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# Effect of Operating Conditions and Split Ratio for Lactic Acid Purification by Short Path Evaporation System 

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## 1. Introduction

Lactic acid (LA), also known as milk acid, is the most widely occurring carboxylic acid in nature. It was firstly isolated in 1780 by a Swedish chemist, Carl Wilhelm Scheele, but it was firstly produced commercially by Charles E. Avery at Littleton, Massachusetts, USA in 1881 (Ren, 2010). The major parts of LA are used for the synthesis of the polylactic acid (PLA) and in the food industry. LA is also used in pharmaceutical industry and cosmetics as well as in chemical industry. Global LA demand is expected to grow annually by $15.5 \%$ to reach 1,960.1 kilo tons by 2020 (Abdel-Rahman and Sonomoto, 2016).
LA can be produced either by chemical synthesis or by fermentation. A small amount is produced by chemical synthesis using acetaldehyde as a starting material (Biddy et al, 2016) where a racemic mixture of the two forms LA ( $\mathrm{D}(-)$ and $\mathrm{L}(+)$ ) is produced (Lasprilla et al., 2012). Production by fermentation is an important technological biomass-based platform, which has attracted much attention from the researches because it is an attractive process in terms of its environmental impact, high product specificity, and, additionally, the production of optically pure L- or D- LA, which has been shown to affect the physical and mechanical properties of PLA (Komesu et al., 2017).
Fermentation substrates to LA production, such as corn starch, beets, sugarcane, wheat, sugarcane molasses and others, are based on local availability (Biddy et al, 2016). In Brazil, sugarcane molasses is an agroindustrial by product generated from sugar industry. During the sugar production, approximately 17.9 million tons of molasses are generated as by-product (Hauly et al., 2003). By the fact that it is cheap and abundant, the use of sugarcane molasses as raw material for LA production is of great potential.

Traditionally, several steps are needed after fermentation to produce the final LA product (Biddy et al., 2016) and its makes the process expensive. To address this problem, efficient and viable separation technologies are needed.
Short path evaporation (SPE) or molecular distillation is a nonconventional unit operation of diffusional mass transfer indicated for separation of homogeneous liquid mixtures with low volatility, high molecular mass and thermally sensitive (Komesu et al., 2016). The unit operation use gravity force to promote a thin film on the evaporating cylinder (evaporator), usually with a wiping element that mixes and distributes the liquid over the whole evaporator surface (Fregolente et al., 2007). It has been recognized as a promising technology mainly because its low evaporation temperature and short residence time, which minimize problems with thermal decomposition (Komesu et al., 2013). In addition, SPE can avoid some of the drawbacks of the traditional purification steps, such as gypsum formation and disposal (Biddy et al., 2016).
The use of SPE to recover LA from fermentation broth was the subject of previous works (Komesu et al., 2013; Komesu et al., 2014). In these works were evaluated the influence of operational conditions of the SPE on the dependent variables, that is, lactic acid purity and recovery at distillate and residue streams. The results showed that condenser and evaporator temperatures were significant variables of the process.
Bearing all this in mind, the purpose of this work was to evaluate the optimal operating conditions to obtain maximum lactic acid purity and recovery in the distillate or in the residue stream. The results were compared with previous works using different distillation processes. Furthermore, the analysis was important to determine which one of the studied systems would be better to use in order to purify the LA.

## 2. Materials and Methods

## Raw Material

Fermentation process was carried out in a 7 L New Brunswick Scientific BioFlo 415 bioreactor. Sugarcane molasses ( 48 \% sucrose w/w) without pretreatment from Costa Pinto Mill (Piracicaba, Brazil) was diluted with distilled water in order to obtain an initial sucrose concentration of $32 \mathrm{~g} / \mathrm{L}$ approximately. The fermentation medium was enriched with $4 \mathrm{~g} / \mathrm{L}$ of yeast extract to attend the nutritional requirements of the bacterium. 300 mL of Lactobacillus plantarum inoculum was added to the fermenter (from Fundação Tropical de Pesquisa e Tecnologia André Tosello, Campinas, Brazil). The temperature was maintained at $37^{\circ} \mathrm{C}, \mathrm{pH}$ at 5.0 by adding NaOH and agitation speed at 200 rpm . A pulse of diluted molasses ( $32 \mathrm{~g} / \mathrm{L}$ ) was carried out after the sucrose had been completely consumed in order to avoid the inhibition of the cell growth by high sucrose concentration as well as to increase of lactic acid end concentration. The total time of fermentation was approximately 30 h . The fermentation product containing about $5 \%(\mathrm{w} / \mathrm{w})$ lactic acid was vacuum filtered and centrifuged ( 5000 rpm for 15 min at room temperature) and used as raw material for the investigation of the separation process.

## Short Path Evaporation System

Lactic acid was concentrated in an evaporation system composed by a short path evaporator (SPE), Model Pope 2 Wiped Film Still, manufactured by Pope Scientific Inc. (Saukville, WI, USA). A schematic diagram of the apparatus is shown in Figure 1. Feed raw material (about 40 g at room temperature) was pumped into the still by using a peristaltic metering pump Cole Palmer Masterflex model 77200-60 with the flow rate of 14 $\mathrm{mL} / \mathrm{min}$. A rotor containing diagonally slotted wiper blades in a heated vertical tube, wiped the incoming liquid circumferencely as well as downward, as a dynamic highly mixed thin film. The rotor was kept in 750 rpm . The incoming liquid evaporated an evaporation surface of $0.033 \mathrm{~m}^{2}$ (or $0.35 \mathrm{ft}^{2}$ ) heated by a jacketed with an electric heating system (Komesu et al., 2017). SPE has two condensers, one located internally and other externally to the evaporator. The external condenser was added to the original Pope system (Komesu et al., 2013). The distance between the evaporator and the internal condenser is 17 mm . During the experiments performed in this work, operating conditions ranged from 116 to $164^{\circ} \mathrm{C}$ for evaporator temperature, from 13 to $22{ }^{\circ} \mathrm{C}$ for condenser temperature, and the external condenser was fixed at $-5^{\circ} \mathrm{C}$. The vacuum system is composed of a mechanical pump (Edwards AGD, UK), keeping the pressure at 1 kPa . Vacuum control was achieved by means of bleed valves in the vacuum line. These valves had adjustable leaks for vacuum control. The vacuum path includes a cold trap which was continuously fed with liquid nitrogen ( $-196{ }^{\circ} \mathrm{C}$ ) to prevent stray light material from entering the vacuum pump. The volatile components condensed as distillate and light streams, while heavier components were collected as residue. The streams were collected in glass flasks and were analysed by liquid chromatography to determine lactic acid purity (Komesu et al. 2017).

## Determination of Lactic Acid Purity

Analyses of the raw material and products were performed in an equipment of high performance liquid chromatography (HPLC), Agilent model 1260, equipped with UV detector (UV/vis) connected in series with the
chromatography column Bio-Rad Aminex, model HPX-87H ( $300 \times 7.8 \mathrm{~mm}$ ). The equipment was controlled through OpenLab software. Sulfuric acid solution with 5 mM was used as mobile phase at flow rate of 0.6 $\mathrm{mL} / \mathrm{min}$. The column temperature was kept constant at $37^{\circ} \mathrm{C}$. An injection volume of $25 \mu \mathrm{~L}$ was used in each run. For lactic acid detection and quantification, the wavelength of 215 nm was used in the UV detection system (Komesu et al., 2013). The lactic acid purities were determined using the calibration curve (regression coefficient of 0.99987) obtained with standard solutions of DL-Lactic acid 90 \% supplied by Sigma-Aldrich (St Louis, Missouri, EUA). The identification of the substances peaks in the chromatogram profiles was performed by comparison of their retention times with standard substances.


Figure 1: Schematic diagram of evaporator; (1) Feed; (2) Electric jacket; (3) Residue; (4) Coolant; (5) Internal condenser distillate; (6) External condenser; (7) Coolant; (8) External condenser distillate (light); (9) Cold trap; and (10) Vacuum pump; By permission from Pope Scientific, Inc., Saukville, WI, USA (adapted) (Komesu et al. 2017)

## 3. Results and Discussions

Optimal operating conditions aiming to the maximum lactic acid purity and recovery can be made either in the distillate or residue stream. The lactic acid purity (LA) and recovery (Rec) were calculated according Eq(1) and Eq(2), respectively:
$L A_{i}(\%)=\frac{m_{\text {lactic acid }, i}}{m_{i}}$
In which i is the index for residue or distillate and m is the residue or distillate mass ( g ).
$\operatorname{Rec}_{i}(\%)=\frac{m_{i} \times L A_{i}(\%)}{\sum_{i=1}^{3} m_{i} \times L A_{i}(\%)}$
In which $i$ is the index for residue or distillate or light, $m$ is the residue or distillate or light mass $(\mathrm{g})$ and $L A_{i}(\%)$ is the lactic acid purity in residue or distillate or light.
Figures 2 and 3 show the lactic acid purity and recovery on distillate stream varying the condenser temperature and evaporator temperature, respectively. From Figures 2 and 3, it can be seen that, increasing the condenser temperature, the purity increases. Similarly, the purity increases with evaporator temperature, which was expected, because the increment of temperature enlarged the evaporation rate (Chen et al., 2012). The maximum lactic acid purity was $89.71 \%$ using feed flow rate of $14 \mathrm{~mL} / \mathrm{min}$, agitation of 750 rpm , condenser temperature of $22{ }^{\circ} \mathrm{C}$ and evaporator temperature of $163.7^{\circ} \mathrm{C}$. Although the purity was high, the lactic acid recovery was 14.27 \%. Considering the low recovery obtained, this operation condition cannot be considered the optimum of the system. In addition, working with higher evaporator temperature is not recommended because LA can be decomposed in other products (water, hydroxyl, propionic acid, acrylic acid, acetaldehyde, carbon dioxide and formate).
The intersection of the lactic acid purity and lactic acid recovery curves in Figures 2 and 3 show an internal condenser temperature of $17.6^{\circ} \mathrm{C}$ and evaporator temperature of $141^{\circ} \mathrm{C}$. In this operating conditions, the
distillate stream show $\sim 70 \%$ of lactic acid purity with $\sim 55 \%$ of recovery. This result can be considered the optimal of the system in the operating range studied.


Figure 2: Lactic acid purity (\% PD) and recovery (\% Rec D) on distillate stream varying the condenser temperature


Figure 3: Lactic acid purity (\% PD) and recovery (\% Rec D) on distillate stream varying the evaporator temperature

The lactic acid purity in residue stream can be studied using the split ratio defined by $\mathrm{Eq}(3)$ :
Split ratio $=\frac{\text { mass residue }(g)}{\text { mass distillate }(g)}$
The split ratio is an important parameter to evaluate the SPE process, since it incorporates the effects of evaporator temperature and feed flow rate, facilitating the analysis of the results. In addition, another advantage of the split ratio is that the results can be used directly in the scale up of the equipment (Martins, 2006).

The lactic acid purity and recovery in residue stream varying the split ratio can be seen in Figure 4. It is observed from Figure 4 that the lactic acid purity in residue decreased while split ratio increased. Lactic acid purities of $\sim 35 \mathrm{wt} \%$ can be achieved by split ratio lesser than 1 . In addition, lactic acid recovery on residue stream increased while split ratio increased. Recovery over $90 \%$ can be obtained with split ratio of 6.7.
The best results of high lactic acid purity as well as high recovery considering the residue stream were obtained using a split ratio of approximately 1.5 . In this split ratio, the residue stream presented lactic acid purity of $\sim 22 w t \%$ and recovery of $\sim 52 \%$.
Obtaining maximum purity and recovery on the residue stream or on distillate stream depends on the operating conditions used. In the process design to purify lactic acid, the designer will have to choose between obtaining higher concentrations of lactic acid or losing less lactic acid to another stream. If the purity of lactic acid is considered more important than its recovery, the optimum operating condition will be that obtained for the distillate stream.
The knowledge of the optimal operating conditions is crucial for the design of a separation strategy (Martins, 2006). In addition, the results obtained from these experiments are promising because with one step of evaporation and low temperature it was possible to concentrate the lactic acid from fermentation broth. In addition, technical grade lactic acid ( $70 \%$ ) achieved in this work can be used as an acidulant in vegetables (food grades).


Figure 4: Lactic acid purity and recovery on residue stream varying the split ratio

### 3.1 Purification Performance Index Evaluation

Table 1 shows results obtained in this work and in previous one using different distillation processes. Comparing the results, the highest lactic acid concentration was obtained in this work using SPE, in which lactic acid was concentrated in 18 times. In coupled process (SPE and reactive distillation) and in reactive distillation (RD), lactic acid was concentrated in 4.7 and 2.4 times, respectively.

Table 1 Lactic acid concentration using different separation processes

| Separation process | Lactic acid feed | Lactic acid <br> concentration | Reference |
| :--- | :--- | :--- | :--- |
| Short path evaporation (SPE) Fermentation | 18 Ci | This work |  |
| SPE-RD | Commercial | 4.7 Ci | Komesu et al., 2016 |
| Reactive distillation (RD) | Commercial | 2.4 Ci | Komesu et al., 2015 |

$\mathrm{Ci}=$ lactic acid initial concentration in the feed.
Winkelnkemper and Schembecker (2010) developed key performance indicators, as Purification Performance Index ( PPI $_{\mathrm{j}}$ ), for rating purification on basis of single step purity improvement. The proposed indicators do not require complete mass and energy balances and can be applied from the beginning of experimental investigation (Winkelnkemper and Schembecker, 2010). PPI $_{j}$ is calculated according Eq(4) and it was used in order to evaluate the best separation and purification strategy between: SPE, RD and coupled process (SPERD). The PPI calculated for each separation process is shown in Table 2.
$P P I_{j}=\frac{\tanh ^{-1}\left(2 x_{\text {out }, j}-1\right)-\tanh ^{-1}\left(2 x_{\text {in }, j}-1\right)}{\tanh ^{-1}\left(2 x_{f}-1\right)-\tanh ^{-1}\left(2 x_{0}-1\right)}$
in which $\mathrm{x}_{\text {out, }}$ is the purity at the outlet of a step; $\mathrm{x}_{\mathrm{in}, \mathrm{j}}$ is the purity at the inlet of a step; $\mathrm{x}_{\mathrm{f}}$ is the final purity, at the end of the complete process; $\mathrm{x}_{0}$ is the initial purity, before the downstream process; and j is the purification step j.

Table 2 Purification Performance Index (PPI) for separation processes

| Separation process | $\mathrm{x}_{\text {out, }}$ | $\mathrm{x}_{\mathrm{in,j}}$ | $\mathrm{x}_{\mathrm{f}}$ | $\mathrm{x}_{0}$ | PPI (\%) |
| :--- | ---: | :--- | :--- | :--- | :--- |
| Short path evaporation (SPE) 0.8971 | 0.0471 | 0.90 | 0.0471 | 99.39 |  |
| Reactive distillation (RD) | 0.2632 | 0.1096 | 0.90 | 0.0471 | 20.47 |
| SPE-RD | 0.2197 | 0.0471 | 0.90 | 0.0471 | 33.43 |

$\mathrm{x}_{\text {out }, \mathrm{j}}=$ purity at the outlet of a step; $\mathrm{x}_{\mathrm{in}, \mathrm{j}}=$ purity at the inlet of a step; $\mathrm{x}_{\mathrm{f}}=$ final purity, at the end of the complete process; $\mathrm{x}_{0}=$ initial purity, before the downstream process; and $\mathrm{j}=$ purification step j .

It was assumed that the purity to be achieved is $90 \mathrm{wt} \%\left(\mathrm{x}_{\mathrm{f}}\right)$, and the initial purity of lactic acid obtained by fermentation process is $\sim 5 \mathrm{wt} \%\left(\mathrm{x}_{0}\right)$. According to Table 2, higher PPI was obtained using SPE, followed by coupled process and RD. Then, SPE is the best strategy for lactic acid purification based on PPI. On the other hand, the coupled process is worse than using only SPE step. So, an increase number of separation steps do not mean purity increase. The advantages of using SPE are: high levels of lactic acid purification are
achieved, it does not use solvent, it reduces the risk of lactic acid thermal decomposition and no further purification is needed. Finally, for a better evaluation, an economic analysis should also be performed.

## 4. Conclusions

The purification of the lactic acid fermentation product was conducted by using the short path evaporation system (SPE). For tests were used: feed flow rate of $14 \mathrm{~mL} / \mathrm{min}$, stirring of 750 rpm , pressure of 1 kPa and was varied the evaporator temperature from 116 to $164{ }^{\circ} \mathrm{C}$ and the condenser temperature from 13 to $22{ }^{\circ} \mathrm{C}$. The evaluation of optimal operating conditions to obtaining maximum lactic acid purity and recovery can be made either in the distillate or residue stream. In the range of study, the product obtained at the distillate stream using evaporator temperature of $141^{\circ} \mathrm{C}$ and condenser temperature of $17.6^{\circ} \mathrm{C}$ presented the richest lactic acid fraction ( $\sim 70 \mathrm{wt} \%$ ) with recovery of $\sim 55 \%$. Using split ratio (mass of residue/ mass of distillate) of 1.5 , the residue stream presented $\sim 22 \mathrm{wt} \%$ of lactic acid fraction with $\sim 52 \%$ of recovery. Based on the results, it can be concluded that lactic acid concentration can be successfully performed by using a SPE. Purification Performance Index (PPI) was calculated in order to comparing three separation processes: SPE, reactive distillation (RD) and SPE-RD. SPE was the technology with higher PPI, followed by SPE-RD and RD. Therefore, SPE is the best option for lactic acid separation and purification between the technologies studied.

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