

# Analysis and Improvement on a Three-Factor Authentication Scheme in IoT Environment

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**Abstract:** With the development of IoT technology, more and more devices are connected to the Internet, which brings great convenience to people, but also security risks. As a result, IoT authentication scheme has become a research hotspot. In 2020, Lee et al. proposed a three-factor anonymous authentication scheme in IoT environment and claimed that their scheme can resist many known attacks. However, we find that their scheme not only has some drawbacks, but also has difficulty in resisting man-in-the-middle attack and impersonation attack. To overcome these drawbacks, we propose an improved scheme. Through security analysis and computational cost comparison, it is shown that the improved scheme is not only resistant to existing known attacks, but also has a smaller overhead in terms of computational cost and is suitable for resource-constrained IoT environment.

**Keywords:** Internet of Things (IoT); Authentication; Key Agreement.

## 1. Introduction

With the rapid development of sensing and communication technologies, the Internet of Things (IoT) connects real-world objects to the Internet. IoT is a collection of physical devices such as embedded sensors and actuators with computing power that can communicate between devices and systems. IoT enables seamless communication and automatic management between heterogeneous devices, and can bring significant benefits to social production and human life through a fully intelligent and automated remote management system[2].

However, from a security perspective, the vast majority of IoT devices are exposed to an almost uncontrollable environment. At the same time, the proliferation of smart devices places their connection to the Internet at potential risk, such as unauthorized node tampering or impersonation attack[3]. To ensure the security of the IoT, people have been exploring authentication schemes and carrying out related research. Authentication is not only a key requirement for the development and progress of IoT, but also the "first line of defense" for security protection. Inadequate authentication or the existence of security vulnerabilities will lead to a security crisis for the entire IoT.

In recent years, scholars have done a lot of research on authentication schemes in IoT, but many existing schemes have security concerns[4]. In 2014, Chen et al.[4] proposed a smart-card-based password authentication and key negotiation scheme in order to solve the problem of remote user access. They claimed that their scheme is resistant to off-line password guessing attack even if an attacker extracts the information stored in smart card. In 2015, Jiang et al.[5] pointed out that the scheme of Chen et al.[4] is insecure and proposed an improved authentication scheme. In 2016, Das[6] found that Jiang et al.'s scheme[5] was not only vulnerable to internal privilege attack, but also failed to provide correct authentication during the login and authentication phase. Therefore, Das[6] presented a three-factor authentication scheme, especially the use of biometric-based password and smart card, which greatly enhanced the security of the scheme.

In 2017, Dhillion et al.[7] proposed a lightweight multi-factor remote user authentication scheme in IoT environment and claimed that their scheme can resist a variety of known attacks such as man-in-the-middle attack, replay attack and so on. In 2018, Kumari et al.[8] presented an ECC-based authentication scheme for IoT and cloud servers. They claimed that their scheme is resistant to known attacks such as impersonation attack, offline password guessing attack, and meets various security objectives. In 2019, Gope et al.[9] proposed a lightweight and privacy-preserving two-factor authentication scheme. The scheme allows IoT devices to communicate anonymously with the server and effectively improves the security of the scheme by exploiting the inherent security properties of physical unclonable functions (PUF). Moreover, they claimed that their scheme is efficient and suitable for resource-constrained IoT devices. In 2020, Lee et al.[10] pointed out that Dhillion et al.'s scheme[7] fails to resist stolen mobile device attack, user impersonation attack, and has no provision for revocation. As a result, they proposed an improved three-factor user authentication scheme to address these security issues. However, we find that there are some security vulnerabilities in Lee et al.'s scheme[10]. On the one hand, Lee et al.'s scheme[10] has some drawbacks in the registration of user, registration of IoT node as well as login and authentication phase. On the other hand, Lee et al.'s scheme[10] cannot resist man-in-the-middle (MITM) attack and user impersonation attack.

Section II briefly reviews Lee et al.'s authentication scheme. The analysis of the shortcomings of the Lee et al.'s scheme is in Section III. In Section IV, we propose an improved scheme. The security of the improved scheme is analyzed in Section V. Section VI compares the improved scheme with similar schemes. Section VII concludes the paper.

## 2. Review of Lee et al.'s Scheme

This section briefly reviews Lee et al.'s scheme[10], which mainly consists of the following five phases: (1) registration of user; (2) registration of IoT node; (3) login and authentication phase; (4) password change phase; (5) revocation phase. Since the shortcomings of the scheme of

Lee et al.[10] are mainly focused on the first three phases, for the sake of brevity, this section reviews only the registration of user, the registration of IoT node, and the login and authentication phase. Table 1 denotes the notations and descriptions in Lee et al.'s scheme[10].

**Table 1.** Notations and descriptions used in Lee et al.'s scheme

Notations	Definition
$MN_i, N_j, GW$	The $i^{\text{th}}$ mobile user, gateway and the $j^{\text{th}}$ sensor node
$ID_i, PW_i, BIO_i$	Identity, password, biometrics of $MN_i$
$ID_j$	Identity of $N_j$
$PID_i, NID_j$	Pseudo-identity of $MN_i, N_j$
$K_G$	Private key only known to GW
$E_k(\cdot)/D_k(\cdot)$	Symmetric encryption/decryption algorithm with key
$n_x, r_x$	Random numbers
$SK, SK_{ij} (= SK_{ji})$	Session key agreed between $MN_i$ and $N_j$
$Gen(\cdot)/Rep(\cdot)$	Fuzzy biometric generator/reproduction
$T_x$	Timestamps
$\Delta T$	Maximum transmission delay
$\oplus$	Exclusive-OR operator
$\parallel$	Concatenation operator
$h(\cdot)$	Hush function
$H(\cdot)$	Bio-Hash function

## 2.1. Registration of User

In this phase, a user registers at the gateway by performing the following steps.

1)  $MN_i$  selects its own identity  $ID_i$ , password  $PW_i$  and enters the biometric  $BIO_i$ .  $MN_i$ 's mobile device calculates

$$PWB_i = h(PW_i \parallel H(BIO_i)),$$

$$MID_i = h(ID_i \parallel H(BIO_i)),$$

and sends a registration message  $\{ID_i, PWB_i, MID_i\}$  to GW over a secure channel.

2) GW chooses two random numbers  $r_D, r_{GU}$ , and computes

$$RID_i = E_{K_G}(ID_i),$$

$$PID_i = E_{K_G}(ID_i \parallel r_D),$$

$$x_i = h(ID_i \parallel PWB_i),$$

$$y_i = h(ID_i \parallel PWB_i \parallel r_{GU}) \oplus h(K_{GU} \parallel ID_i),$$

where  $K_{GU}$  is the secret value chosen by GW for  $MN_i$ .

GW stores  $\{RID_i, MID_i\}$  in its own database and sends  $\{PID_i, x_i, y_i, r_{GU}\}$  to  $MN_i$ .

3)  $MN_i$  stores  $\{PID_i, x_i, y_i, r_{GU}\}$  in its own mobile device.

## 2.2. Registration of IoT Node

The registration process of IoT node is as follows.

1)  $N_j$  chooses  $ID_j, r_j$ , and computes

$$MP_j = h(K_{GN} \parallel r_j \parallel ID_j),$$

$$MI_j = r_j \oplus h(ID_j \parallel K_{GN}),$$

where  $K_{GU}$  is the shared key between GW and  $N_j$ .  $N_j$  sends registration information  $\{ID_j, MP_j, MI_j\}$  to GW over the public channel.

2) After receiving the registration information, GW discloses  $N_j$ 's identity  $ID_j$  and computes  $r_j^* = MI_j \oplus h(ID_j \parallel K_{GN})$ ,  $MP_j^* = h(K_{GN} \parallel r_j^* \parallel ID_j)$ . GW checks whether  $MP_j^* = MP_j$  holds or not. If it fails, the current phase is terminated immediately; otherwise, GW computes

$$x_j = h(ID_j \parallel K_{GN}),$$

$$y_j = x_j \oplus MP_j^*,$$

and sends  $\{y_j\}$  to  $N_j$ .

3)  $N_j$  receives the message from GW and stores  $\{y_j\}$  in its own memory.

## 2.3. Login and Authentication Phase

In this stage,  $MN_i$  and  $N_j$  agree on a session key with the help of GW. The specific steps are as follows.

1)  $MN_i$  enters  $ID_i, PW_i$  and  $BIO_i$ .  $MN_i$ 's mobile device calculates

$$PWB_i = h(PW_i \parallel H(BIO_i)),$$

$$x_i^* = h(ID_i \parallel PWB_i),$$

and checks the equation  $x_i^* = x_i$ . If the equation is invalid, the current phase will be terminated immediately. Otherwise, mobile device generates  $n_i, T_1$ , and computes

$$A_i = y_i \oplus h(ID_i \parallel PWB_i \parallel r_{GU}),$$

$$UN_i = h(A_i \parallel PID_i \parallel n_i),$$

$$UZ_i = n_i \oplus A_i.$$

Then,  $MN_i$  sends a message  $M_1 = \{PID_i, UN_i, UZ_i, T_1\}$  to  $N_j$ .

2)  $N_j$  verifies whether  $|T_{fresh} - T_1| \leq \Delta T$  is correct or not, and if not, it will immediately terminate the current phase. Otherwise,  $N_j$  generates a random number  $n_j$  and calculates

$$x_j = y_j \oplus h(K_{GN} \parallel r_j \parallel ID_j),$$

$$A_j = h(x_j) \oplus n_j,$$

$$B_j = h(x_j \parallel n_j).$$

$N_j$  sends  $M_2 = \{M_1, ID_j, A_j, B_j\}$  to GW.

3) GW firstly checks the correction of  $|T_{fresh} - T_1| \leq \Delta T$ . If not, GW will reject  $N_j$ 's request. Otherwise, GW computes

$$x_j^* = h(ID_j \parallel K_{GN}),$$

$$n_j^* = h(x_j^*) \oplus A_j,$$

$$B_j^* = h(x_j^* \parallel n_j^*).$$

Then, GW verifies whether  $B_j^* = B_j$  is true or not. If fails, the current session will be terminated. Otherwise, GW

decrypts  $PID_i$  using its private key  $K_G$  to obtain a pair of values  $\langle ID_i, r_D \rangle = D_{K_G}(PID_i)$ , and computes

$$\begin{aligned} A_i^* &= h(K_{GU} \parallel ID_i), \\ n_i^* &= UZ_i \oplus A_i^*, \\ UN_i^* &= h(A_i^* \parallel PID_i \parallel n_i^*), \end{aligned}$$

GW checks whether  $UN_i^* = UN_i$  holds or not. If it's not equal, GW will reject  $MN_i$ 's request. Otherwise, GW generates  $r_D^{new}$ , and calculates

$$\begin{aligned} F_j &= h(ID_i \parallel n_i^*), \\ G_j &= F_j \oplus x_j^*, \\ R_{ij} &= n_j^* \oplus n_i^*, \\ H_j &= h(x_j^* \parallel n_j^* \parallel n_i^* \parallel F_j), \\ PID_i^{new} &= E_{K_G}(ID_i, r_D^{new}). \end{aligned}$$

GW sends  $M_3 = \{PID_i^{new}, G_j, R_{ij}, H_j\}$  to  $N_j$ .

4)  $N_j$  computes

$$\begin{aligned} F_j^* &= G_j \oplus x_j, \\ n_i^* &= R_{ij} \oplus n_j, \\ H_j^* &= h(x_j \parallel n_j \parallel n_i^* \parallel F_j^*), \end{aligned}$$

and verifies whether  $H_j^* = H_j$  is correct or not. If fails,  $N_j$  terminates the current session immediately. Otherwise,  $N_j$  selects  $m_j, T_2$ , and computes

$$\begin{aligned} L_j &= m_j \oplus h(ID_j \parallel n_i^*), \\ SK_{ji} &= h(F_j^* \parallel n_i^* \parallel m_j), \\ SV_j &= h(SK_{ji} \parallel T_1 \parallel T_2). \end{aligned}$$

Then,  $N_j$  sends  $M_4 = \{PID_i^{new}, L_j, SV_j, T_2\}$  to  $MN_i$ .

5)  $MN_i$  checks whether  $|T_{fresh} - T_2| \leq \Delta T$ . If it's not equal,  $MN_i$  will terminate current phase. Otherwise,  $MN_i$  computes

$$\begin{aligned} m_j^* &= L_j \oplus h(ID_j \parallel n_i), \\ SK_{ij} &= h(h(ID_i \parallel n_i) \parallel n_i \parallel m_j^*), \\ SV_i &= h(SK_{ij} \parallel T_1 \parallel T_2). \end{aligned}$$

$MN_i$  verifies whether  $SV_i = SV_j$  holds or not. If it holds, then  $MN_i$  and  $N_j$  have successfully agreed on the same session key.

### 3. Analysis of Lee et al.'s Scheme

This section provides a security analysis of Lee et al.'s [10] scheme and identifies the following drawbacks of their scheme.

#### 3.1. Drawbacks

Lee et al.'s scheme [10] has some drawbacks in the registration of user, registration of IoT node and login and authentication phase.

##### 3.1.1. Drawbacks of the User Registration Phase

1) In the user registration phase of the Lee et al.'s scheme [10], GW selects a secret value  $K_{GU}$  for  $MN_i$ . However, GW does not store  $K_{GU}$  in its own database, which will result in GW not being able to retrieve  $K_{GU}$  during the login and authentication phase, and thus not being able to authenticate  $MN_i$  by equation (1).

$$\begin{aligned} A_i^* &= h(K_{GU} \parallel ID_i) \\ n_i^* &= UZ_i \oplus A_i^* \\ UN_i^* &= h(A_i^* \parallel PID_i \parallel n_i^*) \end{aligned} \quad (1)$$

Checks  $UN_i^* = UN_i$

2) GW calculates  $RID_i, PID_i$  after receiving the registration information  $\{ID_i, PWB_i, MID_i\}$  sent by  $MN_i$ .

$$\begin{aligned} RID_i &= E_{K_G}(ID_i), \\ PID_i &= E_{K_G}(ID_i \parallel r_D), \end{aligned}$$

GW stores  $\{RID_i, MID_i\}$  in its own database. During the login and authentication phase, the identity information transmitted by  $MN_i$  in the public channel is the pseudo-identity  $PID_i$ . GW computes  $\langle ID_i, r_D \rangle = D_{K_G}(PID_i)$  and authenticates the  $MN_i$  by equation (1), without using the stored values  $\{RID_i, MID_i\}$ .

Therefore, the second drawback is that the user information stored by GW in the registration phase is not fully utilized. On the one hand, storing  $\{RID_i, MID_i\}$  takes up the GW's storage space. If too much user information is stored or stored for too long, it will put pressure on the storage resources of GW. On the other hand, there is a risk of information leakage. For example, attackers can obtain user information by attacking GW, which can lead to security problems.

##### 3.1.2. Drawbacks of the IoT Node Registration Phase

In the registration phase of the IoT node, GW calculates a secret value for  $N_j$

$$x_j = h(ID_j \parallel K_{GN}).$$

During the login and authentication phase, GW checks whether  $N_j$  is a registered IoT node according to equation (2), where  $x_j$  is the key value to authenticate  $N_j$ . However, since  $K_{GN}$  is a shared key between GW and  $N_j$ , and  $N_j$  knows its own identity  $ID_j$ , then  $N_j$  can directly calculate  $x_j$ , which means that  $N_j$  can register itself without registering with GW for the subsequent login and authentication phase.

$$\begin{aligned} B_j^* &= h(x_j^* \parallel n_j^*) \\ \text{Checks } B_j^* &= B_j \end{aligned} \quad (2)$$

##### 3.1.3. Drawbacks of the Login and Authentication Phase

There are numerous nodes in IoT and each IoT node has a unique identity to identify itself and authenticate with other entities. During the login and authentication phase of Lee et al.'s scheme [10], as shown in equation (3), each value contained in the message  $M_1 = \{PID_i, UN_i, UZ_i, T_1\}$  sent by  $MN_i$  to  $N_j$  does not incorporate  $N_j$ 's identity  $ID_j$ , i.e.,  $MN_i$  does not indicate which IoT node it wants to contact.

$$\begin{aligned}
PID_i &= E_{K_G}(ID_i \parallel r_D) \\
UN_i &= h(A_i \parallel PID_i \parallel n_i) \\
UZ_i &= n_i \oplus A_i
\end{aligned} \tag{3}$$

### 3.2. Man-in-the-Middle (MITM) Attack

After  $N_j$  has contacted  $MN_i$  once,  $N_j$  obtains  $MN_i$ 's updated pseudo-identity  $PID_i^{new}$ . Then,  $N_j$  can track  $MN_i$  when  $MN_i$  contact another IoT node  $N_s$  with  $PID_i^{new}$ . Assuming that  $N_j$  knows the identity  $ID_i$  of  $MN_i$ , and since  $N_j$  has obtained  $\{n_i, UZ_i\}$  while contacting with  $MN_i$ . In that case,  $N_j$  can launch the MITM attack based on the information  $\{UZ_i^*, L_s\}$  intercepted from this contact between  $MN_i$  and  $N_s$ . The specific steps of the attack are as follows.

1) In the login and authentication phase where  $MN_i$  and  $N_j$  are interconnected,  $N_j$  receives the message  $M_1 = \{PID_i, UN_i, UZ_i, T_1\}$  sent by  $MN_i$  and obtains  $UZ_i$ .  $N_j$  computes  $n_i$  by

$$n_i = R_{ij} \oplus n_j$$

according to the message

$$M_3 = \{PID_i^{new}, G_j, R_{ij}, H_j\}$$

sent by  $GW$ .

2) The random number generated by  $MN_i$  during the current round of contact with  $N_s$  is noted as  $n_i^{**}$ , then

$$UZ_i^* = n_i^{**} \oplus A_i.$$

$N_j$  intercepts the message  $M_1 = \{PID_i, UN_i^*, UZ_i^*, T_1\}$  sent by  $MN_i$  to  $GW$ , and thus obtains  $UZ_i^*$ .  $N_j$  can get  $n_i \oplus n_i^{**}$  by dissimilarizing  $UZ_i^*$  with the  $UZ_i$  obtained by  $N_j$  in the previous round of contact with  $MN_i$ .

3) Since  $N_j$  obtained  $n_i$  in the last contact between  $N_j$  and  $MN_i$ ,  $N_j$  can calculate  $n_i^{**}$  based on  $n_i \oplus n_i^{**}$  and  $n_i$ , then  $N_j$  can compute  $F_s = h(ID_i \parallel n_i^{**})$  for the current contact between  $MN_i$  and  $N_s$ .

4) At this time when  $MN_i$  contacts  $N_s$ ,  $N_j$  intercepts the message

$$M_4 = \{PID_i^{new}, L_s, SV_s, T_2\}$$

sent by  $N_s$  to  $MN_i$ , thus obtaining  $L_s$ . Due to  $L_s = m_s \oplus h(ID_s \parallel n_i^{**})$ , and the identity  $ID_s$  of  $N_s$  are public,  $N_j$  can calculate  $m_s$ .

5) In summary,  $N_j$  can calculate the session key

$$SK_{is} = SK_{si} = h(F_s \parallel n_i^{**} \parallel m_s)$$

reached between  $MN_i$  and  $N_s$  in the current round of  $MN_i$ - $N_s$  contact.

### 3.3. Impersonation Attack

According to Section 3.2,  $N_j$  records the obtained values  $\{n_i, UZ_i, PID_i^{new}\}$  when contacting  $MN_i$ . Therefore,  $N_j$  can then act as an attacker to impersonate  $MN_i$  to  $N_k$  when  $MN_i$  wants to contact another IoT node  $N_k$ ,

1) Since  $N_j$  knows  $MN_i$ 's updated pseudo-identity  $PID_i^{new}$ ,  $N_j$  can track  $MN_i$  based on  $PID_i^{new}$  in

$M_1 = \{PID_i^{new}, UN_i', UZ_i', T_1\}$  and tamper with  $M_1$  when  $MN_i$  contacts  $N_k$  using  $PID_i^{new}$ .  $N_j$  chooses a random number  $n_i'$ . when  $MN_i$  contacts  $N_k$  using  $PID_i^{new}$ . Then,  $N_j$  can calculate  $A_i$  by

$$A_i = UZ_i \oplus n_i$$

based one  $\{n_i, UZ_i\}$  obtained in the previous round of contacting  $MN_i$ . From  $A_i = h(K_G \parallel ID_i)$ ,  $A_i$  is constant in each contact, so  $N_j$  can continue to use  $A_i$  for the following calculations in the current round when  $MN_i$  contacts  $N_k$

$$UN_i' = h(A_i \parallel PID_i^{new} \parallel n_i'),$$

$$UZ_i' = n_i' \oplus A_i.$$

$N_j$  sends  $M_1' = \{PID_i^{new}, UN_i', UZ_i', T_1\}$  to  $N_k$ .

2)  $N_k$  firstly checks the validity of timestamp  $T_1$  based on the receipt of message  $M_1'$ . If  $T_1$  is invalid,  $N_k$  terminates the current phase. Otherwise,  $N_k$  generates a random number  $n_k$ , calculates

$$x_k = y_k \oplus h(K_{GN} \parallel r_k \parallel ID_k),$$

$$A_k = h(x_k) \oplus n_k,$$

$$B_k = h(x_k \parallel n_k),$$

and send  $M_2' = \{M_1', NID_k, A_k, B_k\}$  to  $GW$ .

3) When  $GW$  receives  $M_2'$ , it first checks if  $|T_{fresh} - T_1| \leq \Delta T$  is valid.  $GW$  will terminate the current phase, if it fails. Otherwise,  $GW$  calculates

$$x_k^* = h(ID_k \parallel K_{GN}),$$

$$n_k^* = h(x_k) \oplus A_k,$$

$$B_k^* = h(x_k^* \parallel n_k^*).$$

$GW$  verifies equation  $B_k^* = B_k$  and finds that equations hold, i.e.,  $GW$  can authenticate  $N_k$ .

4)  $GW$  computes

$$\langle ID_i, r_D^{new} \rangle = D_{K_G}(PID_i^{new}),$$

$$A_i^* = h(K_G \parallel ID_i),$$

$$n_i^* = UZ_i' \oplus A_i^*,$$

$$UN_i^* = h(A_i^* \parallel PID_i^{new} \parallel n_i^*).$$

After  $GW$  validates equation  $UN_i^* = UN_i'$  and finds that the equation is correct, then it means that  $GW$  authenticates the user impersonated by  $N_j$ . Then, both  $GW$  and  $N_k$  will proceed normally with the rest of the login and authentication phase.

5) Eventually,  $N_k$  and attacker  $N_j$  agree on a session key, i.e., attacker  $N_j$  successfully impersonates the user.

## 4. Proposed Scheme

In order to overcome the shortcomings of Lee et al.'s scheme [10], an improved scheme is proposed in this section. Firstly, the improved scheme protects the key values stored in the mobile device against the stolen mobile device attack during the user registration phase. Secondly, in the

registration phase of IoT node, the improved scheme transmits information through a secure channel and simplifies the registration process. Thirdly, messages transmitted over the public channel are added with timestamps to resist replay attacks during the login and authentication phase of the improved scheme. In addition, the updated user pseudo-identity  $PID_i^{new}$  will not be transmitted to the user through the public channel, thus ensuring the untrace ability of the scheme.

For the sake of brevity, this section only describes the registration for user, the registration for IoT node, the login and the key agreement phase. The specific steps are as follows.

#### 4.1. Registration for User

As soon as a new user wants to access an IoT service and contact one of the IoT nodes, he/she must first register with GW. GW stores the user's registration information in order to verify his/her identity during the login phase. As shown in Figure 1, this phase is divided into three steps.

1)  $MN_i$  enters his/her identity  $ID_i$ , password  $PW_i$  and biometric  $BIO_i$ . The mobile device selects a random number  $n_m \in Z_q^*$ . The mobile device extracts two strings  $Gen(BIO_i) = (\sigma_i, \rho_i)$  from the  $BIO_i$  using  $Gen(\cdot)$  of the fuzzy extractor and computes  $PWB_i = h(PW_i \parallel \sigma_i)$ . Then,  $MN_i$  sends the registration information  $\{ID_i, PWB_i, n_m\}$  to GW through a secure channel.

2) Once GW receives the registration information from  $MN_i$ , it generates the pseudo-identity  $PID_i$  of  $MN_i$  and chooses a random number  $n_G \in Z_q^*$ . GW calculates some values by equation (4) and then stores  $\{PID_i, ID_i, n_G, n_m\}$  in its own database, which will be sent to  $MN_i$  through a secure channel.

$$\begin{aligned} x_i &= h(ID_i \parallel PWB_i \parallel n_m) \\ A_i &= h(K_G \parallel ID_i \parallel n_G) \\ y_i &= h(ID_i \parallel n_m) \oplus A_i \end{aligned} \quad (4)$$

3)  $MN_i$  protects the random number  $n_m$  of its choice by

$$n_m' = n_m \oplus \sigma_i$$

and stores  $\{Gen(\cdot), Rep(\cdot), PID_i, \rho_i, x_i, y_i, n_m'\}$  in the mobile device.

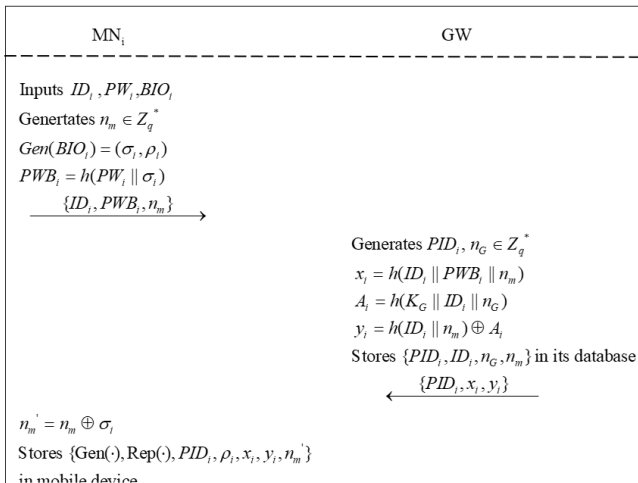


Fig 1. Registration for user

#### 4.2. Registration for IoT Node

As shown in Figure 2, the registration for IoT node is divided into three steps as follows.

1) IoT node  $N_j$  selects its own identity  $ID_j$  and sends the registration information  $\{ID_j\}$  to GW through a secure channel.

2) After receiving the registration information from  $N_j$ , GW selects a random number  $n_w \in Z_q^*$ , calculates  $N_j$ 's pseudo-identity  $NID_j$  and a secret value  $x_j$  by equation (5), and stores

$$\{ID_j, NID_j, n_w\}$$

in its own database. Then, GW sends  $\{NID_j, x_j\}$  to  $N_j$  through a secure channel and discloses  $N_j$ 's pseudo-identity  $NID_j$ .

$$\begin{aligned} NID_j &= h(ID_j \parallel n_w) \\ x_j &= h(NID_j \parallel K_G \parallel n_w) \end{aligned} \quad (5)$$

3) After receiving  $\{NID_j, x_j\}$  from GW,  $N_j$  stores  $\{NID_j, x_j\}$  into its own memory.

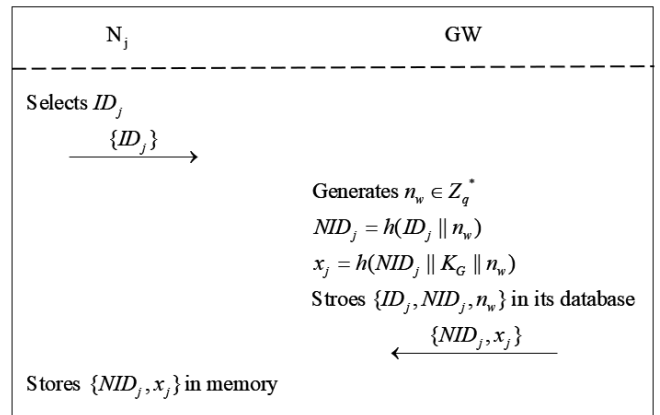


Fig 2. Registration for IoT node

#### 4.3. Login and Key Agreement Phase

As shown in Figure 3,  $MN_i$  and  $N_j$  authenticate with each other with the help of GW and establish a session key. The detailed steps are as follows.

1)  $MN_i$  inputs his/her identity  $ID_i$ , password  $PW_i$  and biometric  $BIO_i$ .  $MN_i$ 's mobile device uses the stored values  $\rho_i$ ,  $BIO_i$  and  $Rep(\cdot)$  algorithm of the fuzzy extractor to retrieve the string

$$\sigma_i = Rep(BIO_i, \rho_i)$$

and compute  $PWB_i, n_m, x_i^*$  by equation (6).

$$\begin{aligned} PWB_i &= h(PW_i \parallel \sigma_i) \\ n_m &= n_m' \oplus \sigma_i \\ x_i^* &= h(ID_i \parallel PWB_i \parallel n_m) \end{aligned} \quad (6)$$

The mobile device verifies whether  $MN_i$  is a registered user by checking whether  $x_i^* = x_i$  holds. If fails, the mobile device rejects  $MN_i$ 's login request. Otherwise, the mobile device generates a random number  $r_i \in Z_q^*$  and the current timestamp  $T_i$ , and calculates  $A_i, F_1, V_1$  by equation (7).

$$\begin{aligned}
A_i &= y_i \oplus h(ID_i \parallel n_m) \\
F_1 &= r_i \oplus h(A_i \parallel T_1) \\
V_1 &= h(ID_i \parallel A_i \parallel NID_j \parallel r_i \parallel T_1)
\end{aligned} \tag{7}$$

MN<sub>i</sub> sends  $M_1 = \{PID_i, F_1, V_1, T_1\}$  through a public channel to the IoT node N<sub>j</sub>.

2) N<sub>j</sub> checks the validity of  $T_1$  by  $|T_{fresh} - T_1| \leq \Delta T$ , where  $T_{fresh}$  is the current timestamp. If  $T_1$  is invalid, N<sub>j</sub> terminates the current phase. Otherwise, N<sub>j</sub> generates a random number  $r_j \in Z_q^*$  and the current timestamp  $T_2$ , and computes  $F_2, V_2$  by equation (8)

$$\begin{aligned}
F_2 &= h(x_j \parallel T_2) \oplus r_j \\
V_2 &= h(ID_j \parallel x_j \parallel NID_j \parallel r_j \parallel T_2)
\end{aligned} \tag{8}$$

N<sub>j</sub> transmits  $M_2 = \{M_1, NID_j, F_2, V_2, T_2\}$  over a public channel.

3) GW checks the validity of  $T_1$  and  $T_2$  by  $|T_{fresh} - T_1| \leq \Delta T, |T_{fresh} - T_2| \leq \Delta T$ . If  $T_1$  and  $T_2$  are invalid, GW terminates the current phase. Otherwise, GW retrieves  $\{ID_j, n_w\}$  from its own database using  $NID_j$  and calculates  $x_j^*, r_j^*, V_2^*$  by equation (9)

$$\begin{aligned}
x_j^* &= h(NID_j \parallel K_G \parallel n_w) \\
r_j^* &= h(x_j^* \parallel T_2) \oplus F_2 \\
V_2^* &= h(ID_j \parallel x_j^* \parallel NID_j \parallel r_j^* \parallel T_2)
\end{aligned} \tag{9}$$

GW verifies whether the equation  $V_2^* = V_2$  holds. If not, GW will terminate the current session; otherwise, GW extracts  $\{ID_i, n_G, n_m\}$  from its own database according to  $PID_i$  and computes  $A_i^*, r_i^*, V_1^*$  by equation (10).

$$\begin{aligned}
A_i^* &= h(K_G \parallel ID_i \parallel n_G) \\
r_i^* &= F_1 \oplus h(A_i^* \parallel T_1) \\
V_1^* &= h(ID_i \parallel A_i^* \parallel NID_j \parallel r_i^* \parallel T_1)
\end{aligned} \tag{10}$$

GW authenticates MN<sub>i</sub> by checking whether  $V_1^*$  and  $V_1$  are equal. If not, GW will terminate the current session. Otherwise, GW generates the current timestamp  $T_3$ , calculates  $F_3, F_4, V_3$  and the updated user's pseudo-identity  $PID_i^{new}$  by equation (11). After that, GW will save  $PID_i^{new}$  to its own database and replace  $PID_i$ .

$$\begin{aligned}
F_3 &= h(ID_i \parallel r_i^* \parallel T_3) \\
F_4 &= (F_3 \parallel r_i^*) \oplus h(x_j^* \parallel r_j^* \parallel T_3) \\
V_3 &= h(x_j^* \parallel r_j^* \parallel r_i^* \parallel F_3 \parallel T_3) \\
PID_i^{new} &= PID_i \oplus h(n_m \parallel T_3)
\end{aligned} \tag{11}$$

Stores  $PID_i^{new}$  and replaces  $PID_i$

Finally, GW delivers  $M_3 = \{F_4, V_3, T_3\}$  over a public channel.

4) N<sub>j</sub> checks the validity of  $T_3$  by  $|T_{fresh} - T_3| \leq \Delta T$ . If  $T_3$  is fresh, N<sub>j</sub> recovers the key value  $(F_3^* \parallel r_i^{**}) = F_4 \oplus h(x_j \parallel r_j \parallel T_3)$  used in the subsequent computation and computes  $V_3^* = h(x_j \parallel r_j \parallel r_i^{**} \parallel F_3^* \parallel T_3)$ . N<sub>j</sub> verifies that equation  $V_3^* = V_3$  is correct. If it is incorrect, N<sub>j</sub> will terminate the current phase. Otherwise, N<sub>j</sub> generates a random number  $r_n \in Z_q^*$  and the current timestamp  $T_4$ , and calculates  $F_5$ , session key  $SK_{ji}$  and the verification value  $V_4$ .

$$\begin{aligned}
F_5 &= r_n \oplus h(NID_j \parallel r_i^{**} \parallel T_4) \\
SK_{ji} &= h(F_3^* \parallel r_i^{**} \parallel r_n \parallel T_4) \\
V_4 &= h(SK_{ji} \parallel r_n \parallel r_i^{**} \parallel T_4)
\end{aligned} \tag{12}$$

N<sub>j</sub> transmits  $M_4 = \{F_5, V_4, T_3, T_4\}$  to MN<sub>i</sub> via a public channel.

5) MN<sub>i</sub> checks the validity of  $T_3$  and  $T_4$  according to

$$\begin{aligned}
|T_{fresh} - T_3| &\leq \Delta T \\
|T_{fresh} - T_4| &\leq \Delta T
\end{aligned}$$

If  $T_3$  and  $T_4$  are invalid, MN<sub>i</sub> terminates the current phase. Otherwise, MN<sub>i</sub> calculates  $r_n^*, V_4^*$  and session key  $SK_{ij}$  by equation (13).

$$\begin{aligned}
r_n^* &= F_5 \oplus h(NID_j \parallel r_i \parallel T_4) \\
SK_{ij} &= h(h(ID_i \parallel r_i \parallel T_3) \parallel r_i \parallel r_n^* \parallel T_4) \\
V_4^* &= h(SK_{ij} \parallel r_n^* \parallel r_i \parallel T_4)
\end{aligned} \tag{13}$$

MN<sub>i</sub> checks if  $V_4^*$  is the same as  $V_4$ . If not, MN<sub>i</sub> will terminate the current session. If the two values are equal, it proves that MN<sub>i</sub> and N<sub>j</sub> establish the same session key. Then, MN<sub>i</sub> calculates the updated pseudo-identity

$$PID_i^{new} = PID_i \oplus h(n_m \parallel T_3)$$

and replaces  $PID_i$ .

## 5. Security Analysis

This section provides a security analysis of the improved scheme. As described below, the improved scheme satisfies user anonymity and untraceability, and is also resistant to known attacks.

### 5.1. User Anonymity

In the improved scheme, user MN<sub>i</sub>'s identity  $ID_i$  is neither stored in the mobile device nor transmitted in the public channel, but a pseudo-identity  $PID_i$  is transmitted.  $PID_i$  is generated by GW for each user during the user registration phase. Even if an attacker intercepts  $PID_i$ , he/she cannot obtain the user's real identity information. In summary, the improved scheme satisfies user anonymity.

### 5.2. User Untraceability

The attacker can intercept the message  $M_1$ . However, as shown in equation (14), the values  $\{PID_i, F_1, V_1, T_1\}$  contained in  $M_1$  are associated with random numbers  $n_m, r_i$ , respectively, and these random numbers vary from session to session. That is, all values in  $M_1$  are not associated with a

specific user. Therefore, the attacker cannot trace the user's actions in the login and authentication phase.

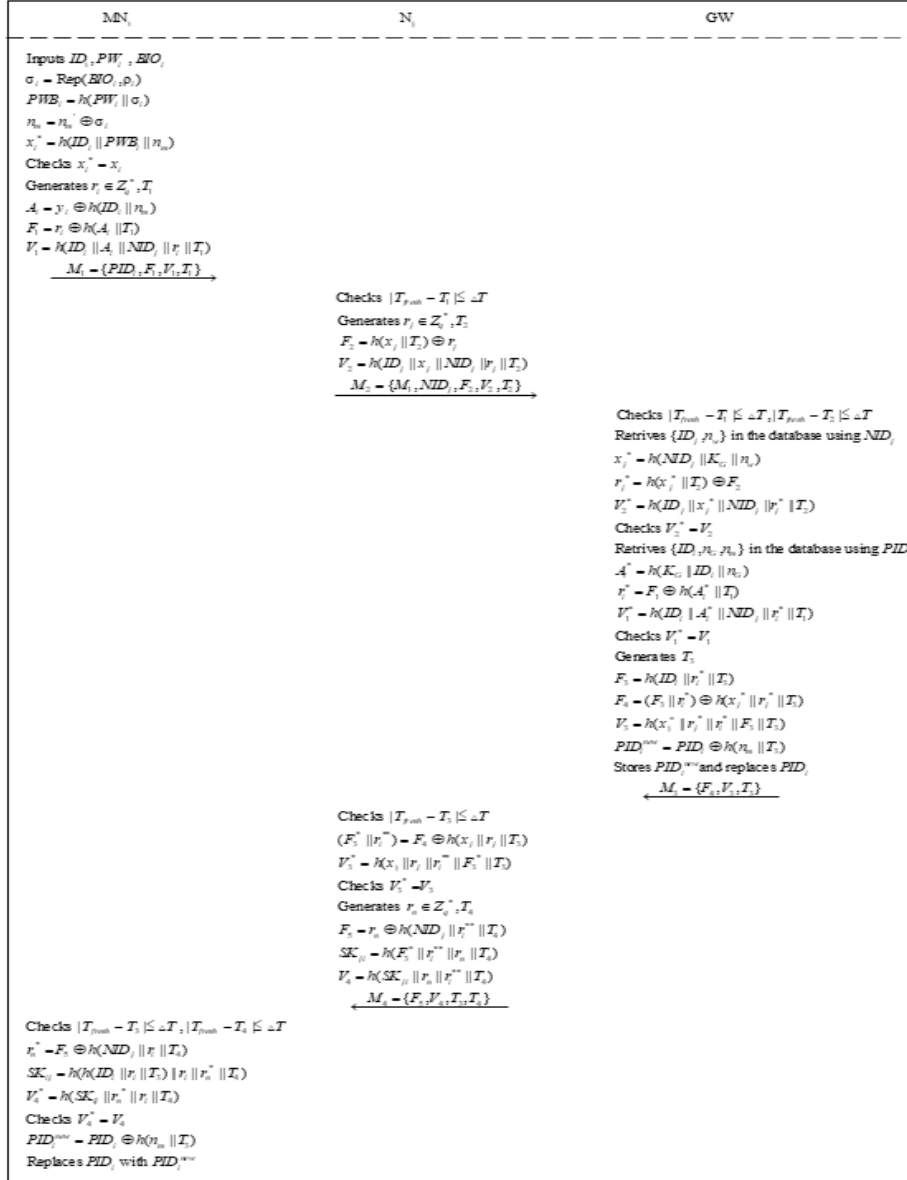


Fig 3. Login and key agreement phase

$$\begin{aligned}
 F_1 &= r_i \oplus h(A_i \parallel T_1) \\
 V_1 &= h(ID_i \parallel A_i \parallel NID_j \parallel r_i \parallel T_1)
 \end{aligned} \tag{14}$$

Meanwhile, as shown in equation (15), GW will update and store  $PID_i$  after each session. Moreover, the updated pseudo-identity  $PID_i^{new}$  is not directly transmitted over the public channel. MN<sub>i</sub> can calculate the  $PID_i^{new}$  by itself to be used in the next session. In a word, the attacker cannot obtain the correlation between  $PID_i$  and  $PID_i^{new}$  by intercepting them. Therefore, the improved scheme has untraceability.

$$PID_i^{new} = PID_i \oplus h(n_{i0} \parallel T_3) \tag{15}$$

### 5.3. Resistance to Man-in-the-middle (MITM) Attack

If an attacker attempts to manipulate a message transmitted in the public channel, he/she will be caught by the mutual authentication mechanism of each of the entities involved in the authentication. GW authenticates N<sub>j</sub> and MN<sub>i</sub> through  $V_1$  and  $V_2$  in equation (16), respectively. N<sub>j</sub> achieves authentication to the GW by verifying  $V_3$  in equation (16).

MN<sub>i</sub> checks whether the same session key is reached with N<sub>j</sub> by validating  $V_4$  in equation (16). Therefore, malicious attempts by attackers will not succeed and the improved scheme can resist MITM attacks.

$$\begin{aligned}
 V_1 &= h(ID_i \parallel A_i \parallel NID_j \parallel r_i \parallel T_1) \\
 V_2 &= h(ID_j \parallel x_j \parallel NID_j \parallel r_j \parallel T_2) \\
 V_3 &= h(x_j^* \parallel r_j^* \parallel r_i^* \parallel F_3 \parallel T_3) \\
 V_4 &= h(SK_{ji} \parallel r_n \parallel r_i^* \parallel T_4)
 \end{aligned} \tag{16}$$

### 5.4. Resistance to Impersonation Attack

In the improved scheme, MN<sub>i</sub> uses a pseudo-identity  $PID_i$  to transmit identity information over the public channel, which is updated at the end of each session. Therefore, it is difficult for the attacker to use an outdated pseudo-identity to impersonate a legitimate user. Even if the attacker happens to guess the identity of MN<sub>i</sub>, it is impossible for the attacker to send a valid message to GW for proving his/her identity. It is because that the attacker cannot obtain a secret value

$A_i = h(K_G \parallel ID_i \parallel n_G)$  calculated by GW for  $MN_i$  during the registration phase, and  $A_i$  contains GW's private key  $K_G$ ,  $MN_i$ 's identity  $ID_i$ , and a random number  $n_G$  chosen by GW. Therefore, the improved scheme can resist the impersonation user attack.

### 5.5. Resistance to Replay Attack

During the login and authentication phase of the improved scheme, each entity performs a series of calculations to generate the current timestamp  $T_x$ , and adds  $T_x$  to each value transmitted over the public channel to ensure the freshness of the message. After each entity receives the message, it first verifies the validity of  $T_x$  and then proceeds to subsequent operations. Therefore, an attacker cannot create a session key by intercepting the information transmitted in the public channel and send the message through the session key. In summary, the improved scheme can resist replay attack.

## 6. Performance Analysis

This section compares the improved scheme with Lee et al.'s scheme[10] and other schemes of the same type in terms of computational cost and security.

### 6.1. Implementation Setup

This section refers to the experimental results of Xie et al.[11]. For convenience, only four main cryptographic operations are considered in this section: (1) one-way hash

function (2) point operation (3) symmetric encryption/decryption (4) fuzzy extraction function. Their respective estimated times are shown in Table 2.

**Table 2.** Estimated time

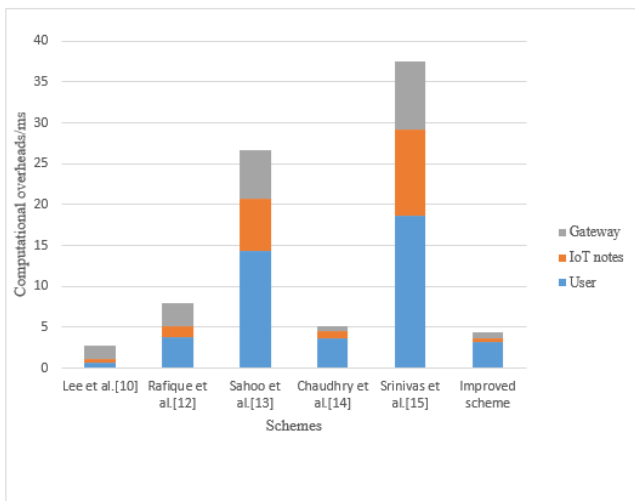
Operation	Description	Estimated time/ms
$T_h$	Unit calculated cost of hash function	0.068
$T_s$	Unit calculated cost of encryption/decryption	0.56
$T_e$	Unit calculated cost of operation	2.501
$T_f$	Unit calculated cost of fuzzy extraction function	2.501

### 6.2. Computation Comparisons

The comparison between the improved scheme and the same type of scheme in terms of computational cost is shown in Table 3. Although the running time of the improved scheme is slightly longer than that of the Lee et al.'s scheme [10], the improved scheme solves the defects in the Lee et al.'s scheme[10] and significantly improves the safety. It can be more intuitively seen from Fig. 4 that the difference in computational cost between improved scheme and Lee et al.'s scheme[10] is small. Meanwhile, the computational cost of the improved scheme is significantly lower than that of the schemes of Rafique et al.[12], Sahoo et al.[13], Chaudhry et al.[14], and Srinivas et al.[15].

**Table 3.** Computational cost of the schemes

Schemes	User	IoT nodes	Gateway	Total	Estimated time/ms
Lee et al.[10]	$9T_h$	$7T_h$	$7T_h+2T_s$	$23T_h+2T_s$	2.684
Rafique et al.[12]	$10T_h+T_s+T_f$	$4T_h+2T_s$	$8T_h+4T_s$	$22T_h+7T_s+T_f$	7.917
Sahoo et al.[13]	$10T_h+4T_e+2T_s+T_f$	$5T_h+2T_e+2T_s$	$5T_h+2T_e+T_s$	$20T_h+8T_e+5T_s+T_f$	26.669
Chaudhry et al.[14]	$17T_h+T_f$	$13T_h$	$8T_h$	$38T_h+T_f$	5.085
Srinivas et al.[15]	$17T_h+6T_e+T_f$	$8T_h+4T_e$	$12T_h+3T_e$	$37T_h+13T_e+T_f$	37.53
Improved scheme	$10T_h+T_f$	$7T_h$	$10T_h$	$27T_h+T_f$	4.337



**Fig 4.** Comparison of computation cost

### 6.3. Comparison of Safety Features and Functions

In this section, the improved scheme is compared with Lee et al.'s [10] scheme and similar schemes in recent years for safety. As shown in Table 4, the existing schemes are not resistant to some attacks and their security needs to be enhanced. Compared with these schemes, the improved scheme has great security advantages and is suitable for resource-constrained IoT devices.

R1: User anonymity; R2: User untrace ability; R3: Mutual authentication; R4: Resistance to reply attack; R5: Resistance to MITM attack; R6: Resistance to DOS attack; R7: Forward security; R8: Resistance to impersonation attack; R9: Resistance to stolen smart card attack; R10: Resistance to known session key attack; R11: Resistance to off-line password guessing attack;

$\checkmark$ : denotes the scheme can provide the corresponding attribute;  $\times$ : denotes the scheme cannot provide the corresponding attribute.

**Table 4.** Security comparison among relevant schemes

Schemes	R1	R2	R3	R4	R5	R6	R7	R8	R9	R10	R11
Lee et al.[10]	√	×	√	×	×	×	√	√	×	√	√
Rafique et al.[12]	×	√	√	√	√	√	×	√	×	√	√
Sahoo et al.[13]	√	√	×	√	√	×	√	√	√	×	√
Chaudhry et al.[14]	√	√	√	√	√	√	×	√	×	√	√
Srinivas et al.[15]	×	√	×	×	×	√	×	√	×	√	×
Improved scheme	√	√	√	√	√	√	√	√	√	√	√

## 7. Conclusion

The paper first reviews a three-factor anonymous authentication scheme proposed by Lee et al. in an IoT environment and points out that their scheme not only has shortcomings in the user registration phase, the IoT node registration phase, and the login and authentication phases, but also fails to resist man-in-the-middle attacks and impersonation attacks. In order to overcome these shortcomings, this paper proposes an improved scheme to address the security vulnerabilities of Lee et al.'s scheme. Through security analysis, it proves that the improved scheme can resist various known attacks and meet all security requirements. In addition, this paper also compares and analyzes the security and computational effort of the proposed scheme with similar schemes in recent years. The analysis results show that the improved scheme achieves the expected efficiency and is suitable for the IoT environment.

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