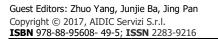


VOL. 59, 2017





DOI: 10.3303/CET1759112

Trajectory Tracking for Quantum Systems with Impulsive Control Fields

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Quantum Lyapunov control is a feedback control methodology to determine control fields applied to control quantum systems. In this paper, with the help of the invariant principle of impulsive systems, we investigate the trajectory tracking for quantum systems with impulsive control fields by two Lyapunov functions based on the distance of quantum states and the average value of Hermitian operator which can be regarded as an observable of a quantum system, propose new control fields to drive the quantum systems in the form of sufficient conditions. One numerical simulation is presented to illustrate the effectiveness of the proposed control method.

1. Introduction

Compared to traditional solid-state systems, quantum system shows a great advantage in the information theory and technology, quantum computer and so on. In the last few years, because of wide variety of applications of quantum control theory, such as quantum chemistry, quantum information processing and quantum electronics etc. Considerable attention has been focused on quantum control theory, and the growing interest in this subject have been attributed both to theoretical and experimental breakthroughs (Dong et al., 2010; Wang et al., 2009; Wang et al., 2010; Mirrahimi et al., 2005; Turinici et al., 2007; Vaiano et al, 2017) and references therein, it indicates that quantum control has become an important area of research.

Lyapunov control was proposed as a good candidate for quantum state engineering, various Lyapunov functions and control methods have been studied and applied to quantum systems, such as implicit Lyapunov control (Zhao et al., 2012), switching control (Zhao et al., 2012), and Lyapunov functions based on state distance (Kuang et al., 2008; Wang et al., 2010), average value of an imaginary mechanical quantity (Grivopoulos et al., 2003), and state error (Kuang et al., 2008).

Dong and Petersen introduced the switching control method to drive the system by using two controllers to arbitrary target state based on graph theory (Dong et al., 2011). And in (Zhao et al., 2012), Zhao, Lin and Xue considered another switching control method of closed quantum systems, which was via the Lyapunov method. Inspired by the switching control method, we developed the impulsive control method to study the trajectory tracking problem. As we know, impulsive dynamical systems are a special class of dynamical systems, which exhibit continuous evolution typically described by ordinary differential equations and instantaneous state jumps or impulsive control systems, due to their significance both in theory and applications, see (Dong et al., 2008) and the references therein.

Our aim in this paper is to improve the control effectiveness of quantum systems, and we choose the impulsive control method to control quantum systems, by adding an impulsive control field besides the continuous one. By the important theorem in (Dong et al., 2008), when one control field with given frequency, quantum systems governed by the Liouville equation can be described as impulsive dynamical systems.

In this paper, based on the Lyapunov method and invariant principle of impulsive systems, our attention is focused on the trajectory tracking of quantum systems with impulsive control fields. In Section 2, firstly, we introduce the general impulsive dynamical system, then present the quantum systems with impulsive control fields, and introduce the invariant principle of impulsive systems. In Section 3 we give control fields to drive quantum systems based on the Lyapunov function, and analyze the advantages of the control method

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depended on impulsive control fields. We justify the effectiveness of the proposed control fields in two simulation experiments in Section 3.

2. Notations and definitions

Consider the general impulsive dynamical system described by

$$\begin{cases} \dot{x}(t) = f_c(x(t)), t \in (\tau_k, \tau_{k+1}); \\ \Delta x(t) = f_d(x(t)), t = \tau_k. \end{cases}$$

$$\tag{1}$$

where $\mathbf{x}(t) \in \mathbb{R}^n$ denotes the system state, $f_c(\mathbf{x}(t))$ is a continuous function from \mathbb{R}^n to \mathbb{R}^n , the set $\mathbf{E} = \{\tau_1, \tau_2, \mathbf{L}: \tau_1 < \tau_2 < \mathbf{L}\} \subset \mathbb{R}^+$ is an unbounded, closed, discrete subset of \mathbb{R}^+ which denotes the set of times when jumps occur, and $f_d: \mathbb{R}^n \to \mathbb{R}^n$ denotes the incremental change of the state at the time τ_k . In the n-dimensional complex space \mathbb{C}^n , we choose the most common norm $||\mathbf{x}||: \sqrt{x^*x}$, where x is represented as a column vector $(x_1, x_2, \mathbf{L}, x_n)^T$, and x^* denotes its conjugate transpose.

Denote by $M_n(C)$ the space of $n \times n$ complex matrices with an inner product $M_n(C) \times M_n(C) \rightarrow C$, (a, b)=Tr(a*b), and the norm $||a||^2 = (a,a)$.

We consider the following n – level quantum system with two control fields, and set the Plank constant h=1:

$$\begin{cases} i\dot{\rho}(t) = [\tilde{H}_0 + f_1(t)\tilde{H}_1 + f_2(t)\delta(t - \tau_k)\tilde{H}_2, \rho(t)] \\ i\dot{\rho}_d(t) = [\tilde{H}_0, \rho_d(t)] \end{cases}$$
(2)

Multiplying both sides of (2) by -i, we have

$$\begin{cases} \dot{\rho}(t) = [H_0 + f_1(t)H_1 + f_2(t)\delta(t - \tau_k)H_2, \rho(t)] \\ \dot{\rho}_d(t) = [H_0, \rho_d(t)] \end{cases}$$
(3)

Where $\rho(t)$, $\rho_d(t)$ are density operators, represent the mixed state and the target state of quantum systems respectively, defined on an n- dimensional Hilbert space H. Density operators are positive semi-definite Hermitian operators with unit trace, and denote the set of density operators by S_n. Indeed,

$$\frac{d}{dt} \| \rho(t) \|^2 = \frac{d}{dt} Tr(\rho^2(t)) = 2Tr(\rho\dot{\rho}) = -2iTr(\rho H\rho - \rho^2 H) = 0$$

where $||A||^2 = Tr(A^*A)$ is the Hilbert-Schmidt norm. Thus, $||\rho(t)|| = ||\rho(0)||$, for any t>0, hence the density matrix evolves on a sphere decided by the initial state based on this norm:

$$B_n(O, \| \rho(0), S_n) = \{ \rho(t) \in S_n \mid \| \rho(t) \| \le \| \rho(0) \| \}.$$

When the quantum system evolves freely under its own internal dynamics, i.e., there is no external field implemented on the system, just the free Hamiltonian $H_0^{\%}$ is introduced. $H_1^{\%}, H_2^{\%}$ represent the interaction energy between the system and the external classical control fields $f_1(t)$ and $f_2(t)$ respectively, and are called interaction Hamiltonian. They are both n × n self-adjoint operators in the n- dimensional Hilbert space H and assumed to be time-independent.

Definition 2.1: A stationary point of a Lyapunov function V(x(T)) is the point satisfies

$$\frac{d}{dt}V = (\frac{d}{dt}V)(x) = 0.$$

In order to study the trajectory tracking for quantum systems, we pay attention to the Lyapunov functions based on the distance of quantum states and the average value of Hermitian operator P which can be regarded as an observable of a quantum system, and choose the following Lyapunov functions:

$$V_1(t) = \frac{1}{2} \| \rho(t) - \rho_d(t) \|^2$$
(4)

$$V_2(t) = Tr(P\rho(t)) \tag{5}$$

In this paper, we set the first control function $f_1(t)$ is continuous, the other one $f_2(t)$ only takes effect to quantum systems at the impulsive points E. By the same method in [17], we obtain that quantum systems (3) with impulsive control fields can be described as

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 $\begin{cases} \dot{\rho}(t) = [H_0 + f_1(t)H_1, \rho(t)], t \neq \tau_k; \\ \Delta \rho(t) = [f_2(t)H_2, \rho(t)], t = \tau_k; \\ \dot{\rho}_d(t) = [H_0, \rho_d(t)]. \end{cases}$

Subject to quantum systems (2) or (3), we focus on finding control fields $f_1(t)andf_2(t)$ such that the quantum systems with impulsive control field are driven to target states. Firstly, we introduce the invariant principle of impulsive systems.

Lemma 2.1 (Shi et al., 2015): Consider the impulsive dynamical system (1), assume $D_c \subset D$ is a compact positively invariant set with respect to (5), and assume that there exists a C¹ function V: $D_c \rightarrow R$ such that

$$V(x(t)) \le 0, x \in D_c, t \ne \tau_k, V(x(\tau_k) + f_d(x(\tau_k))) \le V(x(\tau_k)), x \in D_c, t = \tau_k;$$

Let $G = \{x \in D_c : t \neq \tau_k, \dot{V}(x(t)) = 0\} \cup \{x \in D_c : t = \tau_k, V(x(\tau_k^-) + f_d(x(\tau_k^-))) = V(x(\tau_k^-))\}$, and let $M \subset G$ denote the largest invariant set contained in G. If $X_0 \in D_c$, then $x(t) \rightarrow M$ as $t \rightarrow \infty$.

3. Main results

Quantum Lyapunov control uses a feedback control methodology to determine control fields applied to control quantum systems. We employ two Lyapunov functions to prepare different control fields. Firstly, by the Lyapunov function based on quantum state distance, we have **Theorem 1:** For quantum system (5), if

Theorem 1: For quantum system (5), if

$$f_1(t) = K_1 Tr(H_1[\rho, \rho_d]), f_2(\tau_k^-) = -K_2 Tr(\rho_d(\tau_k)[H_2, \rho(\tau_k^-)]),$$

where K_1 , $K_2>0$, then quantum system (2.5) with impulsive control field can be driven to the target states. Proof. Choose the Lyapunov function

$$V_{1} = \frac{1}{2} \|\rho - \rho_{d}\|^{2} = \frac{1}{2} Tr((\rho - \rho_{d})^{2}) = \frac{1}{2} Tr(2\rho_{d}^{2} - 2\rho\rho_{d}) = Tr\rho_{d}^{2} - Tr(\rho\rho_{d})$$

When $t \neq \tau_k$,

$$\begin{split} V_{1} &= Tr(2\rho_{d}\dot{\rho}_{d}) - Tr(\dot{\rho}_{d}\rho) - Tr(\rho_{d}\dot{\rho}) \\ &= 2Tr(H_{0}\rho_{d}^{2} - \rho_{d}H_{0}\rho_{d}) - Tr([H_{0},\rho_{d}])\rho_{d}^{2} - Tr(\rho\rho_{d}) \\ &= -Tr([H_{0},\rho_{d}]\rho) - Tr([H_{0} + f_{1}H_{1},\rho]\rho_{d}) \\ &= -f_{1}Tr(H_{1}[\rho,\rho_{d}]) \end{split}$$

set $f_1(t) = K_1 Tr(H_1[\rho, \rho_d])$, where $K_1 > 0$, then $V_1^{\&} \leq 0$.

When $t = \tau_k$, since the quantum state $\rho(t)$ is right continuous at the impulsive point, therefore,

$$\begin{split} &V_{1}(\rho(\tau_{k}),\rho_{d}(\tau_{k})) \\ &= V_{1}(\rho(\tau_{k}^{+}),\rho_{d}(\tau_{k})) \\ &= Tr(\rho_{d}^{2}(\tau_{k})) - Tr(\rho_{d}(\tau_{k})\rho(\tau_{k}^{-}) - f_{2}(t)\rho_{d}(\tau_{k})[H_{2},\rho(\tau_{k}^{-})]) \\ &= Tr(\rho_{d}^{2}(\tau_{k})) - Tr(\rho_{d}(\tau_{k})\rho(\tau_{k}^{-})) - f_{2}(t)Tr(\rho_{d}(\tau_{k})[H_{2},\rho(\tau_{k}^{-})]) \\ &= V(\rho(\tau_{k}^{-}),\rho_{d}(\tau_{k}^{-})) - f_{2}(t)Tr(\rho_{d}(\tau_{k})[H_{2},\rho(\tau_{k}^{-})]) \end{split}$$

In this situation, we choose the control field

$$f_2(\tau_k^-) = -K_2 Tr(\rho_d(\tau_k)[H_2, \rho(\tau_k^-)]),$$

where $K_2>0$, then

$$V_2(\rho(\tau_k),\tau_k) < \mathbf{V}_2(\rho(\tau_k^-),\tau_k^-).$$

Based on different Lyapunov function, we can find different control functions to drive the quantum states. Then we choose the Lyapunov function based on the average value of Hermitian operator, and get **Theorem 2:** For quantum system (5), if

(6)

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$$f_1(t) = -K_1 Tr([P, H_1]\rho(t)), f_2(\tau_k^-) = -K_2 Tr([P, H_2]\rho(\tau_k^-)),$$

where K_1 , $K_2>0$, then quantum system (2.5) with impulsive control field can be driven to the target states. Proof. Choose a Lyapunov function

 $V_2(\rho(t))=Tr(P \rho(t)).$

When $t \neq \tau_k$,

$$\begin{split} \dot{V}_{2}(\rho(t)) \\ &= Tr(P\dot{\rho}(t)) \\ &= Tr(P[H_{0} + f_{1}(t)H_{1}, \rho(t)]) \\ &= Tr(PH_{0}\rho(t) - H_{0}P\rho(t) + f_{1}(t)PH_{1}\rho(t) - f_{1}(t)P\rho(t)H_{1}) \\ &= Tr([P, H_{0}]\rho(t) + f_{1}(t)[P, H_{1}]\rho(t)) \end{split}$$

Since there is no relation between $[P, H_0]$ and the control component, we can set for convenience $[P, H_0] = 0$. If we choose simple and effective control field

$$f_1(t) = -K_1 Tr([P, H_1]\rho(t))$$

where K₁>0,

$$\dot{V}_{2}(t) = -K_{1}Tr^{2}([P, H_{1}]\rho(t)) < 0.$$

When t = τ_k , since the state $\rho(t)$ is right continuous at the impulsive points, we have

$$\begin{aligned} &V_{2}(\rho(\tau_{k}),\tau_{k}) \\ &= V_{2}(\rho(\tau_{k}^{+}),\tau_{k}^{+}) \\ &= Tr(P\rho(\tau_{k}^{-}) + f_{2}(t)P[H_{2},\rho(\tau_{k}^{-})]) \\ &= Tr(P\rho(\tau_{k}^{-})) + f_{2}(t)Tr(P[H_{2},\rho(\tau_{k}^{-})]) \\ &= V_{2}(\rho(\tau_{k}^{-}),\tau_{k}^{-}) + f_{2}(t)Tr(P[H_{2},\rho(\tau_{k}^{-})]) \end{aligned}$$

In this situation, we choose the control field

$$f_2(\tau_k^-) = -K_2 Tr([P, H_2]\rho(\tau_k^-))$$

where K₂>0, then

 $V_2(\rho(\tau_k), \tau_k) < V_2(\rho(\tau_k^-), \tau_k^-),$

where $K_j(j=1, 2)$ can be chose properly to adjust the control amplitude. From Lemma 2.1, the quantum system with impulsive control field (2.5) can be driven to the target states.

Thus we complete the proof.

In order to illustrate the effectiveness of the proposed method in this paper, one numerical simulation has been presented for a two-level quantum system and the Fourth-order Runge-Kutta method is used to solve with time steps size 0.04.

Example 1: Consider the two-level quantum system with internal Hamiltonian, the first control Hamiltonian (Kuang et al., 2008), and the interaction Hamiltonians given as follows:

$$H_0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, H_1 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, H_2 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

Let the initial states be $\rho(0) \begin{pmatrix} \frac{1}{12} & \frac{1}{12} & \frac{1}{4} \\ \frac{1}{12} + \frac{i}{4} & \frac{11}{12} \end{pmatrix}$ and $\rho_d(0) \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ respectively. The parameters are chosen as K₁=0.2, K₂=0.05Set the quantum state $\rho(0) \begin{pmatrix} x(1) & x(2) \\ x(3) & x(4) \end{pmatrix}$, and the target state $\rho_d(0) \begin{pmatrix} x(5) & x(6) \\ x(7) & x(8) \end{pmatrix}$, by the control fields

$$f_1(t) = -K_1 Tr([P, H_1]\rho(t)) \quad f_2(\tau_k^-) = -K_2 Tr([P, H_2]\rho(\tau_k^-))$$

We have the simulation result shown in Figure 1 and Figure 2.

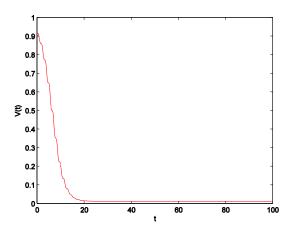


Figure 1: The simulation demonstrates the control performance

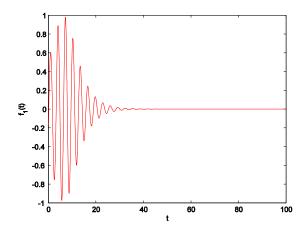


Figure 2: The evolution of the control field

In Figure 1, the simulation demonstrates the control performance with impulsive control field $f_{2\tau_k}$, and the Lyapunov function converges to 0; Figure 2 describes the evolution of the control field $f_1(t)$, which decays to 0 as the quantum state converges to the target state.

4. Conclusion

In this paper, we have introduced the Lyapunov control method to quantum systems with impulsive control fields, and given two effective control fields for the trajectory tracking problem. One of the theoretical results has been verified by a numerical simulation to illustrate the effectiveness. Compared to other control methods, the impulsive control method can drive the quantum state to the target state with less time and more accurately, benefiting from the impulsive control function at the impulsive points.

Acknowledgments

This work was supported by Academic Discipline Project of Shanghai Dianji University (16JCXK02) and the funding scheme for training young teachers in colleges and universities in Shanghai (ZZSDJ14023).

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