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BEST PAPER AWARD

What Does An OT Security Professional Need To Know?

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Abstract—Industrial Cybersecurity is an emerging interdisciplinary field of study and practice. This paper presents the results of research and collaboration to create a data-supported and consensus-based curricular guidance document describing the knowledge needed of professionals in the field.

Keywords—control system security, curriculum development

I. INTRODUCTION

Industrial cybersecurity is an emerging interdisciplinary field, composed primarily of industrial automation and cybersecurity, but including elements of many other fields. It is the result of the global trend of technology advancement and digitization, wherein computers increasingly interact with and influence the physical world.

Since about the early 2000s, industrial cybersecurity has been shaped by 1) the discovery and disclosure of software vulnerabilities affecting industrial control systems, 2) unintentional safety events precipitated by flawed control systems design or maintenance, and 3) intentional cyberattacks motivated by enthusiasts, criminals, and geopolitical actors [1-3].

The trend of increasing automated control of the physical world creates a national and global security imperative to ensure these intelligent systems do not cause physical damage (or disaster) through either accident or intentional abuse.

This paper presents the results of a collaboration among government (U.S. Department of Energy Office of Cybersecurity, Energy Security and Emergency Response, and the Idaho National Laboratory), academia (Idaho State University), and industry (the International Society of Automation) to establish an appropriate educational foundation for creating a new class of engineering and technology professional – capable of moving seamlessly among previously disparate domains.

The paper is organized in typical academic fashion including a review of relevant literature, discussion of research method, presentation of results, and proposal of future work.

II. LITERATURE REVIEW

For this effort, the researchers were particularly interested in existing official guidance for creating cybersecurity professionals – with a focus on industrial automation and operational technology.

The researchers began by reviewing education and training guidance from nine organizations, including Accreditation Board of Engineering and Technology (ABET) [4], European Union Agency for Network and Information Security (ENISA) [5], Global Information Assurance Certification (GIAC) [6], International Society of Automation (ISA) & Department of Labor [7], Task Force on Cybersecurity Education [8], National Institute of Standards and Technology [9], National Security Agency [10], Pacific Northwest National Laboratory [11], the Singapore Cyber Security Agency [12], and INL [13].

As the researchers examined the documents, they created a list of criteria that one would expect from a truly foundational curricular guidance document. The researchers then compared the criteria across the existing documents. A more-detailed treatment of the criteria can be found at [14]. The results of the comparison are summarized in Table I, where Y means yes, P means partial, N means no, and U means unknown.

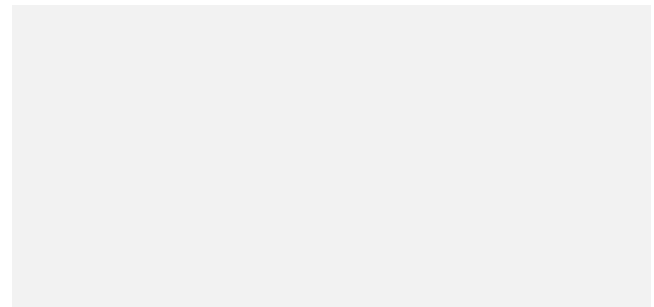


TABLE I. Comparison of Curricular Guidance Efforts

| Criteria | Curricular Guidance Efforts/Documents | | | | | | | | | | Total Ys |
|---------------------------------------|---------------------------------------|-------|------|-----|-----|------|-----|------|-----|-----|----------|
| | ABET | ENISA | GIAC | ISA | JTF | NIST | NSA | PNNL | SF | INL | |
| 1. Addresses Industrial cybersecurity | N | Y | Y | Y | N | N | Y | Y | Y | Y | 8 |
| 2. Clearly differentiates industrial | N | P | P | Y | N | N | P | N | N | Y | 2 |
| 3. Consensus-based | Y | Y | Y | U | Y | N | N | Y | U | N | 5 |
| 4. Qualified participants | Y | Y | Y | U | Y | U | U | Y | U | U | 6 |
| 5. Publicly available | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | 11 |
| 6. Includes knowledge | P | Y | Y | Y | Y | Y | Y | Y | Y | N | 8 |
| 7. Justifies knowledge | N | N | N | N | N | N | N | N | N | N | 0 |
| 8. Evidence of empirical validation | N | N | N | N | N | N | N | N | N | P | 0 |
| TOTAL Ys | 3/8 | 5/8 | 5/8 | 4/8 | 4/8 | 2/8 | 3/8 | 5/8 | 3/8 | 3/8 | |

Perhaps the most important finding is that all the documents exhibited two complete deficiencies: 1) None of the documents “justified knowledge”. That is, none of them provided a reason each term was included – its relevance to the field. 2) None of them provided “evidence of empirical validation”. That is, none of them provided a detailed description of how their guidance was created, or the qualifications of their creators/contributors. They provided results, but only limited discussion of methods, and no raw data or data analysis.

In conclusion, a review of literature found a concerning lack of appropriately detailed and validated guidance among the bodies expected to advise the formal preparation of professionals to secure critical cyber-physical systems.

III. METHODOLOGY

Starting in Jan 2019, authors of [14-15] used the nominal group technique to engage a group of 14 professionals from the Idaho National Laboratory in creating an initial list of knowledge topics that would not normally be covered in a traditional cybersecurity course or program of study. The nominal group technique encourages broad perspectives by relying on anonymous, written responses rather than potentially political and emotional implications of verbal discussion/debate. The 14 professionals came from a variety of educational and professional backgrounds, totaling 31 years in industrial cybersecurity, 32 years in non-industrial cybersecurity, and 88 years in industrial operations.

The results of that work, ultimately published as “Industrial Cybersecurity Workforce Development: A Manager’s Guide” by the Idaho National Laboratory [16], included two lists – one dealing with “industrial knowledge” and another dealing with “industrial cybersecurity”. “Control systems knowledge” consisted of 45 items distributed across five categories. “Industrial cybersecurity knowledge” included 33 items spread across four categories.

As the researchers reviewed those lists, they recognized that while comprehensive curricular guidance would require program level learning objectives, course objectives, course sequence, schedule of topics, proposed learning activities, and evidence-based assessment methodologies, *knowledge* would be the most essential and straightforward component of consensus-based curricular guidance.

Recognizing that input from just 14 professionals was not sufficient to claim a consensus, the researchers determined to use the combined list from [16] as a strawman about which to elicit further expert insight.

Between November 2021 and March 2022, and with the assistance of the International Society of Automation (ISA) Global Cybersecurity Alliance (which advertised via an email to its members), the researchers administered a survey to professionals with interest and/or experience in industrial cybersecurity.

The survey included 363 possible inputs (some inputs were only displayed if the respondent answered in a certain way) divided into three sections:

- 1) Respondent Background – 19 possible inputs
- 2) Foundational Industrial Control Systems Knowledge – 205 possible inputs
- 3) Industrial Cybersecurity Knowledge – 139 possible inputs

As seen in Table II, the survey had 170 total respondents, 96 of which (56%) answered at least one question in survey Section 2. This group of “Contributors” spent an average of 49 minutes on the survey.

TABLE II. Respondents

| Respondents | Number |
|---|--------|
| Total | 170 |
| Contributors (answered at least one question in Section II) | 96 |
| Section II Finishers | 70 |
| Section III Finishers | 65 |
| Writers (provided textual input) | 58 |

IV. RESULTS AND ANALYSIS

A. Analysis of Respondent Background

The survey asked up to 32 questions (depending on responses) to ascertain the education background, professional certifications, and professional experience of respondents.

Of the 96 Contributors, most had bachelor’s degrees; engineering disciplines, including in control systems, accounted for 34 degrees, while computer science and cybersecurity accounted for 20 (see Tables III and IV). Of the 29 contributor respondents who had a Professional Engineer (PE) license, 23 reported a specialization in control systems (see Tables V and VI).

Contributor respondents claimed industry experience across a variety of industry sectors – predominantly in petrochemical and electric power (see Table VII) – and reported 1,085 cumulative years working for control system asset owners and control system integrators (see Table VIII). This experience was complemented by a cumulative total of 959 years working in cybersecurity, with more than ¾ of this time dedicated to control systems rather than IT (see Table IX). More than half of the contributor respondents held a professional cybersecurity certification, and one third held a certification specialized in industrial cybersecurity (See Tables X and XI).

TABLE III. Contributor Degree Levels

| Highest Degree | Count |
|-------------------------|-----------|
| Bachelor | 47 |
| Master | 33 |
| Associate | 6 |
| Doctorate | 6 |
| High School Diploma/GED | 4 |
| Total | 96 |

TABLE IV. Respondents by Degree Groupings

| Degree Group | Count of Degree Grouping | Percent |
|------------------------|--------------------------|----------------|
| Electrical Engineering | 14 | 14.58% |
| Control Systems | 10 | 10.42% |
| Cybersecurity | 10 | 10.42% |
| Other Engineering | 10 | 10.42% |
| Computer Science | 10 | 10.42% |
| Electronics | 9 | 9.38% |
| Business | 6 | 6.25% |
| Information Systems | 5 | 5.21% |
| Unspecified | 5 | 5.21% |
| High School | 4 | 4.17% |
| Mechanical Engineering | 4 | 4.17% |
| Arts/Science | 3 | 3.13% |
| Security Studies | 3 | 3.13% |
| Information Technology | 2 | 2.08% |
| Education | 1 | 1.04% |
| Total | 96 | 100.00% |

TABLE V. Respondent Licensure

| Licensed Professional Engineer? | Count |
|---------------------------------|-----------|
| No | 67 |
| Yes | 29 |
| Total | 96 |

TABLE VI. Respondent Licensure Specialty

| PE Specialty (multiple allowed) | Count | Percent |
|---------------------------------|-------|---------|
| Control Systems | 23 | 79.31% |
| Electrical | 10 | 34.48% |
| Industrial | 6 | 20.69% |
| Other | 5 | 17.24% |
| Mechanical | 4 | 13.79% |
| Chemical | 3 | 10.34% |
| Civil | 1 | 3.45% |

TABLE VII. Respondent Industry Focus

| Industry (multiple allowed) | Total | Percent |
|-----------------------------|-------|---------|
| Aerospace | 15 | 16% |
| Power | 42 | 44% |
| Chemical & Petroleum | 48 | 50% |
| Mining & Metals | 21 | 22% |
| Construction & Design | 30 | 31% |
| Food & Pharmaceuticals | 25 | 26% |
| Water & Wastewater | 25 | 26% |
| Manufacturing | 29 | 30% |

TABLE VIII. Respondent Organizational Roles

| Organizational Role | Cumulative Years |
|----------------------------------|------------------|
| Asset Owner | 543 |
| ICS Integration Provider | 532 |
| ICS Supplier | 200 |
| ICS Maintenance Service Provider | 161 |

TABLE IX. Respondent Cybersecurity Certifications

| Cybersecurity Experience Category | Count |
|-----------------------------------|------------|
| Control Systems | 55 |
| IT | 41 |
| Total | 595 |

TABLE X. Respondent Cybersecurity Certifications

| Cybersecurity Certification | Count |
|-----------------------------|-------|
| With | 55 |
| Without | 41 |

TABLE XI. Respondent Industrial Cybersecurity Certifications

| Industrial Cybersecurity Certification | Count |
|--|-------|
| With | 34 |
| Without | 62 |

The key take-away from the analysis of respondent background is that the survey reached an appropriately qualified group of professionals to address the important topic of industrial cybersecurity.

B. Analysis of Responses Relative to Strawman

Sections 2 and 3 of the survey, dealing with foundational control system knowledge and industrial cybersecurity knowledge, respectively, asked respondents to rank each category and topic provided in the strawman for relevance, and then choose whether to: keep as-is, change name, or remove entirely. If respondents chose "change name" or "remove entirely" they were prompted to make an alternate suggestion or justify their desire to remove the category or

topic in writing. All response data and associated analysis can be found at [16].

1) *Analysis of Responses to Survey Section 2 – Foundational Control Systems Knowledge*

a) *Relevance*

Respondents were asked to rank each term on a scale of 1 (irrelevant) to 10 (extremely relevant) for the field of industrial cybersecurity. Table XII shows the Kendall’s Tau sub b correlation for “Years in Industrial Automation / Control Systems” with each category within the strawman.

TABLE XII. Correlation Among Categories and Respondent Experience in Industrial Automation

| Term | KTb | Sig | N |
|---------------------------------|--------|-------|----|
| Industrial operations ecosystem | *0.169 | 0.036 | 87 |
| Instrumentation and control | 0.106 | 0.197 | 87 |
| Equipment under control | 0.131 | 0.103 | 87 |
| Industrial communications | -0.111 | 0.184 | 87 |
| Safety | *0.196 | 0.019 | 87 |

This data shows that those with more experience in industrial automation tend to think that Safety and broad knowledge of the Industrial operations ecosystem are, respectively, more relevant than those with less experience. Correlations for both of these categories meet a p-value of .05 for statistical significance.

The researchers also ran the Kendall’s Tau sub b correlation analysis using “Years in cybersecurity” as the comparative variable – as seen in Table XIII.

TABLE XIII. Correlation Among Categories and Respondent Experience in Cybersecurity

| Term | KTb | Sig | N |
|---------------------------------|--------|-------|----|
| Industrial operations ecosystem | *0.227 | 0.005 | 87 |
| Instrumentation and control | 0.141 | 0.091 | 87 |
| Equipment under control | *0.188 | 0.020 | 87 |
| Industrial communications | 0.058 | 0.492 | 87 |
| Safety | 0.137 | 0.107 | 87 |

Those with most experience in cybersecurity considered the Industrial operations ecosystem and Equipment under control as highly relevant. Both of these categories meet a p-value of .05 for statistical significance.

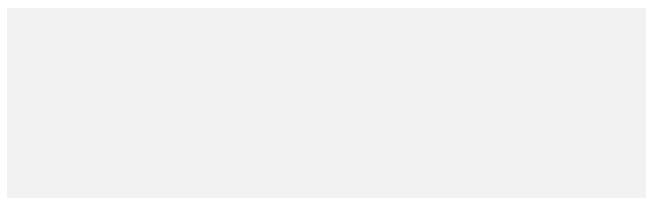
When comparing the two correlation analyses, the most salient findings are that 1) those with more experience in industrial automation or more experience in cybersecurity tend to emphasize the relevance of the industrial operations ecosystem; and 2) those with more experience in industrial automation emphasize the relevance of safety

b) *Keep, Change, or Remove*

It is clear from a review of survey results that the respondents generally agreed with the foundational control systems categories and topics provided in the strawman. Table XIV shows the responses for “keep as is”, “change”, and “remove topic” for each category and topic.

TABLE XIV. Respondent Choice Among Keep, Change, Remove by Topic

| Cat. | Topic | Count | Keep as-is | Change title | Remove |
|------------------------------------|--------------------------------|-------|------------|--------------|--------|
| <i>Instrumentation and Control</i> | | 93 | 94% | 5% | 1% |
| | Programmable control devices | 71 | 99% | 1% | 0% |
| | Control system software | 71 | 99% | 1% | 0% |
| | Alarms | 71 | 97% | 3% | 0% |
| | Operator interfaces | 71 | 97% | 3% | 0% |
| | Control paradigms | 71 | 96% | 4% | 0% |
| | Data acquisition | 71 | 96% | 3% | 1% |
| | Supervisory control | 71 | 96% | 1% | 3% |
| | Programming methods | 70 | 96% | 4% | 0% |
| | Process variables | 71 | 94% | 1% | 4% |
| | Process data historian | 71 | 94% | 1% | 4% |
| | Sensing elements | 71 | 93% | 7% | 0% |
| | Control devices | 71 | 93% | 6% | 1% |
| | Engineering laptop/workstation | 71 | 92% | 6% | 3% |



| Cat. | Topic | Count | Keep as-is | Change title | Remove |
|----------------------------------|------------------------------------|-------|------------|--------------|--------|
| <i>Industrial Communications</i> | | 93 | 90% | 8% | 2% |
| | Industrial Communication Protocols | 70 | 99% | 1% | 0% |
| | Reference Architectures | 70 | 97% | 1% | 1% |
| | Transmitter Signals | 70 | 94% | 1% | 4% |
| | Fieldbuses | 63 | 95% | 0% | 5% |

| Cat. | Topic | Count | Keep as-is | Change title | Remove |
|---------------|--|-------|------------|--------------|--------|
| <i>Safety</i> | | 93 | 86% | 10% | 4% |
| | Safety Instrumented Functions | 68 | 97% | 1% | 1% |
| | Electrical Safety | 68 | 97% | 0% | 3% |
| | Safety/Hazards Assessment | 68 | 96% | 0% | 4% |
| | Common Failure Modes for Equipment Under Control | 68 | 96% | 1% | 3% |
| | Safe Work Procedures | 68 | 93% | 1% | 6% |
| | Lock-out Tag-out | 68 | 91% | 1% | 7% |
| | Personal Protective Equipment | 68 | 90% | 0% | 10% |

| Cat. | Topic | Count | Keep as-is | Change title | Remove |
|--------------------------------|---------------------------|-------|------------|--------------|--------|
| <i>Equipment Under Control</i> | | 93 | 85% | 11% | 4% |
| | Valves | 70 | 96% | 3% | 1% |
| | Motors | 70 | 94% | 3% | 3% |
| | Pumps | 70 | 94% | 3% | 3% |
| | Variable frequency drives | 70 | 93% | 3% | 4% |
| | Generators | 69 | 94% | 1% | 4% |

| Cat. | Topic | Count | Keep as-is | Change title | Remove |
|--------------------------------|--------------|-------|------------|--------------|--------|
| <i>Equipment Under Control</i> | | 93 | 85% | 11% | 4% |
| | Relays | 70 | 91% | 7% | 1% |
| | Breakers | 70 | 91% | 3% | 6% |
| | Transformers | 69 | 91% | 1% | 7% |

| Cat. | Topic | Count | Keep as-is | Change title | Remove |
|--------------------------------|---------------------------|-------|------------|--------------|--------|
| <i>Equipment Under Control</i> | | 93 | 85% | 11% | 4% |
| | Valves | 70 | 96% | 3% | 1% |
| | Motors | 70 | 94% | 3% | 3% |
| | Pumps | 70 | 94% | 3% | 3% |
| | Variable frequency drives | 70 | 93% | 3% | 4% |
| | Generators | 69 | 94% | 1% | 4% |
| | Relays | 70 | 91% | 7% | 1% |
| | Breakers | 70 | 91% | 3% | 6% |
| | Transformers | 69 | 91% | 1% | 7% |

| Cat. | Topic | Count | Keep as-is | Change title | Remove |
|--|---|-------|------------|--------------|--------|
| <i>Industrial Operations Ecosystem</i> | | 92 | 82% | 16% | 2% |
| | Industry Sectors | 77 | 97% | 3% | 0% |
| | Professional Roles and Responsibilities | 77 | 96% | 4% | 0% |
| | Organizational Roles | 77 | 96% | 0% | 4% |
| | Process Types | 78 | 95% | 3% | 3% |
| | Industrial Lifecycles | 77 | 96% | 3% | 1% |
| | Facilities | 77 | 95% | 5% | 0% |
| | Engineering Diagrams | 76 | 93% | 5% | 1% |

Over 90% of respondents chose “keep as is” for all but three items: Industrial operations ecosystem (82%), Equipment under control (85%) and Safety (86%).

Notwithstanding this general indication of acceptability, the researchers wanted to consider each suggestion for improvement on its own merits. Seventy-six respondents provided a total 342 suggestions for improvement. As some responses included multiple parts, this gave an atomized quantity of 461 responses.

One respondent offered 47 suggestions. Forty-five respondents offered just one or two suggestions. In addition, four responses from the Industrial Cybersecurity Knowledge Section were moved into the Foundational Industrial Control Systems Knowledge Section, for a grand total of 465.

Of the 465 responses provided, 278 (60%) were incorporated into the guidance. A summary of the final dispositions into the mutually exclusive dispositions appears in Table XV.

TABLE XV. Final Dispositions of Respondents Input by Category

| Final disposition | Count |
|-----------------------------------|------------|
| Directly accepted | 91 |
| Indirectly accepted | 158 |
| Note made in guidance document | 29 |
| Referred to cybersecurity section | 53 |
| Insufficient detail provided | 53 |
| No change | 81 |
| Total | 465 |

c) *Survey Responses for Which No Change Was Made*

Table XVI shows that the 81 suggestions for which no change was made were placed in four explanatory categories:

TABLE XVI. Categories Within Disposition “No Change Made”

| Category for “No change” | Count |
|--------------------------|-----------|
| Already included | 7 |
| Just commentary | 6 |
| Out of scope | 22 |
| Otherwise not persuasive | 46 |
| Total | 81 |

The most common suggestion for which no change was made dealt with networking technologies. While such suggestions were generally out of scope (because the scope was limited to topics not covered in a traditional IT, computer science, or cybersecurity program), these suggestions highlight the importance of including traditional networking topics to adequately prepare industrial cybersecurity professionals in other forms of curricular guidance (such as a model curriculum).

Ultimately, the researchers chose to not change the term that received the highest score (16%) for “change title”: Industrial Operations Ecosystem. To address the expressed concerns, the researchers chose to add four subcategories: Business Context, Geopolitical Context, Professional Context, and Industry Context.

d) *Description of Changes to Survey Section 2*

The most significant changes resulting from the review of each written response were: 1) alterations to category titles; and 2) the addition of subcategories and subtopics.

Changes to category titles are shown in the Table XVII

TABLE XVII. Strawman Categories VS. Final Categories

| Strawman Categories | Final Categories |
|---------------------------------|--|
| Industrial Operations Ecosystem | Industrial Operations Ecosystem |
| Instrumentation and Control | Instrumentation & Control |
| Equipment Under Control | Process Equipment |
| Industrial Communications | Industrial Networking & Communications |
| Safety | Process Safety & Reliability |

2) *Analysis of Responses to Survey Section 3 – Industrial Cybersecurity Knowledge*

a) *Relevance*

Respondents were asked to rank each term on a scale of 1 (irrelevant) to 10 (extremely relevant) for the field of industrial cybersecurity. Table XVIII shows the Kendall Tau sub b (KTb) correlation coefficients for “Years in Industrial Automation / Control Systems” with each category in the strawman.

TABLE XVIII. Correlation Among Terms and Respondent Experience in Industrial Automation

| Strawman Category | KTb | Sig | N |
|-------------------------|-------|-------|----|
| Regulation and guidance | 0.172 | 0.073 | 65 |
| Common weaknesses | 0.025 | 0.797 | 65 |

| Strawman Category | KTb | Sig | N |
|---------------------------------------|-------|-------|----|
| Events and incidents | 0.152 | 0.114 | 65 |
| Defensive technologies and approaches | 0.169 | 0.091 | 65 |

This correlation analysis indicates weak positive correlation between experience in industrial automation and category titles, but this correlation is not statistically significant.

The researchers also ran the correlation analysis using “Years in cybersecurity” as the independent variable – as seen in Table XIX below.

TABLE XIX. Correlation Among Terms and Respondent Experience in Cybersecurity

| Strawman Category | KTb | Sig | N |
|---------------------------------------|-------|-------|----|
| Regulation and guidance | 0.099 | 0.291 | 68 |
| Common weaknesses | 0.148 | 0.119 | 68 |
| Events and incidents | 0.157 | 0.095 | 68 |
| Defensive technologies and approaches | 0.008 | 0.938 | 68 |

This correlation analysis shows weak positive correlations between respondent years in cybersecurity and each of the category titles. None of these correlations was statistically significant.

b) *Keep, Change, or Remove*

It is clear from a review of survey results that the respondents generally agreed with the industrial cybersecurity categories and topics provided in the strawman. Table XX shows the responses for “keep as is”, “change”, and “remove topic” for each category and topic.

TABLE XX. Response for Count, Keep As-is, Change Title, Remove for Section 3 – Industrial Cybersecurity Knowledge

| Cat. | Topic | Count | Keep as-is | Change title | Remove |
|--------------------------------|----------------------|-------|------------|--------------|--------|
| <i>Regulation and Guidance</i> | | 68 | 99% | 1% | 0% |
| | ISA/IEC 624432 | 67 | 97% | 3% | 0% |
| | Presidential Orders | 67 | 91% | 1% | 7% |
| | NIST SP 800-82r2 | 67 | 97% | 3% | 0% |
| | NERC CIP | 67 | 97% | 3% | 0% |
| | EU Cybersecurity Act | 67 | 96% | 1% | 3% |

| Cat. | Topic | Count | Keep as-is | Change title | Remove |
|--------------------------|------------------------------------|-------|------------|--------------|--------|
| <i>Common Weaknesses</i> | | 68 | 96% | 4% | 0% |
| | Indefensible Network Architectures | 65 | 100% | 0% | 0% |
| | Unauthenticated Protocols | 66 | 97% | 3% | 0% |
| | Unpatched Systems | 66 | 100% | 0% | 0% |
| | Lack of Training | 64 | 94% | 5% | 2% |
| | Transient Devices | 66 | 95% | 5% | 0% |
| | Third Party Access | 66 | 95% | 5% | 0% |
| | Supply Chain | 65 | 92% | 5% | 3% |

| Cat. | Topic | Count | Keep as-is | Change title | Remove |
|-----------------------------|-------------------|-------|------------|--------------|--------|
| <i>Events and Incidents</i> | | 68 | 97% | 3% | 0% |
| | DHS Aurora | 64 | 95% | 3% | 2% |
| | Stuxnet | 64 | 95% | 3% | 2% |
| | Ukraine 2015 | 64 | 95% | 3% | 2% |
| | Ukraine 2016 | 64 | 95% | 3% | 2% |
| | Triton | 64 | 95% | 3% | 2% |
| | Taum Sauk Dam | 64 | 95% | 3% | 2% |
| | DC Metro Red line | 64 | 95% | 3% | 2% |
| | San Bruno | 64 | 95% | 3% | 2% |
| | Colonial Pipeline | 64 | 95% | 3% | 2% |

| Cat. | Topic | Count | Keep as-is | Change title | Remove |
|--|------------------------------|-------|------------|--------------|--------|
| <i>Defensive Technologies and Approaches</i> | | 68 | 97% | 3% | 0% |
| | Industrial Network Firewalls | 65 | 98% | 2% | 0% |
| | Data Diodes | 65 | 94% | 2% | 5% |
| | Process Data Analysis | 65 | 95% | 3% | 2% |

| Cat. | Topic | Count | Keep as-is | Change title | Remove |
|------|--|-------|------------|--------------|--------|
| | ICS Network Monitoring | 65 | 100% | 0% | 0% |
| | Cyber informed engineering | 64 | 100% | 0% | 0% |
| | Process hazards assessment based | 64 | 98% | 0% | 2% |
| | Cyber-physical failsafes | 64 | 95% | 0% | 5% |
| | Awareness and Training for ICS personnel | 64 | 100% | 0% | 0% |

Over 90% of respondents chose “keep as is” for all items. Notwithstanding this general indication of acceptability, the researchers wanted to consider each suggestion for improvement on its own merits.

Fifty respondents provided a total 154 responses. As 58 responses included multiple parts, this gave an atomized quantity of 213 responses. In addition, 53 responses from the Industrial Control System Knowledge Section were moved into the Industrial Cybersecurity Knowledge Section, bringing the total to 266 responses. One respondent offered 29 suggestions. Thirty-three respondents offered just one or two suggestions.

Of the 266 atomized responses provided, 192 (72%) were incorporated into the guidance. A summary of the final dispositions into the mutually exclusive categories is shown in Table XXI.

TABLE XXI. Final Disposition of Suggestions Provided in Survey Section 3 – Industrial Control Systems Knowledge by Category

| Final disposition | Count |
|--|------------|
| Directly accepted | 59 |
| Indirectly accepted | 106 |
| Note made in guidance document | 28 |
| Final disposition | Count |
| Insufficient detail provided | 8 |
| No change | 61 |
| Referred to foundational ICS knowledge section | 4 |
| Total | 266 |

c) *Survey Responses for Which No Change Was Made*

The 54 suggestions for which no change was made were classified into the four categories displayed in Table XXII.

TABLE XXII. Counts of Responses for Which No Change was Made

| Category for “No change” | Count |
|--------------------------|-----------|
| Already included | 17 |
| Just commentary | 2 |
| Out of scope | 13 |
| Otherwise not persuasive | 22 |
| Total | 54 |

d) *Description of Changes to Survey Section 3*

The most significant change was the addition of subtopics to provide improved order and organization. In addition, the researchers compared the list of Common Weaknesses to the list of Defensive Techniques to ensure reasonable alignment. The guidance grew from 33 total items in the strawman to 129 items based on respondent suggestions. When incorporating the changes suggested, the researchers added an additional 76 items, bringing the total to 205. These changes enhance the utility of the guidance by providing increased clarity and specificity.

A comparison between the strawman and the final list of categories and topics can be found at [17].

C. *Creation of Category and Topic Descriptions*

Once the list of categories and topics had been identified, the researchers set out to define and describe each of the key terms in a way that highlighted its importance to industrial cybersecurity. The researchers produced the 122-page “Curricular Guidance: Industrial Cybersecurity Knowledge” document [18].

The document is intended to provide authors, instructors, education administrators, and students with a foundational point of reference for knowledge items common in the field of industrial/OT cybersecurity. As such, it serves as an informative – though not necessarily definitive – hierarchical glossary.

The document is organized in two main sections: Foundational Industrial Control Systems Knowledge, and Industrial Cybersecurity Knowledge. Each section is further decomposed into categories, topics, and subtopics to reach a level of reasonable granularity.

While some topic names are identical to those found in traditional cybersecurity contexts, it describes the unique or

special considerations of those topics for operations environments.

The document makes extensive use of cross-referenced hyperlinks to facilitate navigation. A link at the bottom of each page quickly takes the reader back to the table of contents to navigate within the hierarchical structure.

V. CONCLUSIONS, LIMITATIONS, AND FUTURE WORK

A. Conclusions

This work set out to address two key deficiencies among existing curricular guidance for industrial cybersecurity: a) lack of a clear description of what was meant by the term “OT” or “industrial” cybersecurity; and b) lack of description of how the guidance was created.

To accomplish these objectives researchers implemented a multi-stage research methodology that 1) ensured broad participation from qualified professionals by recording respondent background, 2) administered the survey to a reasonably sized group, 3) performed a statistical analysis of respondents’ perception of relevance, 4) considered every written suggestion for improvement, 5) incorporated nearly 2/3 of suggestions for improvement, 6) made the raw data and analysis freely available, and 7) crafted descriptions of each term to differentiate “industrial” or “OT” cybersecurity from traditional cybersecurity.

B. Limitations

The work presented herein is subject to limitations of both methodology and results.

1) Methodology Limitations

From a methodological point of view, it is possible that 65 survey finishers are not sufficient to claim consensus. The term “consensus” implies a group capable of raising meaningful objections has expressed satisfaction with the result. While the methodology employed showed that a vast majority of respondents (between 82% and 100%) chose “keep as is” for each term in the strawman, and the researchers incorporated each suggestion they considered possible, the researchers did not circle back to the survey respondents with the resulting guidance for another round of review.

To deal with these methodological limitations, the researchers suggest the creation of a web-based input form whereby interested individuals could provide ongoing suggestions for improvement, and that those suggestions receive consideration when the knowledge-based curricular guidance is updated from time to time.

In addition, during review of respondent comments, the researchers realized it was necessary to add some 292 unrequested topics, representing more than half (292 of 560) of the final term count. These additions were not subject to the same level of review as the initial strawman. However, a review of the actual dispositions shows that these terms clearly fall under/within the strawman categories or respondent-provided suggestions, and are consistent with the

stated purposes of this research because they clearly describe what is meant by “OT” or “industrial” cybersecurity.

It should also be recognized that while the methodology did achieve its stated objective of clearly describing “OT” and “industrial” cybersecurity, it did not explore the question of what would be an optimal level of depth. Coverage may be too deep in some cases and not deep enough in others.

2) Results Limitations

From a content point of view, it is apparent that as the field of industrial cybersecurity evolves, the knowledge document will require periodic updates to address new developments. This is a struggle faced by any curricular guidance. Second, because the knowledge document intended to cover knowledge that is different from traditional cybersecurity, additional work will need to describe required knowledge that is the same as traditional cybersecurity. Finally, because the field is by nature interdisciplinary, topics such as industry sectors, process equipment, and communications protocols will require some discretion for appropriate implementation by instructors.

C. Future Work

From the perspective of the researchers, this work should be followed-up with the development of a model curriculum (or curricula). Such a curriculum should describe one way the knowledge described in this work can guide the development of engineering (engineers) or engineering technology professionals (technicians) who design, build, operate, maintain, dismantle, and defend operational technology environments.

A model curriculum should include IT, networking, and computer science topics not covered in the knowledge document. It should describe program level learning objectives, course objectives, course sequence, schedule of topics, proposed learning activities, and advance evidence-based assessment methodologies. Ideally learning activities would incorporate cognitive and behavioral instructional modalities that reflect both descriptive (what is currently done) and prescriptive (what should be done) practices.

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