# INFLUENCE OF ANTERIOR LOAD AND RESTORATIVE PROCEDURE ON MAXILLARY INCISORS WITH DIFFERENT CERVICAL WEAR MORPHOLOGIES

# INFLUÊNCIA DO PROCEDIMENTO RESTAURADOR E DE CONTATO ANTERIOR EM INCISIVOS SUPERIORES COM DIFERENTES MORFOLOGIAS DE DESGASTE CERVICAL

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**ABSTRACT:** The aim of this study was to evaluate the influence of different anterior load type and restorative procedure on stress distribution of maxillary incisors with different noncarious cervical lesions (NCCLs) morphologies. Three-dimensional models of a maxillary incisor were generated. Beyond the sound model (SO), five NCCLs morphologies were simulated: shallow (SH), notched (NO), concave (CO), wedge-shaped (WS) and irregular with dual center (IR' and IR"). Composite resin restoration of all the models was simulated (R). Two different anterior loads were applied: 100N on palatine middle third (ML) and 500 N on palatine incisal third (IL). The data were obtained in MPa using the Maximum Principal Stress and Von Mises criteria and the statistical analysis was performed (paired t-test with 95% confidence level). The IL provided higher compressive stress than ML, mainly on WS (-136.3MPa), IR" (-117.5) and NO (-71.1 MPa). The highest tensile stress found within the restored models was on NOR with IL (19,1 MPa). The Von Mises results showed higher stress concentration on non-restored and IL models (p<0.001). The anterior load type and restorative status were determinant factors on stress distribution pattern changes, whereas NCCLs morphologies had little influence in maxillary incisors.

**KEYWORDS:** Anterior Load. Composite Resin Restoration. Finite Element Analyses. Maxillary Incisors. Noncarious Cervical Lesions.

## **INTRODUCTION**

Noncarious cervical lesions (NCCLs) are multifactorial pathological wears originated by the loss of tooth structure in the cervical third of the crown, reaching the root surface, that is unrelated to dental caries (MICHAEL et al., 2009; PECIE et al., 2011). The occurrence of these lesions is an increasingly common finding in dental clinical practice with prevalence rates up to 85% in some populations (OUE et al., 2013). There are three main factors related to the origin and progression of the NCCLs: biocorrosion (chemical, biochemical and electrochemical degradation - caused by extrinsic and intrinsic acids), friction (wear and abrasion). toothbrush/dentifrice and stress (abfraction - caused by parafunction, traumatic occlusion and occlusal overload presence) (GRIPPO et al., 2012).

Among all factors involved in this process, the role of the stress has been much discussed in the literature (LEE; EAKLE, 1984; MICHAEL et al., 2009; GRIPPO et al., 2012; FRAGOSO et al., 2013; SOARES et al., 2015; ZEOLA et al., 2015). The term "abfraction" has been used for decades to describe the loss of tooth structure by overcoming bonds between hydroxyapatite crystals due to the stress concentration, distant from the area which the force was applied (LEE; EAKLE, 1984; GRIPPO et al., 2004; MICHAEL et al., 2009). Actually, occlusal interferences, parafunctional habits and bruxism change the magnitude, direction, frequency, duration and localization of the occlusal contacts, which result in different biomechanical behavior in these non-physiological situations (OMMERBORN et al., 2007; BRANDINI, PEDRINI, et al., 2012; BRANDINI, TREVISAN, et al., 2012; SOARES et al., 2015; ZEOLA et al., 2015). Then, these excessive loads generate dental tissues flexion which reaches the ultimate structure resistance and makes the structure more susceptible to other NCCLs etiological factors (LEE E EAKLE, 1984; MICHAEL et al., 2009).

Several studies have examined the characteristics of NCCLs, such as prevalence in the population (QUE et al., 2013); teeth most affected (BORCIC et al., 2004; QUE et al., 2013); and the extent of damage through subjective observations

and also using scanning electron microscopy (MICHAEL et al., 2010; HUR et al., 2011; WALTER et al., 2014). Despite posterior teeth presents higher prevalence of NCCLs than anterior, this discrepancy is attenuated with age (LAI et al., 2015). Moreover, 48.2% and 57.1% of maxillary and mandibular anterior teeth, respectively exhibit **NCCLs** associated with cervical dentin hypersensitivity (QUE et al., 2013). In this way, the NCCLs in anterior teeth were catalogued and classified based on their morphological appearance in eight types: two shallow types, notched, concave, wedge-shaped and three irregular types (MICHAEL et al., 2010).

The multifactorial etiology of NCCL and these various anatomic aspects result in unclear treatment protocols that are still controversial (WOOD et al., 2008). Among these controversies, some studies do not consider possible to make the between malocclusion association and parafunctional habits with the presence of NCCLs (WOOD et al., 2009; SENNA et al., 2012; SILVA et al., 2013), and others authors support the protocol of removal sclerotic dentin, rounding the internal angle of the lesion and even not restore the NCCL cavity, which results in a confusing clinical management (WOOD et al., 2008; SOARES et al., 2015). These instructions combined with the need for esthetic improvement (MICHAEL et al., 2009), justified the importance of more specific knowledge related to the progression and management of NCCLs in anterior teeth.

Non-destructive methods, as finite element method, allow the simulation of dental structures and its biological proprieties, which can contribute for analysis of dental behavior and clinical management, as the influence of load types and restorative protocols (SOARES et al., 2015). Thus, the purpose of this study was to evaluate the stress distribution pattern on maxillary incisors with different NCCLs morphologies, according to their restorative status and load type, using threedimensional (3D) finite element analysis. The null hypothesis tested is that the load type, restorative procedure and NCCL morphologies would not change the biomechanical behavior of maxillary incisor.

## MATERIAL AND METHODS

Three-dimensional linear and elastic finite element analysis was performed using geometric anatomical representations of the pulp, dentin, enamel, periodontal ligament, and cortical and medullar bones (SOARES et al., 2015). Six computer-aided design (CAD) models were generated (Rhinoceros 3D software, Rhinoceros, Miami, FL, USA) simulating sound tooth (SO) and five NCCL morphologies: shallow (SH), notched (NO), concave (CO), wedge-shaped (WS) irregular with dual center (IR' and IR") and also the restored models of all lesions types (R) (Figure 1). The characteristics of the simulated morphologies are described on Table 1(MICHAEL et al., 2010).



Figure 1. Anterior NCCLs morphologies and their respective restored models: SO-Sound Tooth; SH – Shallow; NO – Notched; CO-Concave; WS- Wedge-Shaped; IR-irregular with dual center; R-restored models of all lesions types.

NCCL MORPHOLOGIES	NCCL DESCRIPTIONS
Shallow (dished-out, saucer-shaped)	<ul> <li>Displayed depth less than or equal to 0.5 mm, with width and height dimensions greater than 1.0 mm;</li> <li>Minimal dentine exposure;</li> <li>The cross-sections of shallow NCCLs are either flat or curved.</li> </ul>
Notched (shallow grooves, grooved, gingival notching, deep notches)	<ul> <li>Displayed a very short corono-apical height (0.5–1.0 mm) relative to a longer mesio-distal length (4.0–6.0 mm);</li> <li>The cross-sections are either wedge-shaped or concave;</li> <li>Displayed a concave cross section present a depth</li> </ul>
Concave (cupped, c-shaped)	<ul> <li>Displayed a concave closs-section present a deput greater than 0.5 mm;</li> <li>Did not demonstrate a well-defined internal line angle;</li> <li>The walls of these lesions are continuously curved.</li> </ul>
Wedge-shaped (v-shaped)	<ul> <li>Displayed a wedgeshaped cross-section;</li> <li>Demonstrated a well-defined internal line angle and flat internal floors.</li> <li>They cannot be categorized into one of the above</li> </ul>
Irregular	<ul> <li>NCCL categories;</li> <li>Displayed an irregular cross-section, with either angular features, curved features or a combination of angular and curved features.</li> </ul>

Table	1.	Description	of	NCCL	morphologies	characteristics	and	their	synonymous	terms,	present	in
		parentheses <sup>1</sup>	5									

The models were exported to the processing analysis software (ANSYS 12.0, Ansys Workbench 12.0, Canonsburg, PA, USA) using the Standard for the Exchange of Product Data (STEP) format. In this software, the following steps were performed: preprocessing (definition of mechanical properties, volumes, connection types, mesh for each structure, and boundary conditions), processing (data calculation), and post-processing (analysis of results by stress distribution criteria). Enamel and dentin were considered orthotropic and the other structures isotropic (Table 2) (CARTER e HAYES, 1977; WEINSTEIN et al., 1980; RUBIN et al., 1983; SHINYA et al., 2008; MIURA et al., 2009).

Structures		Orthotropic Structures <sup>22</sup>	
	LONGITUDINAL	TRANSVERSAL	Z
		Elastic Modulus (MPa)	
Enamel	73720	63270	63270
Dentin	17070	5610	5610
		Shear coefficient (MPa)	
Enamel	20890	24070	20890
Dentin	1700	6000	1700
		Poisson Ratio (v)	
Enamel	0.23	0.45	0.23
Dentin	0.30	0.33	0.30
Structures		Isotropic Structures	
	Elastic Modul	us (MPa)	Poisson Ratio (v)
Pulp <sup>25</sup>	2.07		0.45
Periodontal Ligament <sup>23</sup>	68.9		0.45
Cortical Bone <sup>24</sup>	13700		0.30
Medular Bone <sup>24</sup>	1370		0.30
Hybrid Composite Resin <sup>26</sup>	22000	)	0.27

After testing the mesh conversion to define the appropriate refinement level, volumes corresponding to each structure were meshed with controlled and connected elements. The meshing process involved the division of the studied system into a set of small discrete elements defined by nodes. Solid quadratic tetrahedral elements of 10 nodes were used (Figure 2a). The mesh conversion test was initiated using the software automatic meshing tool and was continued by gradual reduction of the elements size. For each test stage, the results were generated by equivalent stress criterion (von Mises) to verify the highest stress values of dentin. The mesh was considered satisfactory when, even reducing the dimension of elements, the highest stress levels were similar to the results observed with the previous mesh refinement. The number of elements used varied depending on the different volumes, so that the final model accurately represented the original geometry.

Due to the adhesive properties of the restorative material used, restorations were bonded to dental structures by considering a mesh connection with dentin and enamel.

After the mesh step, boundary conditions were determined. Loads were applied in specific surfaces previously defined in the CAD software, as follows: 100N on Palatine Middle Third Load (ML) (Fig. 2b) and 500N on Palatine Incisal Third Load (IL) (Fig. 2c) (POIATE et al., 2009). The intensity and localization of ML represents the standard force during the masticatory function on a sound maxillary central incisor. On the other hand, the magnitude and area of IL represents а parafunctional habit and non-physiological contact (POIATE et al., 2009; FRAGOSO et al., 2013). Finally, the models were restrained at the base and lateral surfaces of cortical and medullar bones to avoid displacement (Fig. 2d).



Figure 2. Pre-processing of finite element analysis. (a) Mesh with solid quadratic tetrahedral elements of 10 nodes. (b) Palatine Middle Third Load – 100N (ML). (c) Palatine Incisal Third Load – 500N (IL) and (d) Displacement restriction (null on blue area).

Stress distribution analysis was performed using Maximum Principal Stress and Von Mises criterion, measured in MPa. After complete stress analysis in all structures, the results were plotted in transparency, except for enamel and dentin, for better visualization, using Maximum Principal Stress. In addition, in the sagittal analysis, the composite resin restoration was plotted to identify the stress on the restorative material. For a specific analysis, the deepest node on the bottom of the lesion (BL) was selected to obtain the stress values, according to the lesion type. Since irregular is a dual center morphology, one node was selected for each bottom of the lesion (Figure 3). The effect of restorative procedure (Non-restored NCCL X Restored NCCL) and load type (Palatine Middle Third Load X Palatine Incisal Third Load) were analyzed by paired t-test with based on equivalent stress values (von Mises). All tests were performed at a 95% confidence level, using the Sigma Plot 12.0 software.



Figure 3. Specific analysis on the bottom of the lesion (BL) for each morphology, independently of the presence of composite restoration. The deeper node was selected to obtain the stress values (MPa).

#### RESULTS

The maximum principal stress distribution patterns of all models under different load types are

represented in Figures 4, 5, 6 and 7. Positive and negative values indicate the regions which were subjected to tensile or compressive stresses, respectively.



Figure 4. Maximum Principal Stress (MPa) distribution pattern for sound models submitted to ML and IL.



**Figure 5.** Maximum Principal Stress (MPa) distribution pattern for all NCCL morphologies and respective restored models submitted to ML.



Figure 6. Maximum Principal Stress (MPa) distribution pattern for all NCCL morphologies and respective restored models submitted to IL.

The variation of load type propitiated pronounced differences in the stress distribution, regardless of the cervical wear, NCCLs morphology type and the presence of the restorative material (Fig. 4, 5 and 6). For all the models, IL was associated with more compressive stress concentration on bottom of the lesions than ML (Figs. 5 and 6). On SO, the compressive stress was lower for ML (-0.5 MPa) than IL (-4.8 MPa) (Fig. 4).

For ML, all morphologies promoted compressive stress in the bottom of the NCCL. However, the stress intensity was higher on acute angles morphologies and lesions with discrepant proportion between corono-apical height and mesiodistal length. Thus, WS (-14.9 MPa), IR" (-13.2 MPa) and NO (-7.7 MPa) presented the highest compression values. The type of stress pattern found on IL was similar to ML, however with higher magnitude. The NCCLs with rounded bottom, as CO (-13.4 MPa), IR' (-3.8 MPa) and SH (-2.8 MPa), showed lower values of compression stress, while WS (-136.3 MPa), IR" (-117.5 MPa) and NO (-71.1 MPa) resulted in higher stress (Fig. 7). For both loads, SH showed lower stress values than the others morphology types, and a biomechanical behavior close to the SO.



Figure 7. Maximum Principal Stress values (MPa) on the deeper node of NCCLs.

The simulated hybrid composite resin restoration promoted more harmonic biomechanical behavior for all morphologies, with stress distribution patterns similar to those found on SO, independent of load type (Figs. 5 and 6). However, the restored models presented higher stress magnitude on BL when submitted to ML, mainly for NOR (higher tensile stress: 2.1 MPa), and IRR" (higher compressive stress: -1.7 MPa). Similarly, for IL, the greater compressive stress was found on IRR" (-11.9 MPa), and the higher tensile stress was found on NOR (19.1 MPa) (Fig. 7).

The results of statistical comparisons are presented on tables 3 and 4. The equivalent stress (von Mises) analysis before and after the NCCL restoration showed that the stress values obtained in the bottom of the lesion were lower in restored models, either for ML (p=0.001) or IL (p<0.001) (Table 3). In addition, a higher stress concentration was found for IL in non-restored NCCL (p<0.001) and restored NCCL (p<0.001) when compared with the ML (Table 4).

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 Table 3. Mean Stress Values (MPa) and Standard Deviation (SD) comparing Non-restored NCCL x Restored NCCL.

LOAD	NON-RESTORED NCCL	NCCL RESTORED	p VALUE
MIDDLE THIRD	40,916 +-18,216	9,301 +-4,548	0,002*
INCISIAL THIRD	377,737 +-151,672	83,225 +-35,754	<0,001*

**Table 4.** Mean Stress Values (MPa) and Standard Deviation (SD) comparing Palatine Middle Third Load xPalatine Incisive Third Load.

LOAD	MIDDLE THIRD	INCISAL THIRD	p VALUE
NON-RESTORED NCCL	40,916 +-18,216	377,737 +-151,672	<0,001*
NCCL RESTORED	9,301 +-4,548	83,225 +-35,754	<0,001*

## DISCUSSION

According to the results, the null hypothesis of this study was rejected. The load types, NCCL morphologies and restorative status promoted changes on the biomechanical behavior of maxillary incisor.

The loads applied simulated the magnitude and area of a standard force during the masticatory function on a sound maxillary central incisor (ML) and a parafunctional habits or non-physiological contact (IL) (POIATE et al., 2009; FRAGOSO et al., 2013). The IL presented higher stress concentration compared with ML for all the morphologies (Figs. 5 and 6). This fact is justified due to the greater load intensity and the higher strain promoted for IL. This load was applied more obliquely to the lesion than ML, promoting more flexion of the NCCL's walls (FRAGOSO et al., 2013). In addition, ML had a lower intensity and was applied near to cingulum region. This situation, favored more homogenous stress dissipation within the tooth structure, because the resultant force is parallel to the wall of the lesions.

For both load types, a tensile stress concentration was noted on the enamel at the center of the buccal face, due to the regions of load application and the higher elasticity modulus of the enamel. Considering a normal occlusion, the incisal edge of the lower incisors does not contact the palatine surface of the maxillary incisors, when the posterior teeth are occluded (KOHAUT, 2014). However, the palatine middle third load is considered physiological, due to the occurrence of anterior guidance movements during the chewing, to perform the seizure and cutting functions (POIATE et al., 2009; FRAGOSO et al., 2013; KOHAUT, 2014).

The morphologies with rounded bottom or similar proportion of corono-apical height and mesio-distal length (CO, IR' and SH) demonstrated lower values of stress than the morphologies with well-defined internal angles or discrepant proportions (WS, IR" and NO). NCCLs which present well-defined internal angles, as WS and IR", had more stress concentration in the bottom of the lesion, because these short geometries promoted smaller area for stress dissipation (FRAGOSO et al., 2013). This fact was more apparent when these models where submitted to IL (Fig. 6). Although NO presented a rounded bottom and small geometry, the discrepant proportion between the short corono-apical height and longer mesio-distal length promote compressive stress concentration similarly to NCCLs with well-defined internal angles. This result contradict a previous study in which lesions with rounded angle presented lowest stress values at the bottom of the NCCL (FRAGOSO et al., 2013). For this reason, it would be more predictable classify NCCLs regarding the cavities dimension, rather than only by the geometry of the bottom.

The cervical area presents the enamel prisms and dentin tubules located transversely to the long axis of the tooth (HARIRI et al., 2012). This type of orientation associated with the dentin tubules higher density and volumes (HARRAN PONCE et al., 2001), result in less rigid and low

and dentin (AROLA strength enamel e REPROGEL, 2006; MIURA et al., 2009; SOARES et al., 2010). In situations in which stress values are greater than the maximum tensile/compression of the hard tissue (DEJAK et al., 2005; BERNHARDT et al., 2006), micro cracks may occur and result in breaking of the bond between hydroxyapatite crystals (LEE e EAKLE, 1984). Thereby, due to the multifactorial etiology of the NCCLs (GRIPPO et al., 2012), high compressive and tensile stress values becomes the tooth structure more susceptible to dissolution by extrinsic and intrinsic acids and mechanical friction, and more vulnerable to NCCL progression (HUANG et al., 1992; GIANNINI et al., 2004; SOARES et al., 2010).

The NCCLs adhesive restorative procedure because it promotes is recommended a biomechanical behavior closer to the sound tooth (AW et al., 2002) and acts as an obstruction against biocorrosion and friction factors (MICHAEL et al., 2009). Moreover, the restorative material is considered an agent for obliterating the exposed dentinal tubules to hypersensitivity mechanism and meeting the aesthetic requirement (KIM et al., 2009; MICHAEL et al., 2009). Composite resin is commonly used to restore NCCLs (BURROW e TYAS, 2012), due to provide good clinical outcomes for longevity, aesthetics, adhesion and a harmonic biomechanical behavior for various types of cavities (SOARES, P. V., SANTOS-FILHO, P. C., QUEIROZ, E. C., et al., 2008; FERRACANE, 2011; STEWARDSON et al., 2012; FRAGOSO et al., 2013). On our findings, the reduction in the stress concentration in restored lesions provided some support for considered it as part of treatment, due to its elastic modulus similar to dentine is considered sufficient to offset the stress generated non-physiologic by occlusal forces (SENAWONGSE et al., 2010).

Despite SH showed homogenous stress pattern for both load types and presents a biomechanical behavior similar to the SO (being restored or not), the restorative procedure is recommended due to its ability to seal the dentin tubules and reduce the effects of chemical and friction factors on the dentin exposed. However, the NCCLs treatment is not simply substitute the dental structure or etiology removal due to its multifactorial etiology. The treatment successful depends on the patient monitoring in medium and long follow-up.

In attempt to minimize the stress concentration of certain NCCL's morphologies bottoms, to improve the bond strength values and decrease the failure rates, the literature suggests the rounding of internal angles, sclerotic dentin removal and even the creation of a retentive preparation before the restoration procedure (WOOD et al., 2008; KIM et al., 2009; ALLEGRI et al., 2010). Nevertheless, the current dentistry is undergoing a period which aims to be as more conservative as possible and preserve tooth structure. For this reason, according to the clinical success rates of NCCLs restored without preparation and the biomechanical behavior found in this study and other reaches from the literature, dentin wear prior the NCCLs restoration is not indicated (ICHIM et al., 2007; SANTOS et al., 2007; BURROW e TYAS, 2012; FRAGOSO et al., 2013; SOARES et al., 2015).

The finite element analysis measure stress distributions in teeth and restorations as a consequence to various loads, and it allows the responses of different dental tissues, taking account their biological properties (REES et al., 2003; SOARES, P. V., SANTOS-FILHO, P. C., GOMIDE, H. A., et al., 2008). One of the advantages of this method is the preservation of specimens, because it enables created 3D virtual models to test different load types, morphologies of NCCLs, and restorative status in the same model, preventing damages to the original specimens (REES et al., 2003; SOARES, C. J. et al., 2008). The 3D models allow simulate with more fidelity the anatomical dimensions and configuration of the tooth structure and the boundary conditions (POIATE et al., 2011), better than bi-dimensional (2D) models used in a previous study (FRAGOSO et al., 2013). 2D models overestimate the stress magnitude and do not represent the real model and its biomechanical behavior. Moreover, in this present study, enamel and dentin were considered orthotropic structures, with the same properties for transversal and Z axis, and different for longitudinal axis. This fact allowed simulates the lower rigidity of the cervical area due to the lower elastic modulus of dentin and enamel in this area (MIURA et al., 2009). In addition, since the simulation is not in identical FEA models, it enabled to perform statistical analysis with the equivalent stress values (Von Mises criterion) collected in BL. However, it should be noted that the role of stress in the etiology of NCCLs is still controversial and yet to be fully supported (KAIDONIS, 2008; NGUYEN et al., 2008; MICHAEL et al., 2009). Therefore, the mentioned theory would need to be supported by appropriately designed ex vivo laboratory and clinical studies of NCCL progression. This finite element study only demonstrated the major areas of

high stress concentration and quantified the effect of morphology on this process.

## CONCLUSIONS

The palatine contact on the incisal edge results in higher stress concentration compared with the physiological contact on the palatine middle third in maxillary incisors.

The NCCLs morphologies with welldefined internal angles or discrepant proportion between corono-apical height and mesio-distal length presented the highest values of compressive stress at the depth of the lesion. The NCCLs restoration with adhesive material, such as hybrid composite resin promoted a biomechanical behavior more homogeneous and similar to sound teeth, independent of the internal angles geometry.

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**RESUMO:** O objetivo deste estudo foi avaliar a influência de diferentes tipos de contatos anteriores e procedimentos restauradores na distribuição de tensões de incisivos superiores com diferentes morfologias de lesões cervicais não-cariosas (NCCLs). Modelos tridimensionais de um incisivo central superior foram gerados. Além do modelo hígido (SO), foram simuladas cinco morfologias de NCCLs: rasas (SH), entalhadas (NO), côncavas (CO), em forma de cunha (WS) e irregulares com centro duplo (IR' e IR''). Restauração com resina composta em todos os modelos foi simulada (R). Dois contatos anteriores diferentes foram aplicadas: 100N no terço médio palatino (ML) e 500N no terço incisal palatino (IL). Os dados foram obtidos em MPa utilizando os critérios de Tensão Máxima Principal e de Von Mises e a análise estatística foi realizada (teste t pareado com nível de significância de 95%). A IL proporcionou maior estresse compressivo que ML, principalmente em WS (-136,3MPa), IR "(-117,5) e NO (-71,1 MPa). A maior tensão de tração encontrada nos modelos restaurados foi na NOR com IL (19,1 MPa). Os resultados de Von Mises mostraram maior concentração de estresse nos modelos não restaurados e IL (p <0,001). O tipo de contato anterior e a presença de restauração foram fatores determinantes nas alterações do padrão de distribuição de estresse, enquanto as morfologias dos NCCLs tiveram pouca influência nos incisivos superiores.

**PALAVRAS-CHAVES:** Carregamento Anterior. Restauração em Resina Composta. Análise por Elementos Finitos. Incisivos superiores. Lesões Cervicais Não Cariosas.

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