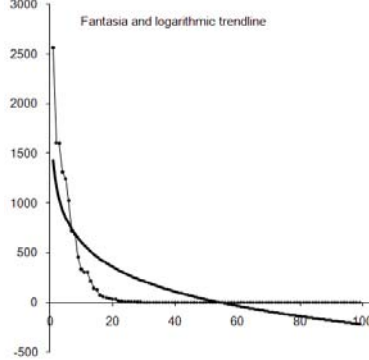
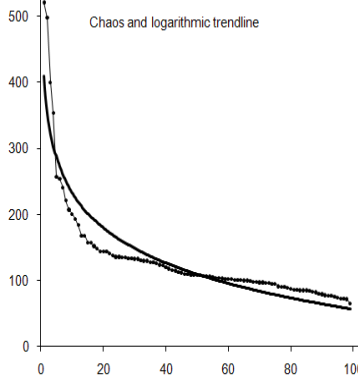
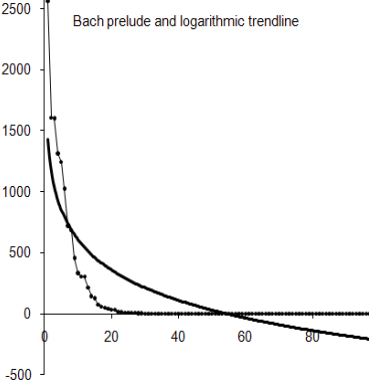
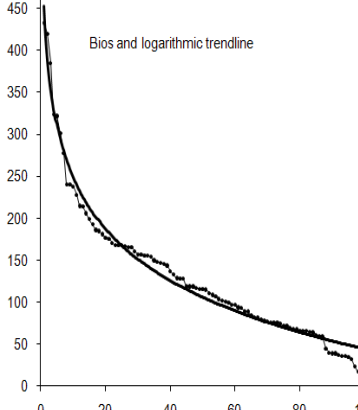
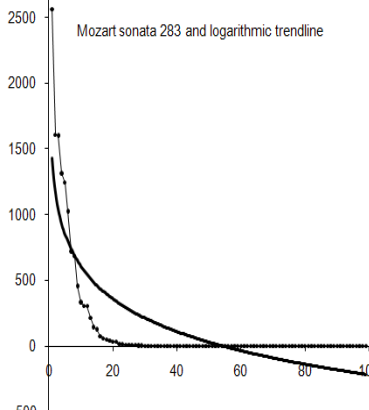
	Mathematical series		Artistic series
Random and logarithmic trendline		José Hernández, Martin Fierro “Aqui me pongo a cantar...” and logarithmic trendline	
Random walk and <u>linear</u> trendline		Bach, <i>Fantasia</i> and logarithmic trendline	
Chaos Process equation $g = 4.3$ and logarithmic trendline		Bach <i>Prelude</i> and logarithmic trendline	
Bios Process equation $g = 4.7$ and logarithmic trendline		Mozart <i>Sonata No. 14, K.457</i> , and logarithmic trendline	

Figure 6. Quantification of asymmetry in music and poetry.

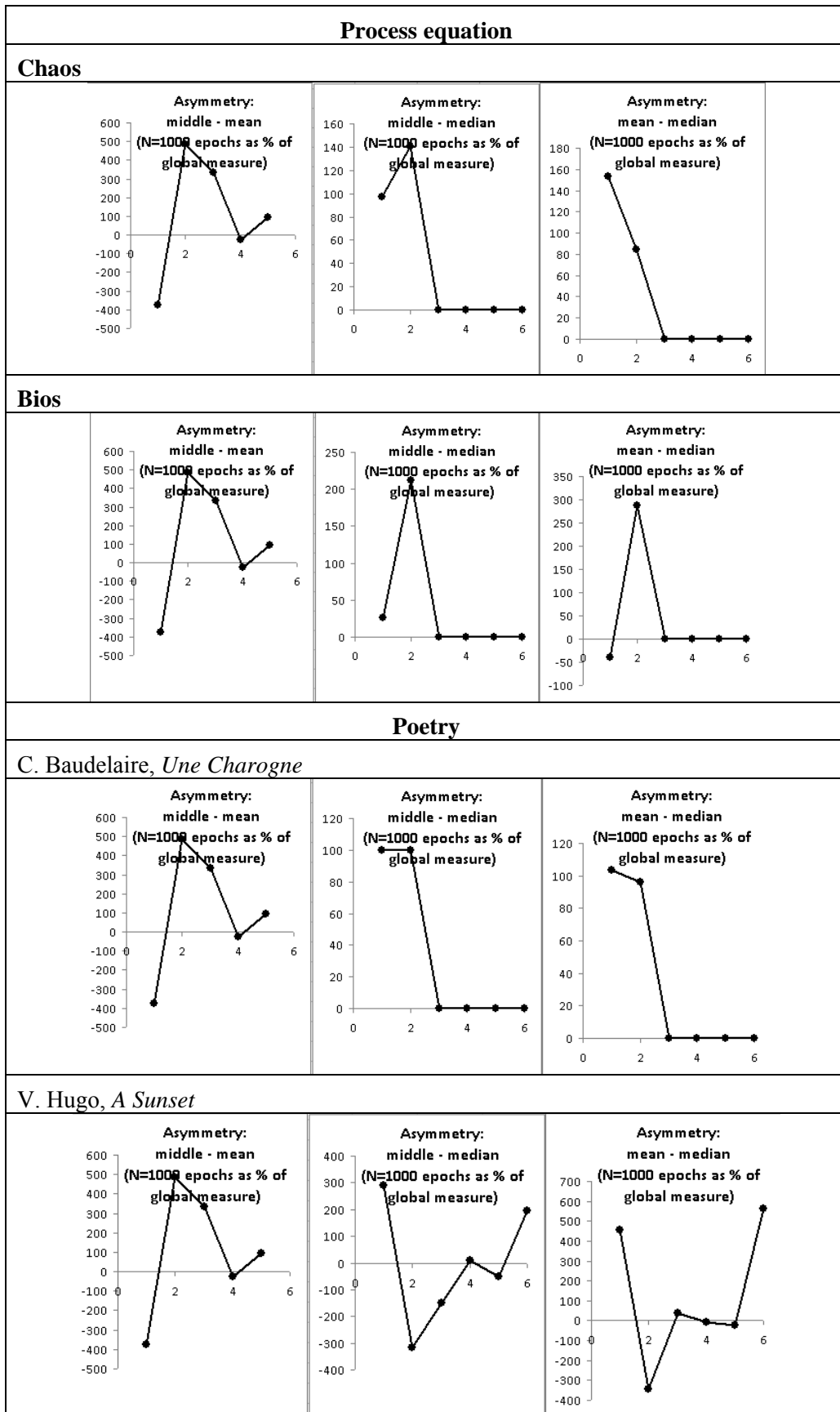


Figure 6. cont.

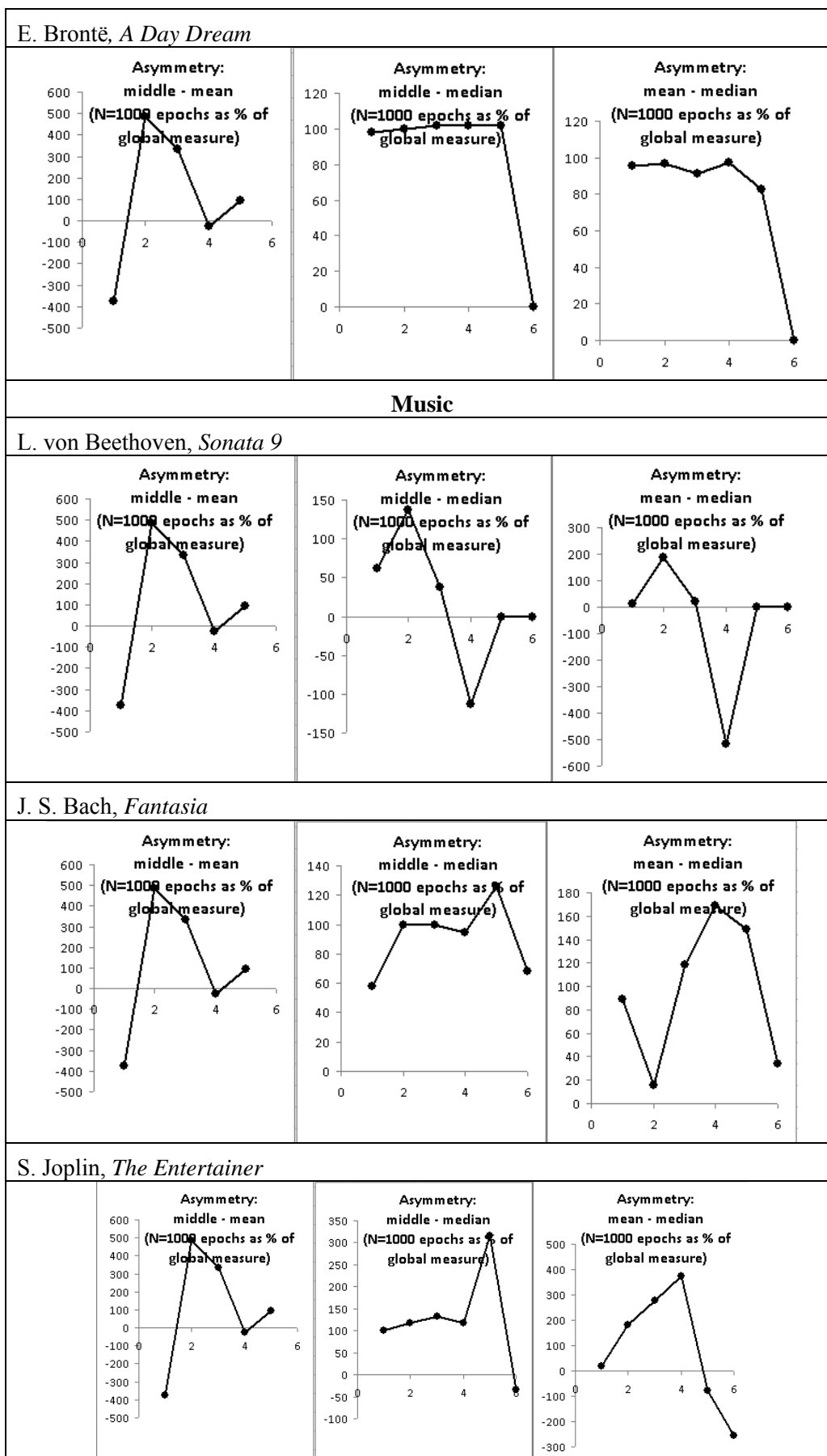


Table 1. Asymmetry of opposites.

Series	Max	Min	Middle	Mean	Median	Mean - median	Middle - median	Middle - mean	Cosine/sine average
Random	9.97	0.02	5.00	4.91	4.94	-0.03	0.039	0.065	4.91
Random Walk	5.97	-5.00	5.49	0.33	0.16	0.16	5.32	5.159	0.33
Chaos	5.79	0.49	2.65	3.16	3.10	0.06	-0.45	-0.50	3.16
Bios	17	-43.87	30.43	-14.02	-13.02	-1.00	43.45	44.45	-14.02
Prime Numbers	7919	2	3959	3683	3576	106.91	382.5	275.59	-0.004
Music									
Bach: <i>Prelude</i>	63	16	23.5	40.52	40	0.522	-16.5	-17.02	40.52
Bach: <i>Fantasia</i>	59	11	24	39.2	41	-1.80	-17	-15.20	39.20
Freeman: <i>Affair in San Miguel</i>	59	8	25.5	36.33	42	-5.67	-16.5	-10.83	36.33
Duke: <i>April in Paris</i>	59	3	28	37.47	36.5	0.97	-8.5	-9.47	37.47
Poetry									
Whitman: <i>A Sight in Camp</i>	121	32	44.5	92.3	104	-11.70	-59.5	-47.80	92.30
Rumi: <i>Descent</i>	122	32	45	90.59	104	-13.41	-59	-45.59	90.59
Neruda: <i>Puedo escribir</i>	122	32	45	92.16	105	-12.84	-60	-47.16	92.16
Fierro: <i>Aquí me pongo a cantar</i>	243	32	105.5	96.12	105	-8.88	0.5	9.38	96.12
Zorrilla: <i>Don Juan Tenorio</i>	233	32	100.5	87.1	101	-13.90	-0.5	13.4	87.10

Two dimensional plots (figure 7) do not show simple patterns as in chaos or bios. Trigonometric plots (figure 8) show rounded complement plots (not partial as in chaotic attractors), significant asymmetry. Table 1 presents statistical and trigonometric measures of the asymmetry of opposites.

Figure 7. Time series $A(t)$, and twodimensional plot of $A(t)$ vs. $A(t+1)$.

	Mathematical series		Artistic series		
Random			José Hernández, Martin Fierro “Aqui me pongo a cantar...”		
Random walk			J.S. Bach, <i>Fantasia</i>		
Chaos Process equation $g = 4.3$			J. S Bach, <i>Prelude</i>		
Bios Process equation $g = 4.7$			W. A. Mozart, <i>Sonata No. 14, K. 457</i>		

Figure 8. Complement plots (left) and trigonometric walks (right) of musical compositions and of poems.

Bach, <i>Prelude</i>	<p>Complement plot</p>	<p>Trigonometric walk</p>
Bach, <i>Fantasia</i>	<p>Complement plot</p>	<p>Trigonometric walk</p>

Figure 8. cont.

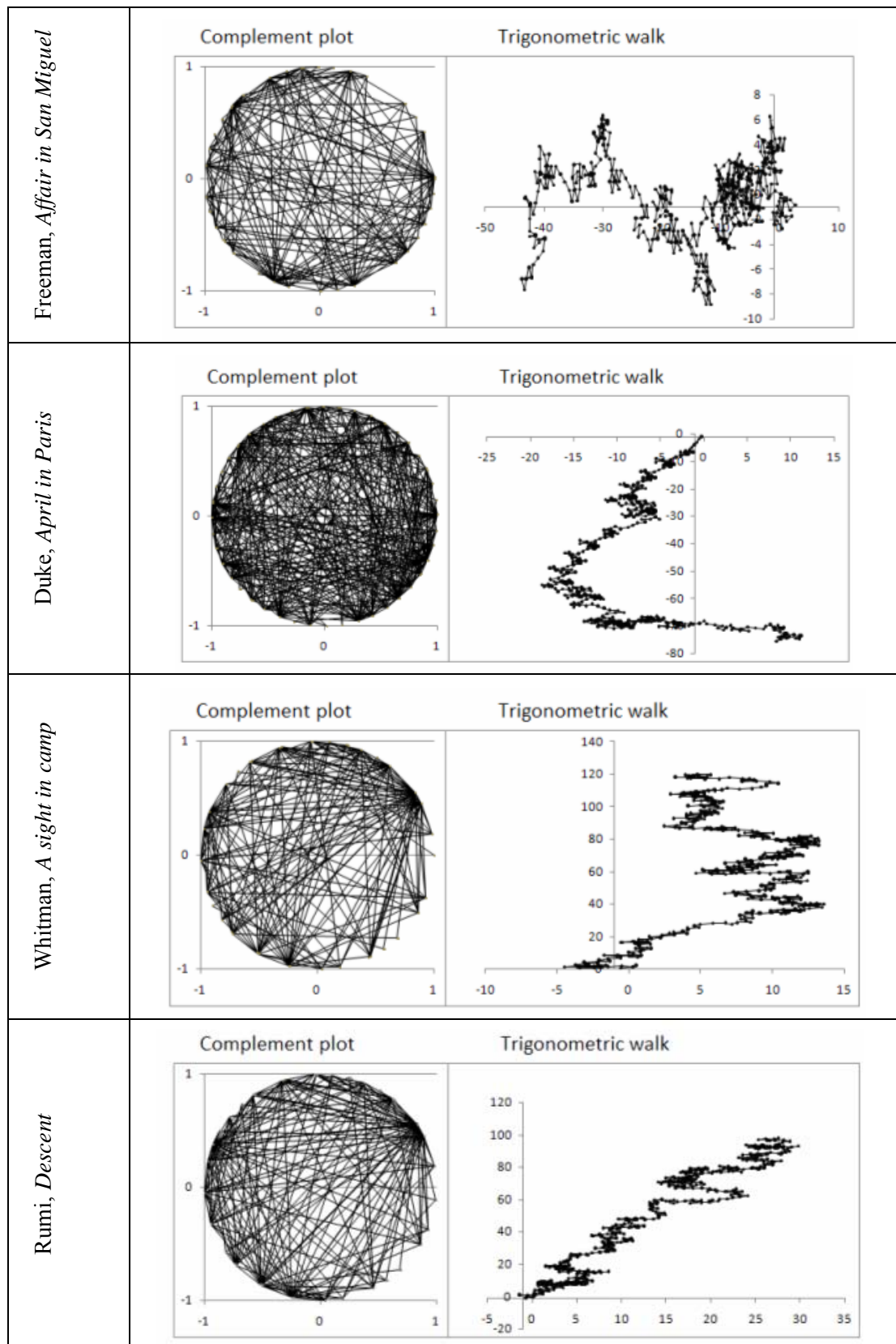
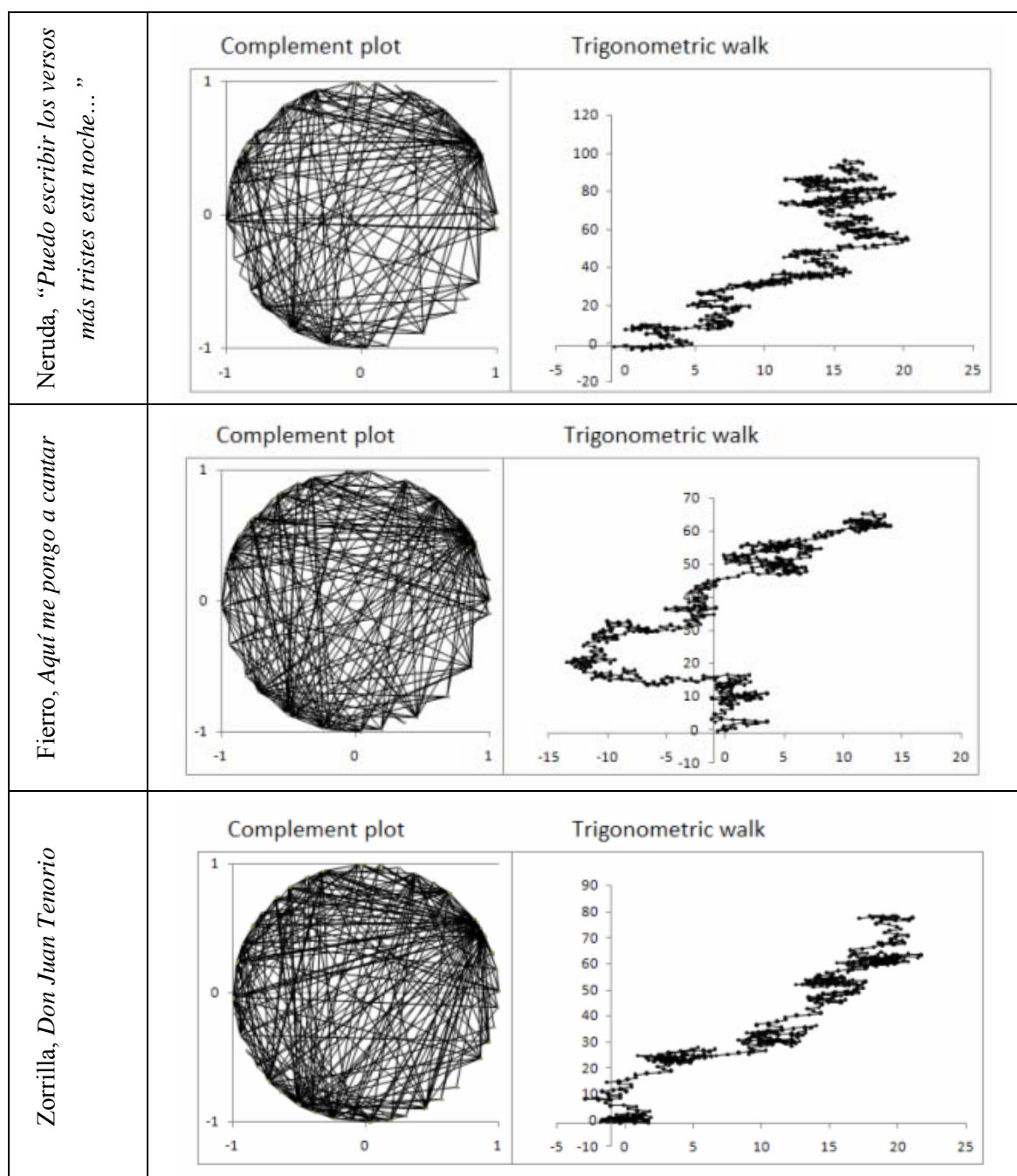


Figure 8. cont.



3.2. Entropy

Table 2 shows that maximal entropy occurs for ordered series such as a sequence of linearly increasing numbers, not for random data, as often assumed. Musical and literary texts have relatively low entropy. Figure 9 shows that this low entropy results from low symmetry rather than from decreased diversity. Both of these results are also observed in biotic series generated mathematically as well as in natural processes.

Table 2. Low entropy of music and literary compositions.

Series	Entropy at 16 bins
Music	
Debussy, <i>Études</i>	3.20296
Di Capua, <i>O Sole Mio</i>	2.15
Beethoven, <i>Für Elise</i>	2.07
Chopin, <i>Ballade No. 4 in F</i>	3.54696
Denver, <i>Country Roads</i>	3.638
Bach, <i>Fantasia</i>	3.65822
Poetry	
Hugo, <i>A sunset</i>	1.75256
Pushkin, <i>Eugene Onegin</i> book I & II	1.8449
Goethe, <i>To Luna</i>	2.99606
Mandelstam, <i>Tristia</i>	2.94824
Blake, <i>On Another's Sorrow</i>	2.62664
Whitman, <i>A Sight in Camp</i>	2.67581
Mathematical series	
Random	3.57727
Random walk	3.54639
Linearly increasing numbers	4
Leap (process equation, $g = 2 * \pi$)	4
Sine wave	3.78594
Devil staircase	3.17955
Lorenz chaos	3.83145
Rosler chaos	3.94242
Process equation chaos	3.76694
$A_{t+1} = A_t + 4.3 * \sin(A_t)$	
Bios: Process equation	3.62817
$A_{t+1} = A_t + 4.65 * \sin(A_t)$	
Bios: Sum of 4 sine waves	3.75031
Bios: Sum of 3 sine waves	3.93651
Natural and social processes	
Distance between galaxies	3.96239
Schrödinger's equation	3.64343
El Niño	3.66817
Air Temperatures	3.23883
Clear Water River	2.48224
Earthquakes	2.37551
MSN Temperature	3.67427
Heartbeat intervals	3.50399
Electroencephalogram	3.19064
Human DNA	3.67782
Human RND	3.96081
France population	3.51594
Daily bond yield DAAA	3.62622

Figure 9. Entropy of musical compositions and of literary texts as a function of the number of bins. The musical compositions analyzed include: Eduardo di Capua’s *O Sole Mio*, Georges Bizet’s Habanera from *Carmen*, Bach’s *Crab Canon*, Mozart’s *Fur Elise*, the American Spiritual *Swing Low Sweet Chariot*, and Chopin’s *Ballade no. 4*. The poems are: Wordsworth’s *Lines Written as a School Exercise at Hawkshead*, Pushkin’s *Eugene Onegin*, Ginsberg’s *Howl*, Hugo’s *A Sunset*, Mandelstam’s *Tristia*, and Goethe’s *To Luna*.

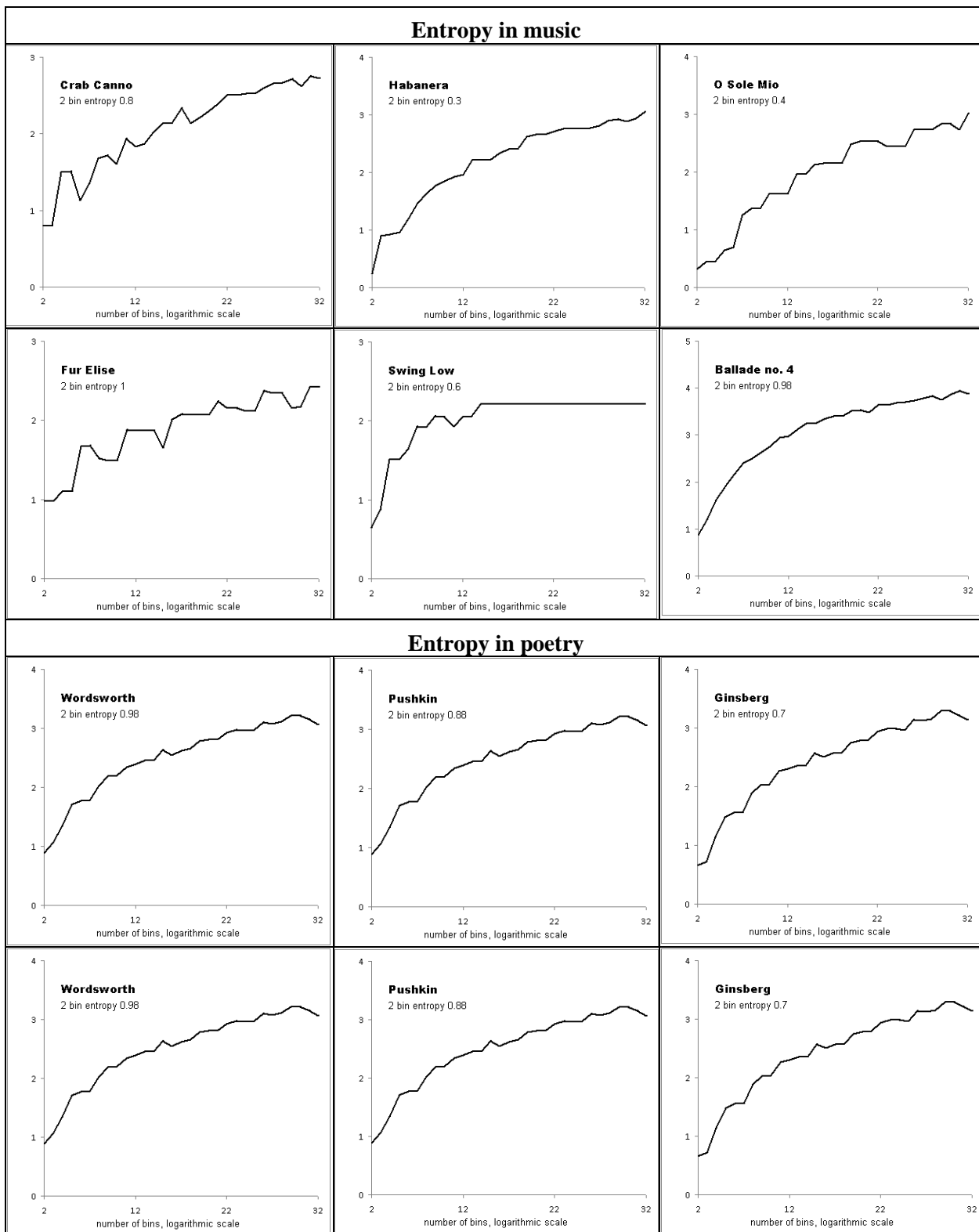
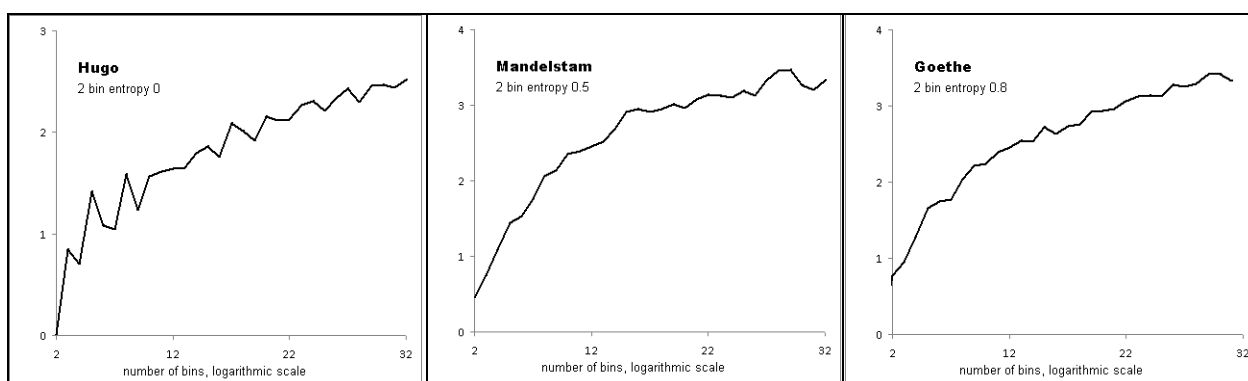


Figure 9. cont.



3.3. Power Spectrum Analysis

The power spectrum analysis of poems and music (Table 3) shows a broad spectrum. The slope of musical compositions is low, in the range of chaos, well below -1 (pink noise) and -1.7 (Bios generated with the process equation). There is great diversity in the slope of poems.

Table 3. Power spectrum analysis.

Model time series	Slope + standard error	Model time series	Slope
Random	0	Random walk	0
Process Chaos	0.06	Logistic chaos	-0.28
Bios	-1.76	Sum of sine waves	-3.64 + 0.02
Pink noise	-1.00	Brownian noise	-2.11
Physical processes	Slope	Human processes	Slope
	+ standard error		+ standard error
Quantum	-4.08 + 0.11	Heartbeat intervals	-1.4943 + 0.03
Gravitational waves	-0.27 + 0.04	Economic	-0.1396 + .03
Music	Slope	Poetry	Slope
	+ standard error		+ standard error
Piano Sonata	-0.33 + 0.06	Ginsberg: <i>Howl</i>	0.4087 + 0.04
April in Paris	-0.49 + 0.06	Wordsworth	-0.1182 + 0.04
Fantasia	-0.29 + 0.06	Hugo	0.3746 + 0.04
Chopin Ballad	-0.151 + 0.06	Mandelstam: <i>Tristia</i>	0.065 + 0.12

3.4. Biotic Patterns in Music and Poetry

Isometry quantification (figure 10) demonstrates less recurrence (novelty) in many musical pieces, Bios, and random walks than in randomized copies, and more recurrences in many literary texts and in stationary chaos than in randomized copies.

Figure 10. Quantification of isometry as a function of the number of embeddings in musical compositions and literary texts as compared with their randomized copy (blue line).

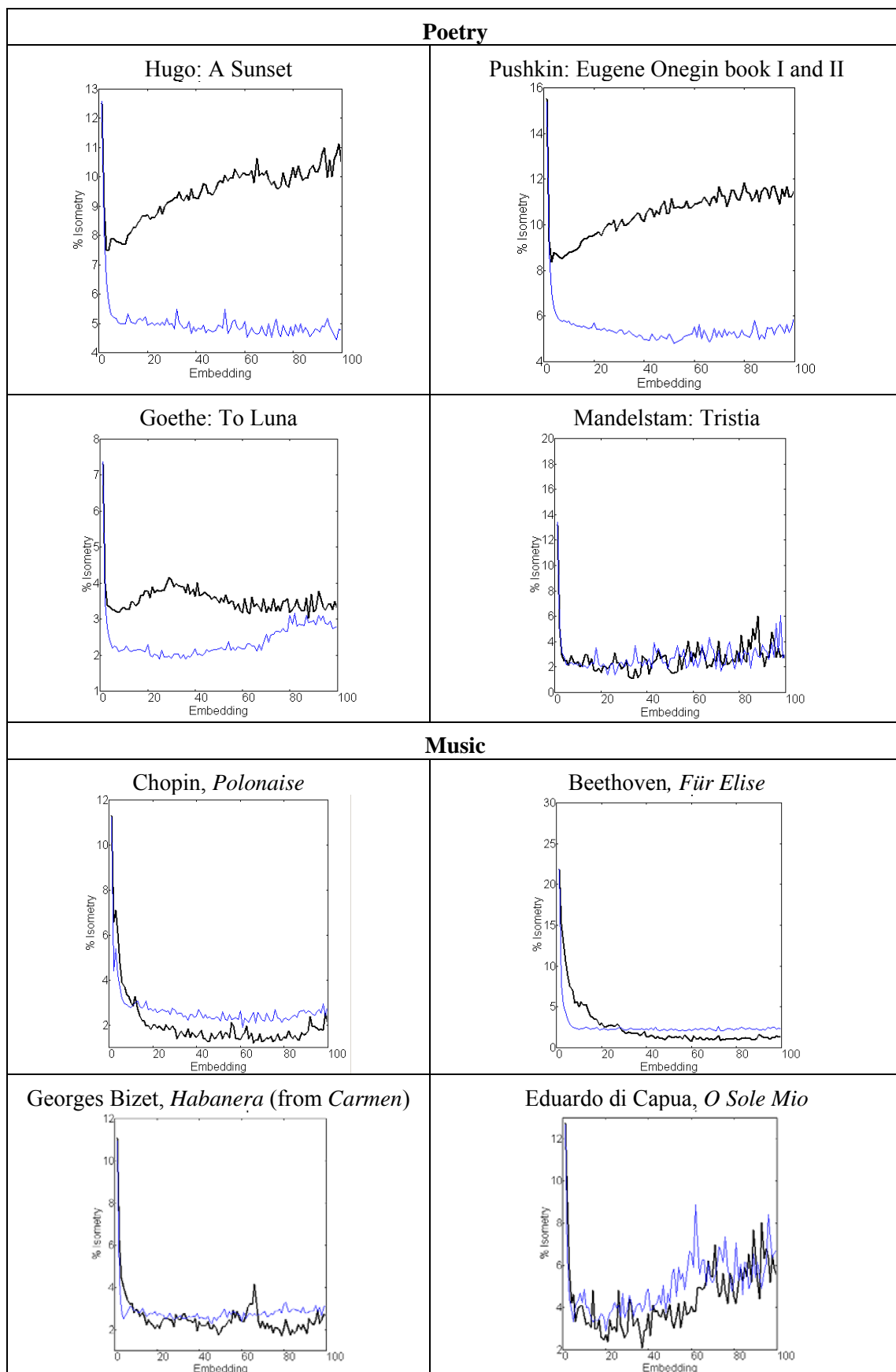
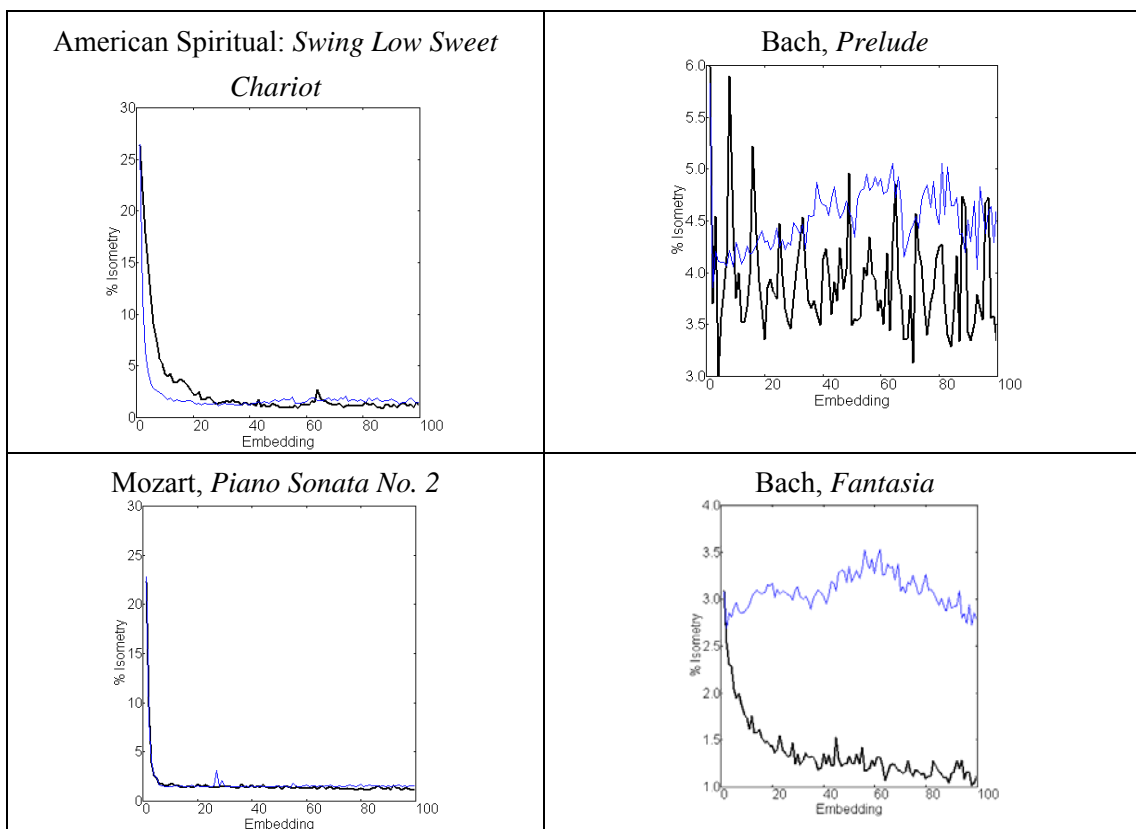


Figure 10. cont.



Recurrence portraits of musical and literary (figure 11) series show changes in pattern with time (*temporal complexity*), as observed in mathematical Bios and in random walks; in contrast, the recurrence plots of stationary random series or chaotic attractors are uniform.

Figure 11. Recurrence plots of musical compositions show temporal complexity. The quantification of isometries shows novelty and the quantification of consecutive isometries supports non-random causation.

Isometry (10 embeddings)	Isometry (100 embeddings)	Embedding plot of isometry	Embedding plot of consecutive isometry
Mathematical series			
<p>Random</p>		<p>Isometry</p>	<p>Consecutive Isometry</p>

Figure 11. cont.

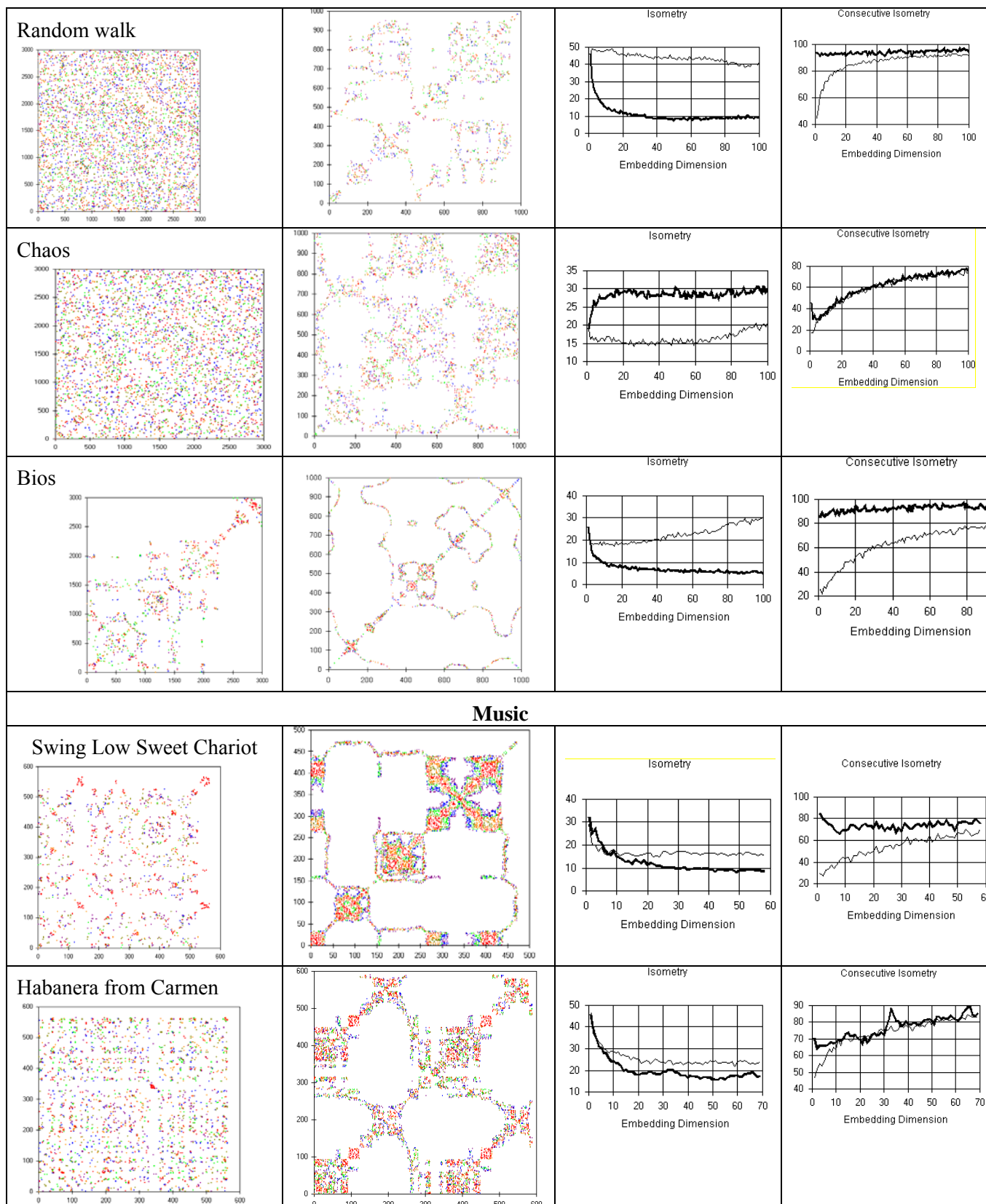


Figure 11. cont.

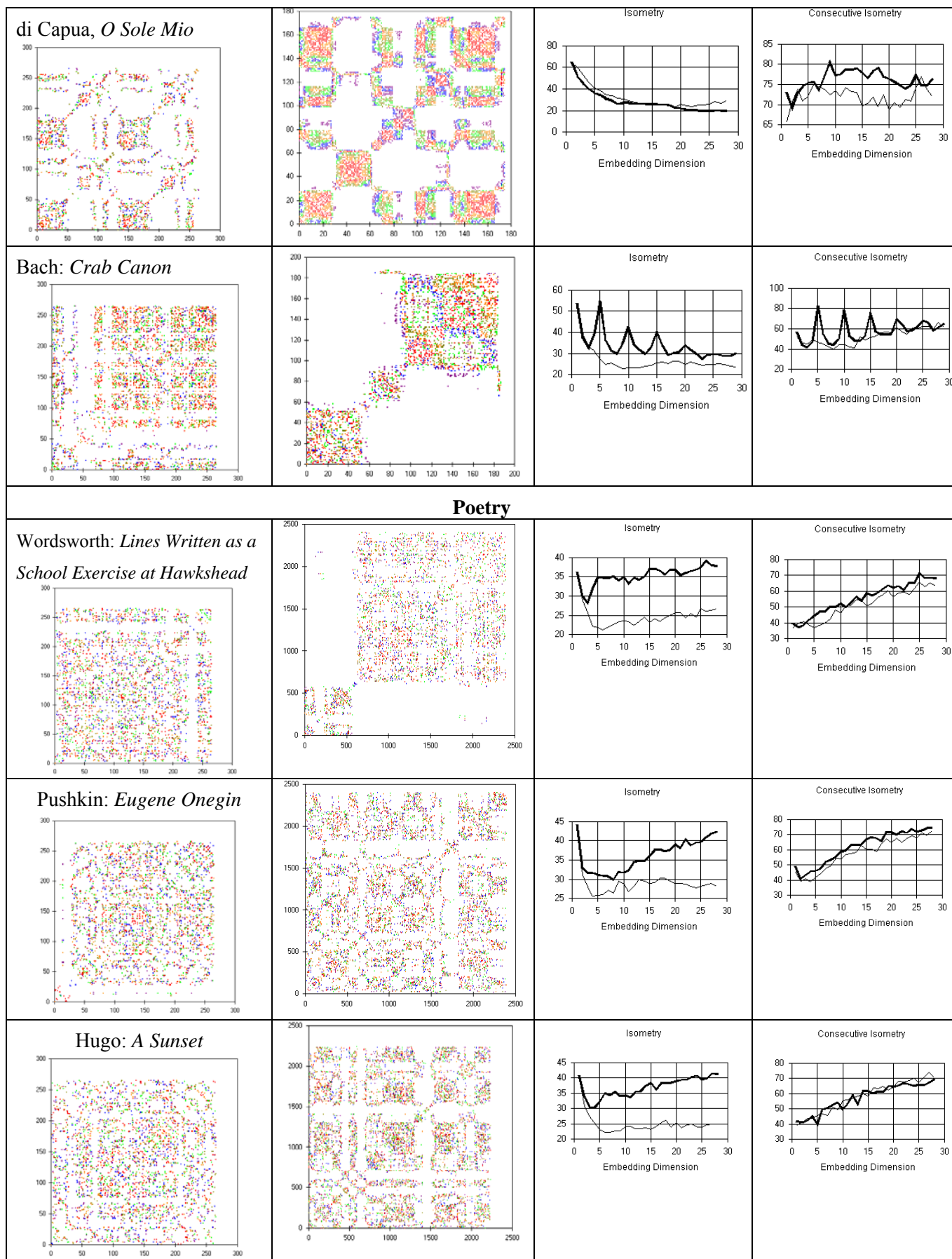
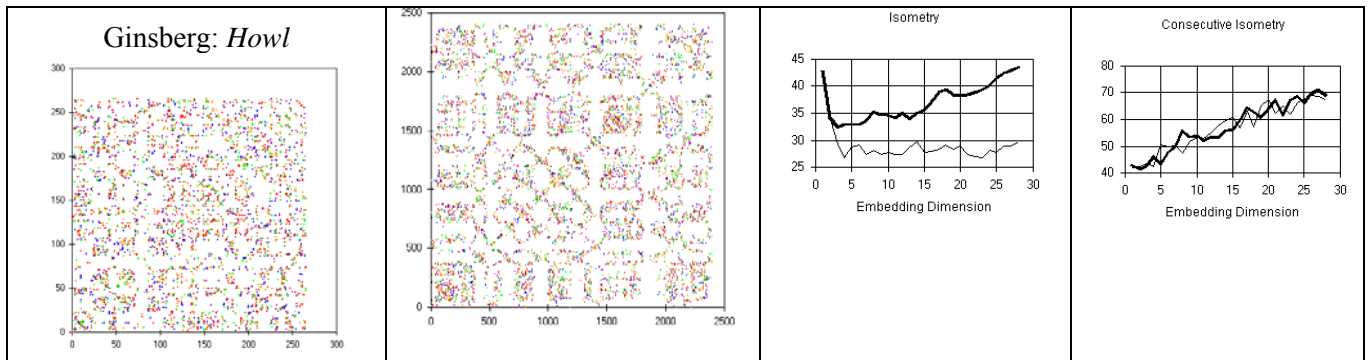


Figure 11. cont.



Recurrence plots and quantification of the series of differences between consecutive terms (figure 12) in these data also show temporal pattern in music, chaos and Bios, indicating *nonrandom causation*, in contrast to random walks.

Figure 12. Series of differences between consecutive terms Pattern in the recurrence plots and the quantification of isometry and of consecutive isometries in the show non-random causation.

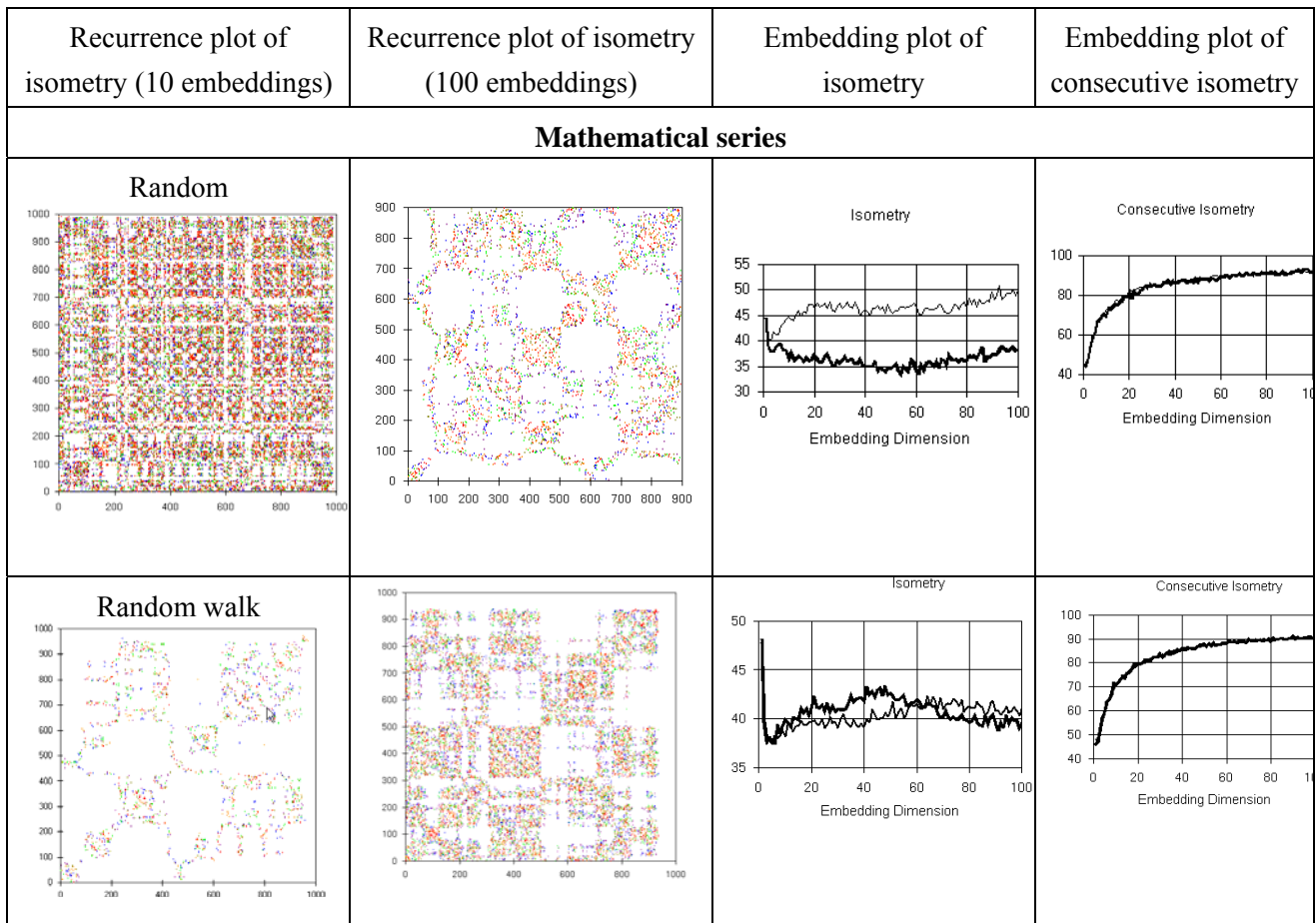


Figure 12. cont.

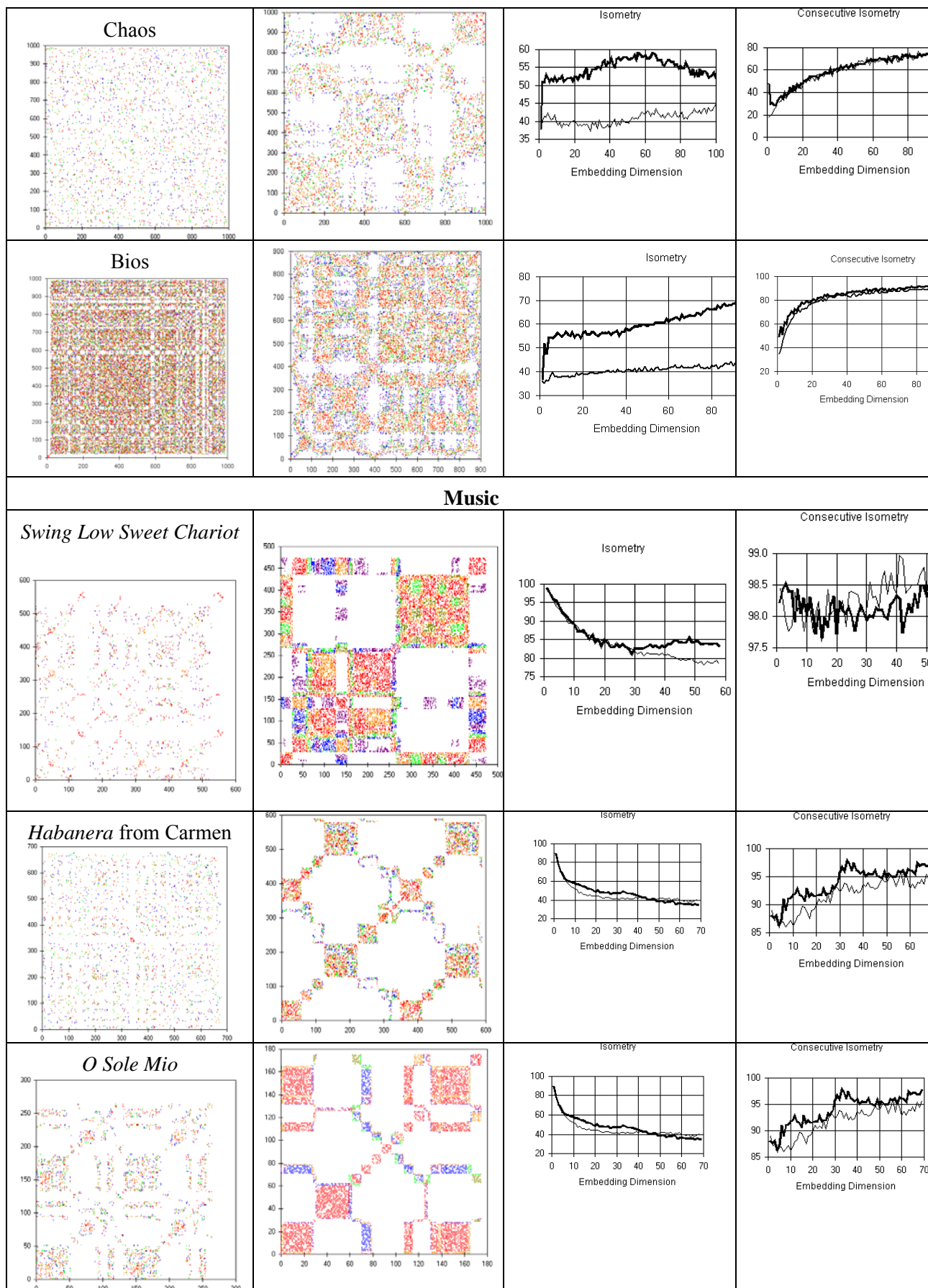


Figure 12. cont.

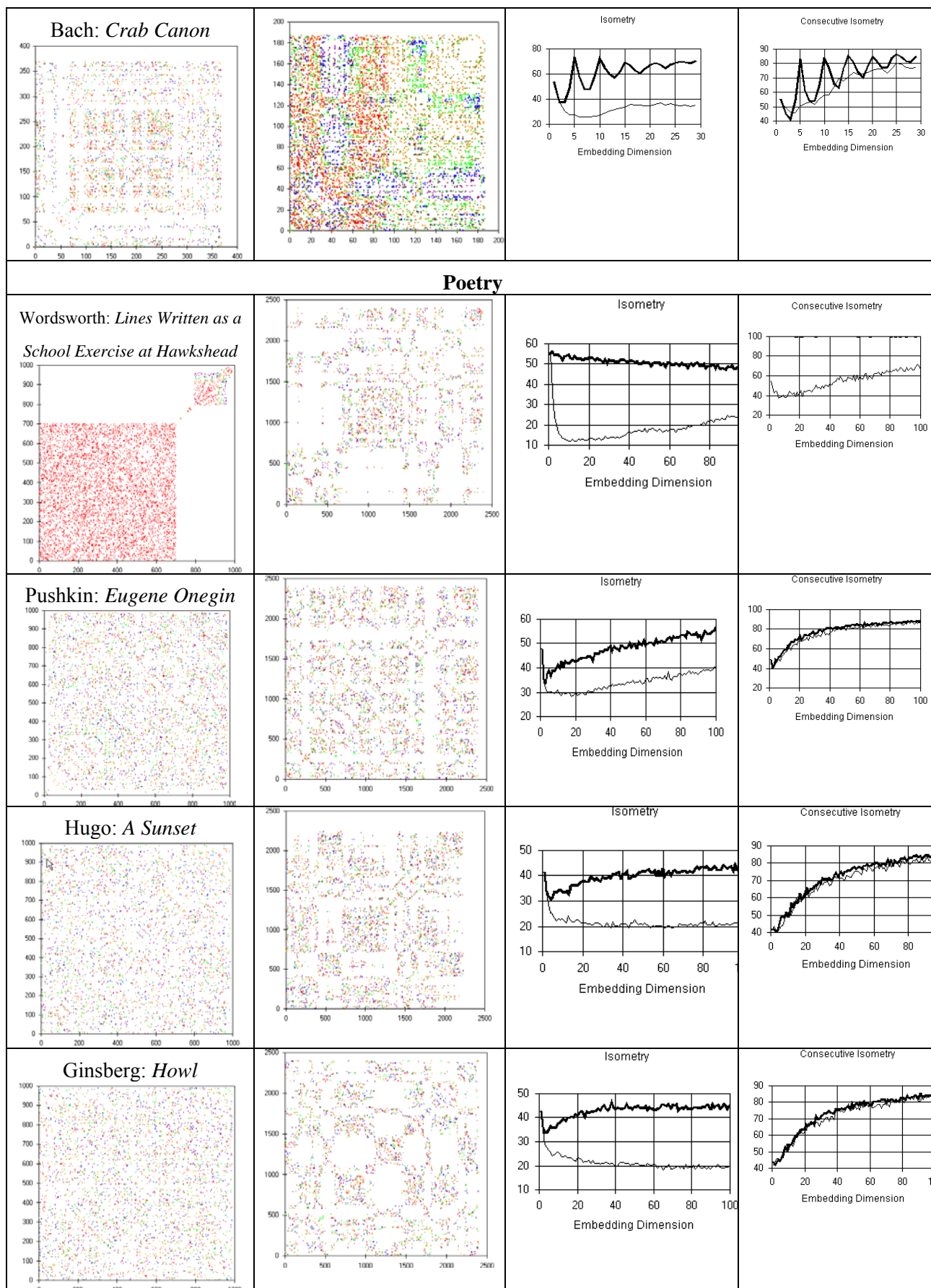


Figure 13 shows temporal variation in variance, with overall increase in S.D. with embedding (local diversification) but not with time (global diversification).

Figure 13. Diversification. S.D. variation by epochs, global and local diversification.

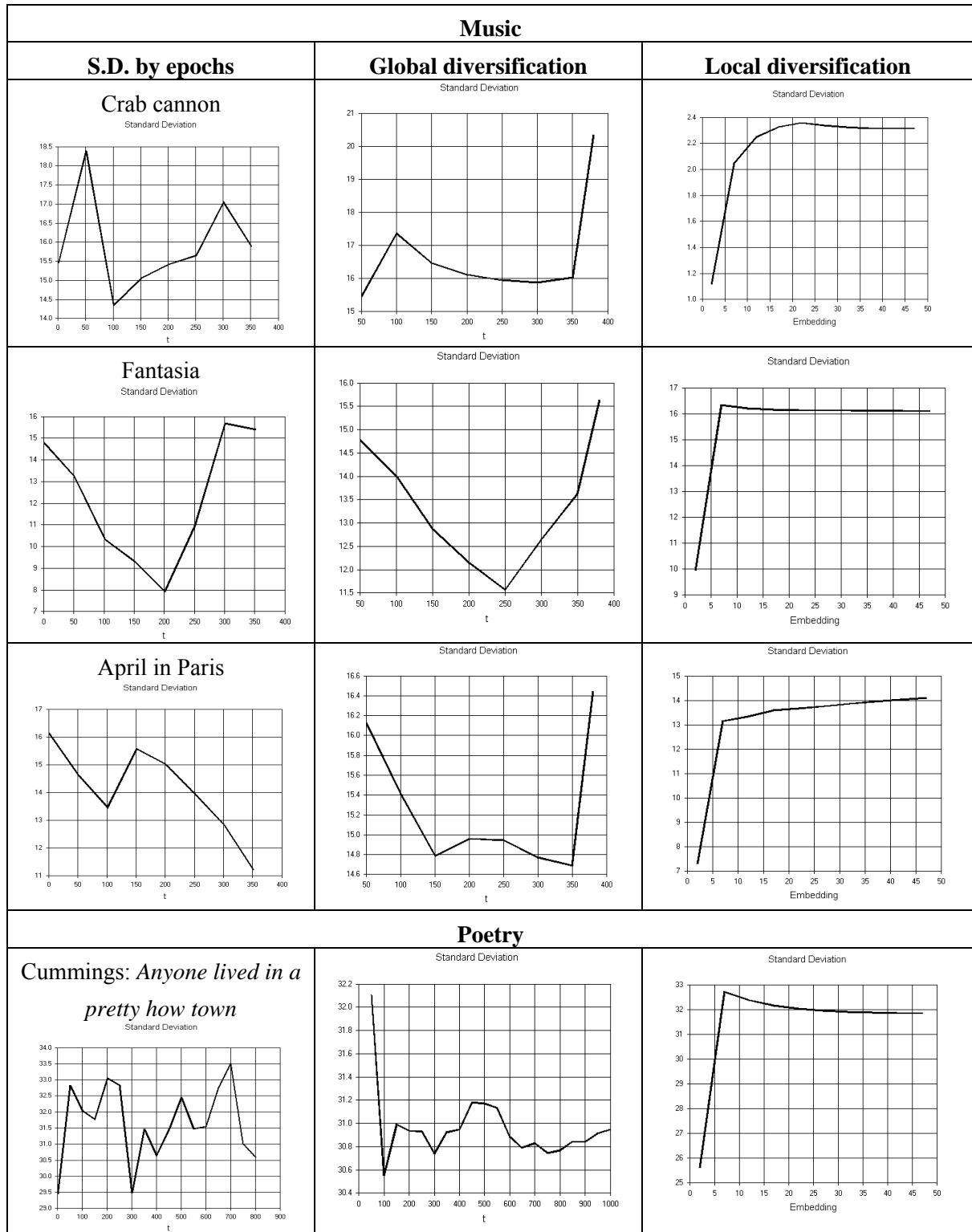


Figure 13. cont.

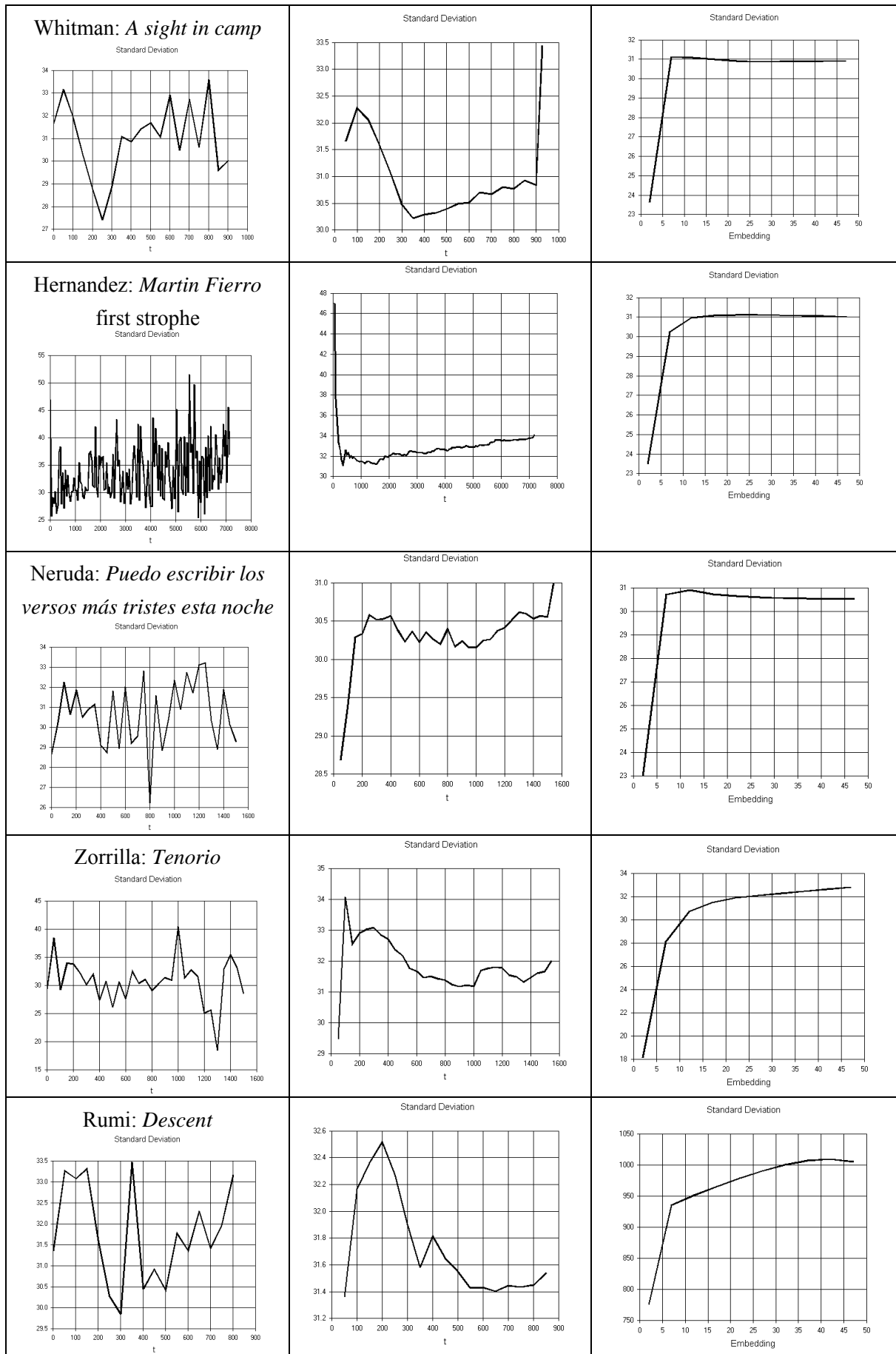
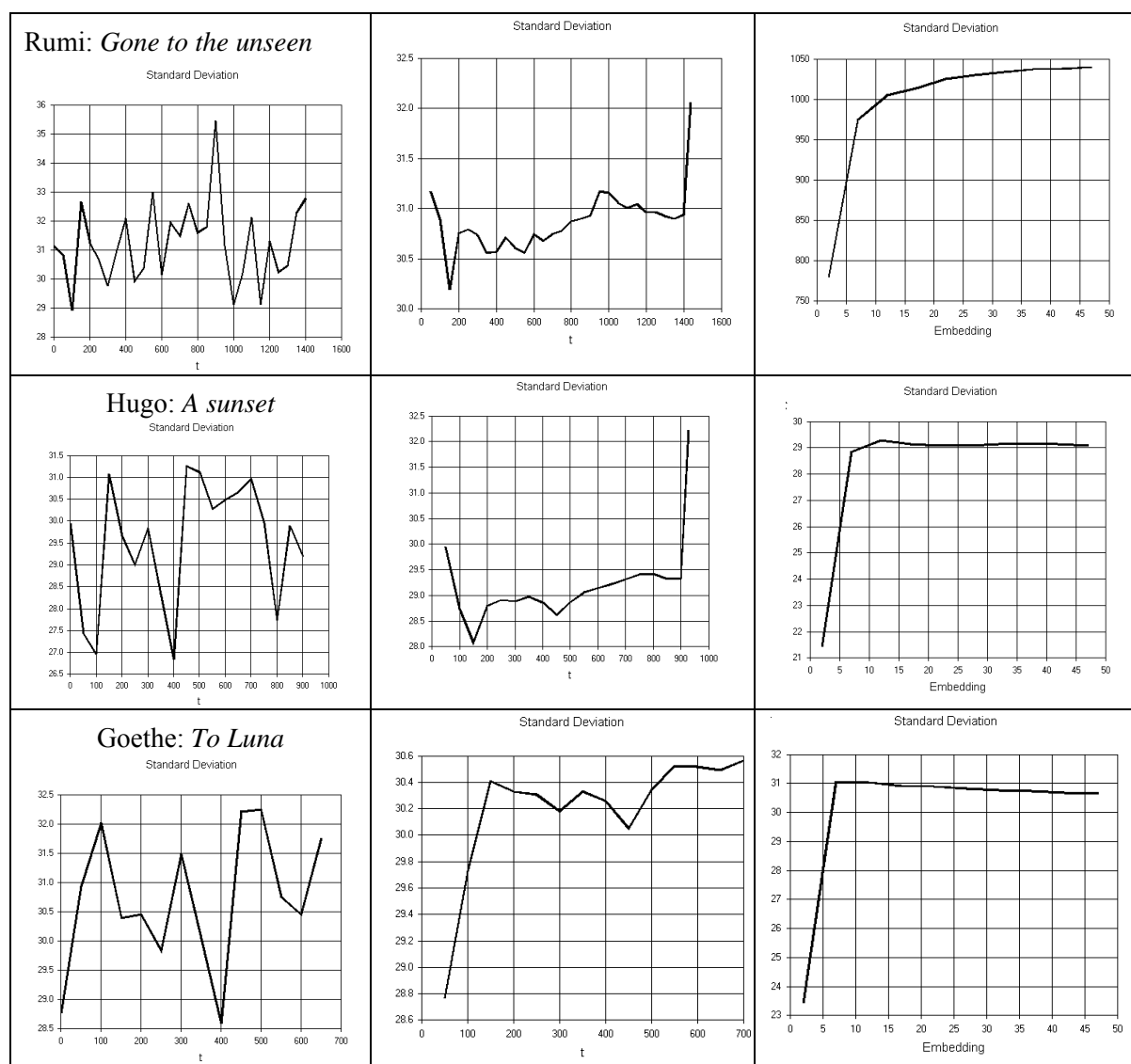


Figure 13. cont.



4. Discussion

These results indicate that asymmetry, symmetry and creativity are evident in literary and musical series. Asymmetry is indicated by histograms (figure 4), Pareto histograms (figure 5) and the difference between middle, median and mean (figure 6). Symmetry is indicated by complement plots (figure 8).

Poems and musical scores show low entropy; low entropy indicates order and creativity just as high entropy indicates disorder and decay. Simple mathematical analyses of literary and musical series also reveal *local diversification*, *temporal complexity*, and *complex frequency composition* (power spectrum). Musical compositions also show *novelty*.

It is significant that these simple analyses demonstrate these features in artistic productions, as this validates their interpretation as indicators of creativity in natural and socioeconomic processes. This also suggests that natural and mental processes share deep similarities.

These hallmarks of creativity may be detected in series generated randomly, but they may also be generated causally. Causal (non-random) creativity defines Bios. We found empirically that

fundamental physical, biological and human processes are causal and creative. Recursions such as the process equation $A_{t+1} = A_t + k \cdot t \cdot \sin(A_t)$ and the diversifying equation $A_{t+1} = A_t + \sin(k \cdot t \cdot A_t)$ generate a sequence of patterns as time t increases: equilibrium, periodicities, chaos, bios and leaps (figure 2).

These equations involve *asymmetric action* (recursion in time), *bipolar opposition* (sine function), and *continuity* (conserved term A_t). These three elements correspond to the defining properties of the three mother structures of mathematics (Bourbaki): lattice asymmetry, group opposition, and topological continuous transformation of spatial form. These three elementary forms are also found by Piaget in fundamental cognitive operations, in the three dimensions of the Central Nervous System [4] and in many other physical, biological and human processes as illustrated by Table 4. Some of these may be mere analogies (as between the wing of a bird and the wing of an airplane) but we as hypotheses to be tested that many of them are homologies (as between the leg of a horse and the wing of a bird). We thus conceived the idea that these three forms – unidirectional flow of energy in asymmetric time (action), bipolar opposition such as the bidirectional communication of information by bidimensional and bipolar electromagnetic radiation, and tripolar, continuous transformation of tridimensional matter-- constitute basic principles of nature [4]. This is Process theory (Table 4).

The study of literary and musical creations suggests that this theory extends to art. Bourbaki's three forms may thus provide a mathematical foundation for a theory of creative evolution and of artistic creation.

The small numbers appear to capture some important aspects of Bourbaki's mother structures of mathematics: lattice asymmetry is unidirectional, group opposition is bidirectional, and space-like topological forms are tridimensional. We can identify these numerical forms in the three fundamental physical forces, **u**nidirectional gravitation, **b**ipolar and **b**idimensional electromagnetic force, and **tri**-polar nuclear forces that unite 3 quarks to form protons and neutrons and thereby construct **tri**dimensional atomic nuclei. More generally, action (energy * time) is asymmetric, information requires the distinction between two opposite entities, and matter is tridimensional.

Asymmetry and symmetry are the defining features of lattices and groups, two of the three fundamental mathematical structures described by Bourbaki. Lattice abstracts asymmetry and thereby one-dimensional order, which is physically embodied in the sequence of time, but every lattice has a dual ($a < b$ implies $b > a$). Logically and methodologically, we describe the *priority of the simple* and the *supremacy of the complex* as complementary, opposite asymmetries embodied in actual processes, a concept developed by considering the vertical organization of the Central Nervous System in the context of integrating biological, social and psychological aspects of medical diagnosis and treatment [33], and also applicable to social issues [34] and to scientific research [5].

Table 4. Asymmetry and symmetry.

	Asymmetry	Dyadic asymmetry and symmetry	Triadic asymmetry and symmetry
Integers	1	2	3
Examples of numerical form	Uni-directional time	Bi-polar and bi-dimensional electro-magnetic charge	Tri-polar nuclear forces
Numerical archetypes	Divine proportion $\phi = 1.618\dots$	Perfect circular symmetry: $\Pi = 3.1415\dots$	$e = 2.71828$

Table 4. cont.

Form	Asymmetry <	Opposition, asymmetric and symmetric	Triadicity
Bourbaki's structures	Order: lattices	Group opposition and other algebras	Structure: 3D geometry
Physics	Action: energy flow in time	Communication (two-valued information: opposites)	Tri-dimensional matter
Physical forces	Gravitation (attraction)	Electromagnetic (attraction and repulsion)	Strong nuclear force creates structure. Weak nuclear force creates asymmetry.
Light	Linear propagation	Sine wave	Color Spatial radiation
Schrodinger equation	Energy, total E and potential U	Sine and cos. if $E > U$; sinh and cosh if $E < U$	Momentum = mass * velocity
Einstein equation	E	c^2	m
Chemistry	Asymmetric molecules and biomolecules (Pasteur)	Covalent and	Asymmetric carbons
Biology	Metabolism	Anabolism and catabolism. Sexuality (Linnaeus).	Organisms. Mother, father and child.
Evolutionary theory	Life, survival, evolution	Competition and (Darwin) and mutual aid (Kropotkin)	Multicellularity, symbiosis, mutual aid, sociality
Central Nervous System	Asymmetric dorsal-sensory to ventral motor axis	Quasi-symmetric right-left axis	Vertical axis from simple to complex
Physiology, Medicine	Homeostasis: equilibrium.	Sympathetic and parasympathetic. Periodicity.	Anatomy.
Social groups	Age, generations	Sexes. Parent / child. Master / slave.	Upper, middle and lower classes
Social aims/ organization	Health of persons and environment	Sexes. Class cooperation (socialism) and competition (Smith) and struggle (Marx)	Executive, Legislative and Judicial powers
Economics	Consumption	Distribution, trade	Production
Psychology	Flux (James). Action (Moreno)	Conflict (Freud). Fight or flight (Cannon). Role reversal (Moreno).	Id, ego, superego (Freud). Creativity: (Moreno). Conflict behaviors and emotions [4].
Cognition [3]	Mental operations regarding order	Mental operations regarding classes	Mental operations regarding space
Education [34]	Pragmatic	Emotional	Cognitive and artistic
Methodology	Priority of the simple and objective [6, 33].	Analyze synergy and conflict of opposites	Supremacy of the complex or the subjective [6, 33].
Research techniques	Time series	Phase plane of opposites [35], trigonometric analysis	N-dimensional recurrence

Table 4. cont.

Dynamics	Asymmetric factor of catastrophes	Bifurcation. Bifurcating factor of catastrophes	Form and transformation. Complex patterns: fractal, chaos, bios.
Process philosophy	Process (not isolated events or stability)	Dialectic: coexisting, interacting, opposites.	Material embodiment. Creative synthesis of opposites and triads. Supremacy of complex
Logic / Biotic logic	Implication. Evolving concepts.	Mutual exclusion (Aristotle, Boole) and implication (Sabelli) of opposites.	Triadic categories (Hegel, Pierce) and operations.
Process equation	Recursion: $A(t + 1)$	$\sin(A(t))$	Sequence of patterns: convergence, periodicity, chaos, bios
Music	Rhythm, tempo	Melody	Harmony

Algebras, such as group theory, are defined by the generation of new elements by the combination of existing ones. While lattice asymmetry represents order, group opposition is regarded as abstracting symmetry. Simple reflection indicates that opposites can be perfectly symmetric (1 and -1), largely symmetric (two sides of a human body) or largely asymmetric (proton and electron, women and men, beauty and ugliness). A group is a set in which element has an inverse or symmetric opposite. Inverse elements annul each other when combining, returning to the information-less identity element. It is the combination of any other pair of elements (that are not symmetric) that generates a new element; this captures the creative interaction of opposites postulated by ancient process philosophers (Heraclitus, Empedocles, Lao-tzu) as well as by modern theorists. Many scientific disciplines, including physics, biology, sociology, and psychology, also explain the generation of complexity as resulting from the interaction of opposites.

The third fundamental structure is modeled by topology, the study of space-like forms, their formation and transformation. Material structures are tridimensional; correspondingly, topological knots are described by three ‘colors’. The human body itself shows temporal asymmetry in the dorsal-ventral axis, rough right-left symmetry, and the asymmetry of complexity in its vertical dimension. These three patterns also exist in the multiple levels of complexity that form the “vertical dimension” of nature from physical to mental processes.

A hierarchy of complexity is also evident in the sequence of patterns generated by recursions such as the logistic, the process and the diversifying equations. Indeed, symmetry and entropy decrease as the process equation enters the biotic phase.

Entropy is also relatively low in natural processes with a biotic pattern [35,36]. This may account for the development of complexity in physical and biological processes, so far justified by the local development of pockets of low entropy due to the selective intake of low entropy materials [37] and the selective excretion of high entropy waste products [37,38].

In statistics, entropy measures the degree of symmetry [39-48], while information is the degree of asymmetry [31]. According to classic thermodynamics, processes spontaneously flow towards higher entropy, *i.e.* from asymmetry to symmetry, and thereby towards equilibrium, and rest; actually

physical and biological evolution demonstrates that processes often spontaneously flow from symmetry to asymmetry. Evolution increases information, and thereby generates complexity and beauty.

Symmetry is beautiful because it renders stability, asserts Shu-Kun Lin [49]; nevertheless, he adds, symmetry is in principle ugly because it is associated with information loss. From a different esthetic perspective, asymmetry is beautiful because it overcomes stability and drives evolution and the generation of complexity. Symmetry is beautiful because it embodies order rather than decay, disorder and rest. High entropy implies complexity, not necessarily disorder. But also asymmetry contributes to order and beauty; in fact, the mathematical definition of order is lattice asymmetry, and the asymmetric divine or golden proportion $\phi = 1.618\dots$ has been a canon of beauty in architecture and painting since Phidias. While the geometric symmetry of a face often conveys beauty, in reality human faces always show a degree of mathematical asymmetry, and their asymmetry contributes to esthetic pleasure.

Mathematical modeling demonstrates that Bios is generated by recursions that involve both asymmetry and symmetry. Opposites play a major role in creative evolution, artistic creativity, and beauty, rather than annulling each other in featureless thermodynamic equilibrium.

Asymmetry and symmetry are evident contributors to beauty, which is a major component of our emotional life and of art. Both art and life also involve creative processes that transform simple forms to generate complexity. Indeed, beauty requires complexity, because it may exist in simple as well as complex patterns, but it is perceived only by relatively complex organisms (e.g. mate selection, bees and birds attraction to flowers, *etc.*). Here we find in art Bourbaki's three generative forms, the asymmetry that defines lattices, the symmetry of opposites that defines groups, and the topological transformation of simple forms into complex ones.

Bourbaki's concepts provide a way to formulate the notion of causal, non-random creativity in an exact manner. Causal creativity represents an alternative to the theological concept of creation of matter from the void, classic determinism in which causality is not creative, and the current models of random variability in theories of the early universe, quantum mechanics, biological evolution by random mutation, and stochastic markets that offer no way to understand or influence processes. In contrast to portraits of change and creativity as "noise", the observation of Bios from quantum mechanics to music supports Pythagoras and Kepler's perspective in which music is a model for the mathematics of the universe.

In summary, both natural and artistic creative processes display asymmetry, symmetry, and creative transformation, indicating a common, possibly fractal, process (Bios) extending from quantum entities to mental activity.

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