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A Note on Causalities in Reaction Systems

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Abstract: Reaction systems are a formal model of interactions between biochemical reactions. In this note we initiate an investigation of causalities in reaction systems which reflect the way that elements (entities) of a reaction system influence each other.

Keywords: natural computing; biochemical interactions; reaction systems; causal relationships

1 Introduction

Reaction systems are a formal model of interactions between biochemical reactions which is based on the idea that the underlying mechanisms of these interactions as well as the working of an individual reaction are: facilitation and inhibition.

Therefore a reaction is defined as a triplet of finite nonempty sets a = (R, I, P), where R is the set of reactants needed for a to take place, I is the set of inhibitors each of which forbids a to take place, and P is the set of products produced by a when it takes place. The set $R \cup I$ forms the resources of a — these are all entities that directly influence a either as reactants or as inhibitors. Reactions (of a given biochemical system) influence each other through their products — they may contain entities which are reactants for some reactions (therefore facilitating these reactions) and they may contain entities which are inhibitors for some reactions (therefore inhibiting these reactions).

A reaction system $\mathscr{A} = (S, A)$ consists of a finite set of reactions A and a finite background set of entities used in reactions of A and entities needed to analyze the functioning of \mathscr{A} .

Research concerning reaction systems is quite broad. For example, it covers fundamental issues such as the notion of time in reaction systems ([ER09]), it is concerned with the dynamic processes in reaction systems and the way these processes guide the formations of compounds ([ER07a]), and it investigates the mathematical nature of functions (from states to states, and hence from finite sets into finite sets) definable by reaction systems ([EMR10]). In this note we initiate research on causalities in reaction systems, i.e., the ways that entities of a reaction system influence each other. We discuss here both static/structural causalities (i.e., embedded directly in the definition/specification of a reaction system) as well as dynamic causalities (i.e., the relationships formed through the dynamic runs of a reaction system).

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2 Preliminaries

In order to fix notation and terminology, we recall in this section some basic notions concerning sets and graphs.

As usual, \mathbb{Z}^+ is the set of positive integers, and we let ω be the cardinality of \mathbb{Z}^+ . The symmetric difference of sets Z_1 and Z_2 , defined by $(Z_1 \setminus Z_2) \cup (Z_2 \setminus Z_1)$, is denoted by $Z_1 \oplus Z_2$. For a nonempty $U \subseteq \mathbb{Z}^+$, the minimal integer of U is denoted by min(U).

Let $\tau = W_0, \ldots, W_n$ be a sequence of sets. For a set *S*, we say that τ is an *S*-sequence if $W_i \subseteq S$ for all $i \in \{0, \ldots, n\}$. We denote the length of τ by $|\tau|$ (note that $|\tau| = n + 1$). For a set *Q*, the *Q*-projection of τ is the *Q*-sequence of sets $\text{proj}_Q(\tau) = W_0 \cap Q, \ldots, W_n \cap Q$.

A directed graph (digraph) is an ordered pair G = (V, E), where V is a finite set of vertices, and $E \subseteq V \times V$ is the set of edges. Note that we allow loops $(x,x) \in E$. For $x \in V$, $y \in V$ is an outgoing (incoming, resp.) vertex of x if $(x,y) \in E$ ($(y,x) \in E$, resp.). The set of outgoing (incoming, resp.) vertices of x is denoted by $\operatorname{out}_G(x)$ ($\operatorname{inc}_G(x)$, resp.). The out-degree (in-degree, resp.) of x, denoted by $\operatorname{od}_G(x)$ ($\operatorname{id}_G(x)$, resp.), is the number of outgoing (incoming, resp.) vertices of x, i.e., it equals $|\operatorname{out}_G(x)|$ ($|\operatorname{inc}_G(x)|$, resp.).

3 Reactions and Reaction Systems

In this and in the following section we recall the basic notions related to reaction systems (see, e.g., [ER07b]).

Definition 1 A *reaction* is a triplet a = (R, I, P), where R, I, P are finite nonempty sets such that $R \cap I = \emptyset$.

The sets R, I, P are also denoted by R_a, I_a, P_a , and called the *reactant set of a*, the *inhibitor set of a*, and the *product set of a*, respectively. Also, $M_a = R_a \cup I_a$ is the set of *resources of a*. If S is a set such that $R, I, P \subseteq S$, then a is a *reaction in S*, and rac(S) denotes the set of all reactions in S.

Definition 2 Let *T* be a finite set.

- 1. Let *a* be a reaction. Then *a* is *enabled by T*, denoted by *a en T*, if $R_a \subseteq T$ and $I_a \cap T = \emptyset$. The *result of a on T*, denoted by $\operatorname{res}_a(T)$, is defined by: $\operatorname{res}_a(T) = P_a$ if *a en T*, and $\operatorname{res}_a(T) = \emptyset$ otherwise.
- 2. Let *A* be a finite set of reactions. The *result of A on T*, denoted by $res_A(T)$, is defined by: $res_A(T) = \bigcup_{a \in A} res_a(T)$.

The intuition behind the finite set T above is that it represents a state of a biochemical system (hence it is the set of biochemical entities present in this state). A reaction a is enabled in T (it will take place in T) if *all* of its reactants are present in T while *none* of its inhibitors are in T. This is the reason that we assume in Definition 1 that, for each reaction a, $R_a \cap I_a = \emptyset$, as otherwise a is never enabled. When a takes place it produces entities from P_a . The effect of a set of reactions A is cumulative — the result of A on T consists of all products of all reactions from



A that are enabled on T.

Example 1 Let $S = \{s_1, s_2, s_3, s_4\}$, $a_1 = (\{s_2\}, \{s_1, s_4\}, \{s_2\})$, and $a_2 = (\{s_2, s_3\}, \{s_1\}, \{s_3\})$. Then $a_1, a_2 \in \operatorname{rac}(S)$ and, e.g., $M_{a_1} = \{s_1, s_2, s_4\}$ and $P_{a_1} = \{s_2\}$. We have for $A = \{a_1, a_2\}$, $\operatorname{res}_A(\{s_2, s_3\}) = \{s_2, s_3\}$.

We are ready now to recall the notion of a reaction system.

Definition 3 A *reaction system*, rs for short, is an ordered pair $\mathscr{A} = (S,A)$ such that *S* is a finite set, and $A \subseteq \operatorname{rac}(S)$.

The set *S* is called the *background set of* \mathscr{A} , its elements are called *entities*, and *A* is called the *set of reactions of* \mathscr{A} — note that since *S* is finite, so is *A*.

The dynamic behavior of a rs is formalized through the notion of an interactive process.

Definition 4 Let $\mathscr{A} = (S, A)$ be a rs. An (*n*-step) interactive process in \mathscr{A} is a pair $\pi = (\gamma, \delta)$ of finite equal length S-sequences $\gamma = C_0, \ldots, C_n$ and $\delta = D_0, \ldots, D_n$ for some $n \ge 1$, where $D_0 = \varnothing$ and $D_i = \operatorname{res}_{\mathscr{A}}(D_{i-1} \cup C_{i-1})$ for all $i \in \{1, \ldots, n\}$.

The sequence γ is the *context sequence of* π , denoted by $con(\pi)$, and the sequence δ is the *result sequence of* π , denoted by $res(\pi)$. Then the sequence $\tau = W_0, W_1, \ldots, W_n$ defined by $W_i = C_i \cup D_i$ for all $i \in \{0, \ldots, n\}$ is the *state sequence of* π , denoted by $st(\pi)$, with $W_0 = C_0$ called the *initial state of* π (and of τ), denoted by $init(\pi)$, and W_n called the *final state of* π (and of τ), denoted by $init(\pi)$.

If $C_i \subseteq D_i$ for all $i \in \{1, ..., n\}$, then we say that π and τ are *context-independent*. Note that a context-independent state sequence depends only on the initial state ($W_0 = C_0$) and its length (n+1). The set of all state sequences of \mathscr{A} (i.e., all state sequences of all interactive processes in \mathscr{A}) is denoted by $STS(\mathscr{A})$, and the set of all context-independent state sequences of \mathscr{A} is denoted by $CISTS(\mathscr{A})$.

Note that if W_i, W_{i+1} are two consecutive states in the state sequence of an interactive process π , then each entity in W_{i+1} is either produced by reactions from A enabled on W_i or it is provided by the corresponding context (C_{i+1}). Hence each entity in W_{i+1} is *created* through the state transitions from W_i to W_{i+1} . There is no *permanency* in reaction systems — each entity in a current state is there because it is sustained either by a reaction from A or by the context. This is a major difference with models of concurrent systems in computer science (such as Petri nets — see, e.g., [RE98]), where elements from the current state that are not involved in the local transformations performed by the system on this state just persist (go over to the successor state).

Example 2 Let $\mathscr{A} = (S, A)$ be a rs with $S = \{x, y, z_1, z_2\}$ and

$$A = \{(\{x\}, \{y\}, \{z_1\}) \\ (\{z_1\}, \{z_2\}, \{x, z_2\}) \\ (\{z_2\}, \{z_1\}, \{x, y\})\}.$$

Then the context-independent state sequence τ with the initial state $W_0 = \{x\}$ and length 5 is

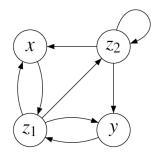


Figure 1: The influence graph from Example 3.

 $\tau = W_0, W_1, W_2, W_3, W_4$ where $W_1 = \{z_1\}, W_2 = \{x, z_2\}, W_3 = \{x, y, z_1\}$, and $W_4 = \{x, z_2\}$. We will use this \mathscr{A} now as the running example of this paper.

4 Resource Dependence and Product Influence

There are two basic ways that the entities of a reaction system can influence each other. If a reaction *a* produces an entity x (i.e., $x \in P_a$), then, for any entity $y \in M_a$, we say that *x* is resource dependent on *y*, and that *y* product-influences *x*. These dependencies are formally defined as follows.

Definition 5 Let $\mathscr{A} = (S,A)$ be a rs.

- Let $x \in S$.
 - 1. The resource dependence set of x, denoted by MD_x , is defined by $\bigcup \{M_a \mid a \in A, x \in P_a\}$.
 - 2. The product influence set of x, denoted by PI_x , is defined by $\bigcup \{P_a \mid a \in A, x \in M_a\}$.
- Let $q \in \mathbb{Z}^+$.
 - 1. \mathscr{A} is a *rs with q-bounded resource dependence*, abbreviated by *q-MD rs*, if $|MD_x| \le q$ for each $x \in S$.
 - 2. \mathscr{A} is a *rs with q-bounded product influence*, abbreviated by *q-PI rs*, if $|PI_x| \le q$ for each $x \in S$.

We introduce now the notion of the *influence graph* of a rs, which is a very convenient technical tool to investigate resource dependencies and product influences in reaction systems.

Definition 6 Let $\mathscr{A} = (S, A)$ be a rs. The *influence graph of* \mathscr{A} , denoted by $\inf_{\mathscr{A}}$, is the digraph (S, E), where for $x, y \in S$, $(x, y) \in E$ if and only if $x \in M_a$ and $y \in P_a$ for some $a \in A$.

Example 3 The influence graph $\inf_{\mathscr{A}}$ of \mathscr{A} of Example 2 is given in Figure 1.



The usefulness of the influence graph of \mathscr{A} in investigating resource dependencies and product influences in \mathscr{A} stems from the fact that these parameters are directly expressible in $\inf_{\mathscr{A}}$ as standard graph-theoretical notions. Thus it is obvious that the following holds.

Lemma 1 Let $\mathscr{A} = (S, A)$ be a rs. For each $x \in S$, $MD_x = \operatorname{inc}_{\inf_{\mathscr{A}}}(x)$ and $PI_x = \operatorname{out}_{\inf_{\mathscr{A}}}(x)$. Moreover, for $q \in \mathbb{Z}^+$, \mathscr{A} is a q-MD rs if and only if $\operatorname{id}_{\inf_{\mathscr{A}}}(x) \leq q$ for all $x \in S$, and \mathscr{A} is a q-PI rs if and only if $\operatorname{od}_{\inf_{\mathscr{A}}}(x) \leq q$ for all $x \in S$.

Example 4 From the influence graph of \mathscr{A} in Figure 1 we find that $|PI_{z_1}| = |PI_{z_2}| = 3$, $|PI_x| = |PI_y| = 1$, and $|MD_s| = 2$ for all $s \in \{x, y, z_1, z_2\}$. Hence \mathscr{A} is a 3-PI rs and a 2-MD rs.

We demonstrate now how to use the influence graph to obtain properties of resource dependencies and product influences.

Definition 7 Let $\mathscr{A} = (S, A)$ be a rs.

- The average resource dependence of \mathscr{A} , denoted by $\operatorname{avMD}(\mathscr{A})$, is defined as $\sum_{x \in S} \frac{|MD_x|}{|S|}$.
- The average product influence of \mathscr{A} , denoted by $\operatorname{avPI}(\mathscr{A})$, is defined as $\sum_{x \in S} \frac{|\operatorname{PI}_x|}{|S|}$.

Theorem 1 For every rs \mathscr{A} , $avMD(\mathscr{A}) = avPI(\mathscr{A})$.

Proof. For every digraph G = (V, E), $|E| = \sum_{x \in V} id_G(x) = \sum_{x \in V} od_G(x)$, as each edge incoming to some vertex *x* is outgoing from some vertex *y*. Hence, by Lemma 1, $\sum_{x \in S} |MD_x| = \sum_{x \in S} |PI_x|$, and the theorem holds.

Note that, in general: (*i*) knowing that rs $\mathscr{A} = (S,A)$ is a *q*-MD rs does not yield a bound on $\max{\{\operatorname{PI}_x \mid x \in S\}}$, and symmetrically (*ii*) knowing that \mathscr{A} is a *q*-PI rs does not yield a bound on $\max{\{\operatorname{MD}_x \mid x \in S\}}$. However, knowing that *q* bounds the size of resource dependence (product influence, resp.) of \mathscr{A} , by Theorem 1 we know that the average product influence (average resource dependence, resp.) of \mathscr{A} is also bound by *q* (because the average does not exceed the maximum).

5 Causal Distances

In Section 4 we investigated *static* causalities in reaction systems, i.e., causalities "directly deducible" from the influence graph. In this section we investigate the way that entities influence each other within the *dynamics* of a reaction system, i.e., within interactive processes.

We begin with a useful technical result concerning symmetric differences of states of a rs. Considering symmetric differences allows us to single out the entities by which two states differ (and then to consider consequences of these differences).

Lemma 2 Let $\mathscr{A} = (S,A)$ be a rs, and let $W, W' \subseteq S$. For each $y_2 \in \operatorname{res}_{\mathscr{A}}(W) \oplus \operatorname{res}_{\mathscr{A}}(W')$, we have that (y_1, y_2) is an edge of $\inf_{\mathscr{A}}$ for some $y_1 \in W \oplus W'$.



Proof. Let $y_2 \in \operatorname{res}_{\mathscr{A}}(W) \oplus \operatorname{res}_{\mathscr{A}}(W')$. Then there is a reaction *a* of \mathscr{A} with $y_2 \in P_a$ such that either (1) *a* is enabled by *W* and *a* is not enabled by *W'*, or (2) *a* is enabled by *W'* and *a* is not enabled by *W*. Without loss of generality we assume case (1). As *a* is enabled by *W* and not enabled by *W'*, either $R_a \cap (W \setminus W') \neq \emptyset$ or $I_a \cap (W' \setminus W) \neq \emptyset$. Hence there is a $y_1 \in W \oplus W'$ with $y_1 \in R_a \cup I_a = M_a$. Consequently, $y_2 \in \operatorname{PI}_{y_1}$, and therefore (y_1, y_2) is an edge of $\inf_{\mathscr{A}}$. \Box

The following lemma follows now from Lemma 2 by induction on n.

Lemma 3 Let \mathscr{A} be a rs. Let $\tau, \tau' \in CISTS(\mathscr{A})$ such that $\tau = W_0, W_1, \ldots, W_m, \tau' = W'_0, W'_1, \ldots, W'_m$ for some $m \ge 1$, and $W_0 \oplus W'_0 = \{x\}$. Then, for each $n \in \{1, \ldots, m\}$, if $y \in W_n \oplus W'_n$, then there is a path from x to y in $\inf_{\mathscr{A}}$ of length n.

If we consider now q-PI reaction systems, then we obtain a bound on the cardinality of $W_n \oplus W'_n$.

Lemma 4 Let \mathscr{A} be a q-PI rs for some $q \ge 1$. Let $\tau, \tau' \in CISTS(\mathscr{A})$ be such that $\tau = W_0, W_1, \ldots, W_m$, $\tau' = W'_0, W'_1, \ldots, W'_m$ for some $m \ge 1$, and $|W_0 \oplus W'_0| = 1$. Then, for each $n \in \{1, \ldots, m\}$, $|W_n \oplus W'_n| \le q^n$.

Proof. By Lemma 3, for each $y \in W_n \oplus W'_n$, there is a path from *x* to *y* of length *n*. As \mathscr{A} is a *q*-PI rs, by Lemma 1 there are at most q^n paths from *x* of length *n*, and so the result follows.

Example 5 We continue the running example. Recall that \mathscr{A} is a 3-PI reaction system. The context-independent state sequence τ' with the initial state $W'_0 = \{x, z_1\}$ and length 5 is $\tau' = W'_0, W'_1, W'_2, W'_3, W'_4$ where $W'_1 = \{x, z_1, z_2\}, W'_2 = \{z_1\}, W'_3 = \{x, z_2\}, and W'_4 = \{x, y, z_1\}$. If we compare τ' with the context-independent state sequence τ with the initial state $W_0 = \{x\}$ in Example 2, then $W_0 \oplus W'_0 = \{z_1\}, W_1 \oplus W'_1 = \{x, z_2\}, W_2 \oplus W'_2 = \{x, z_1, z_2\}, W_3 \oplus W'_3 = W_4 \oplus W'_4 = \{y, z_1, z_2\}$. Hence, the upper bound of Lemma 4 indeed holds for τ and τ' as $|W_0 \oplus W'_0| = 1$, and $|W_1 \oplus W'_1| = 2 \le 3, |W_2 \oplus W'_2| = 3 \le 9$, etc.

Definition 8 Let $\mathscr{A} = (S, A)$ be a rs, and $x, y \in S$.

• Let $\tau, \tau' \in CISTS(\mathscr{A})$ where $\tau = W_0, W_1, \ldots, W_m, \tau' = W'_0, W'_1, \ldots, W'_m$, and $W_0 \oplus W'_0 = \{x\}$. Let moreover $Z_{x,y}(\tau, \tau') = \{n \in \{0, \ldots, m\} \mid y \in W_n \oplus W'_n\}$. Then the *causal distance from x* to *y* in τ , τ' is defined by:

$$\delta_{x,y}(\tau,\tau') = \begin{cases} \min Z_{x,y}(\tau,\tau') & Z_{x,y}(\tau,\tau') \neq \emptyset \\ \omega & \text{otherwise} \end{cases}$$

• The *causal distance from x to y* is defined by:

$$\mathrm{cd}_{x,y}=\min\{\delta_{x,y}(\tau,\tau')\mid \tau,\tau'\in CISTS(\mathscr{A}), |\tau|=|\tau'|, \text{ and } \mathrm{init}(\tau)\oplus\mathrm{init}(\tau')=\{x\}\}.$$

If the initial states of two state sequences τ and τ' (of equal length) differ by x only, then by comparing pairwise the corresponding states of τ and τ' one can reason about the causal influence, within the pair τ, τ' , of x on an entity y. If y "appears" in the symmetric difference



of two corresponding states W_n and W'_n , then this appearance is caused by x. If n is the minimal such index (for τ and τ'), then it is the distance of causal influence of x on y within the pair τ, τ' . If on the other hand y never appears in the symmetric difference of two corresponding states of τ and τ' , then x does not influence y within the pair τ, τ' and so the distance of causal influence of x on y is "infinite" (it is equal to ω). Obviously, the causal distance between x and y in the total dynamics of \mathscr{A} is defined as the minimal distance over all pairs of state sequences τ, τ' as above. If this distance is n, for some $n \in \mathbb{Z}^+$, then in some situations (pairs τ, τ') x can causally influence y over the distance equal n.

Example 6 We continue the running example. Recall the context-independent state sequences τ and τ' from Example 5 with $\operatorname{init}(\tau) \oplus \operatorname{init}(\tau') = \{z_1\}$. We have then (see Example 5): $\delta_{z_1,z_1}(\tau,\tau') = 0$, $\delta_{z_1,x}(\tau,\tau') = \delta_{z_1,z_2}(\tau,\tau') = 1$, and $\delta_{z_1,y}(\tau,\tau') = 3$. Note that we may thus have "gaps": there is no $v \in S$ with $\delta_{z_1,v}(\tau,\tau') = 2$, but, we have seen that $\delta_{z_1,y}(\tau,\tau') = 3$. Also note that $\operatorname{cd}_{z_1,z_2} = 1$ as the causal distance between different entities is (by definition) at least 1, and we have $\delta_{z_1,z_2}(\tau,\tau') = 1$.

Using Lemma 3, the causal distance from x to y in state sequences τ, τ' has the following implication in terms of paths in $\inf_{\mathscr{A}}$.

Lemma 5 Let $\mathscr{A} = (S,A)$ be a rs and let $x \in S$. Let $\tau, \tau' \in CISTS(\mathscr{A})$ such that $|\tau| = |\tau'|$, and $\operatorname{init}(\tau) \oplus \operatorname{init}(\tau') = \{x\}$. If $\delta_{x,y}(\tau, \tau') = d$, then there is a path from x to y in $\inf_{\mathscr{A}}$ of length d.

Using Lemma 4, we can now bound the number of entities that have a causal distance d from a given entity x.

Theorem 2 Let $\mathscr{A} = (S,A)$ be a rs and let $x \in S$. If \mathscr{A} is a q-PI rs for $q \ge 1$, then for every $d \in \mathbb{Z}^+$, $|\{y \in S \mid \operatorname{cd}_{x,y} = d\}| \le q^d$.

As a corollary we prove that if, for a *q*-PI rs $\mathscr{A} = (S,A)$, there is a common (finite) bound on causal distances from *x* to *y* for all $x, y \in S$, then this common bound can be used to bound |S|.

Corollary 1 Let $\mathscr{A} = (S,A)$ be a *q*-PI rs for some $q \ge 1$. Let $x \in S$, and let $n_0 \ge 0$ be such that $\operatorname{cd}_{x,y} \le n_0$ for all $y \in S$. Then $|S| \le \sum_{d=0}^{n_0} q^d$.

Proof. Let $x \in S$. Then by Lemma 5, for every $d \in \mathbb{Z}^+$, $|\{y \in S \mid \operatorname{cd}_{x,y} = d\}| \leq q^d$. Hence $|\{y \in S \mid \operatorname{cd}_{x,y} \leq n_0\}| \leq \sum_{d=0}^{n_0} q^d$. Since $\operatorname{cd}_{x,y} \leq n_0$ for all $y \in S$, $|S| = |\{y \in S \mid \operatorname{cd}_{x,y} \leq n_0\}|$, and so $|S| \leq \sum_{d=0}^{n_0} q^d$.

We note here that the causalities we investigate are *between entities* of a reaction system. This is quite different from the traditional research on causalities in models of concurrent systems (see, e.g., [RE98]), where the causal dependencies hold between events (actions of a system). We can do this, because (as pointed out in Section 3) each entity in a current state is *created* in the transition from the previous state. Hence our causal dependencies between entities x and y can be also seen as causal dependencies between the actions of creating x and y.



6 Predictability

Let $\mathscr{A} = (S, A)$ be a rs. Assume that we are interested in a specific $x \in S$, and we would like to know (to be able to predict) whether or not, for a specific $n \in \mathbb{Z}^+$, x will be present in the final state of a *n*-step process π . Since π is uniquely determined by $\operatorname{con}(\pi)$ (and *A*), knowing $\operatorname{con}(\pi)$ allows us to answer this query. However, since we are interested in a specific x and a specific n, perhaps to answer this query it suffices to know *only a part of* (each set of) $\operatorname{con}(\pi)$. More specifically, perhaps (for given x and n) there is a subset $Q \subseteq S$ which is the key to answering this query, meaning that if, for any two *n*-step interactive processes, the *Q*-projections of the context sequences of these processes are equal, then either x is in both final states (of these processes) or in none of them. Then Q is a subset of S which is a cause for x to be *uniformly* either present or absent in the final state of any *n*-step interactive process. Such predicting subsets of S are investigated in this section.

Definition 9 Let $\mathscr{A} = (S, A)$ be a rs. For $x \in S$, $n \ge 1$, and $Q \subseteq S$, we say that Q *n*-predicts x, if for arbitrary *n*-step interactive processes π_1 and π_2 the following holds: if $\operatorname{proj}_Q(\operatorname{con}(\pi_1)) = \operatorname{proj}_Q(\operatorname{con}(\pi_2))$, then $x \in \operatorname{fst}(\pi_1)$ if and only if $x \in \operatorname{fst}(\pi_2)$.

Note that for all $x \in S$ and all $n \ge 1$, S *n*-predicts x.

Let, for $x \in S$ and $n \ge 1$, $\mathscr{P}_{x,n} = \{Q \subseteq S \mid Q \text{ n-predicts } x\}$. Since $S \in \mathscr{P}_{x,n}$, $\mathscr{P}_{x,n}$ is nonempty, and so it contains *minimal elements* (w.r.t. inclusion).

Theorem 3 Let $\mathscr{A} = (S,A)$ be a rs, $x \in S$ and $n \ge 1$. Then $\mathscr{P}_{x,n}$ contains exactly one minimal *element*.

Proof. Assume to the contrary that $\mathscr{P}_{x,n}$ contains two different minimal sets Q_1 and Q_2 . Let $Z = Q_1 \cap Q_2$. As Z is strictly included in Q_1 (and Q_2), and Q_1 and Q_2 are minimal, Z does not *n*-predict x. Let thus π' and π'' be arbitrary *n*-step interactive processes such that $\operatorname{proj}_Z(\gamma') = \operatorname{proj}_Z(\gamma'')$ with $\gamma' = \operatorname{con}(\pi')$, $\gamma'' = \operatorname{con}(\pi'')$, and $x \in \operatorname{fst}(\pi')$, while $x \notin \operatorname{fst}(\pi'')$.

Let $\gamma' = C'_0, \dots, C'_n$ and $\gamma'' = C''_0, \dots, C''_n$. Consider now the *S*-sequence $\gamma = C_0, \dots, C_n$, where $C_i = (Q_1 \cap C'_i) \cup (Q_2 \cap C''_i)$ for $i \in \{0, \dots, n\}$.

We have $Q_1 \cap C_i = (Q_1 \cap C'_i) \cup (Q_1 \cap Q_2 \cap C''_i)$. Also, we have $Q_1 \cap Q_2 \cap C''_i = Z \cap C''_i$. Moreover, $Z \cap C''_i = Z \cap C'_i$, because $\operatorname{proj}_Z(\gamma') = \operatorname{proj}_Z(\gamma')$. Since also $Z \subseteq Q_1$, we obtain $Q_1 \cap C_i = Q_1 \cap C'_i$. Consequently, $\operatorname{proj}_{Q_1}(\gamma) = \operatorname{proj}_{Q_1}(\gamma')$.

Analogously one proves that $\operatorname{proj}_{O_2}(\gamma) = \operatorname{proj}_{O_2}(\gamma'')$.

Let π be the interactive process corresponding to γ . Since Q_1 *n*-predicts *x* and $x \in \text{fst}(\pi')$, we have $x \in \text{fst}(\pi)$. Similarly, since Q_2 *n*-predicts *x* and $x \notin \text{fst}(\pi'')$, $x \notin \text{fst}(\pi)$ — a contradiction. Therefore $\mathscr{P}_{x,n}$ contains exactly one minimal element.

We denote the (unique) minimal element of $\mathscr{P}_{x,n}$ for $x \in S$ and $n \ge 1$, by $\operatorname{prd}_{\mathscr{A}}(x,n)$, and refer to it as *the n-predictor of x (in A)*.

Note that in a context-independent *n*-step interactive process $\pi = (\gamma, \delta)$, as far as the state sequence st(π) is concerned, we can assume that $\gamma = C_0, \ldots, C_n$ is such that $C_1 = \emptyset, \ldots, C_n = \emptyset$ (because all the contributions of C_1, \ldots, C_n to st(π) are already included in D_1, \ldots, D_n where $\delta = D_0, \ldots, D_n$). Therefore, if, for $x \in S$, we want to know whether or not *x* appears in the final



state of an arbitrary *context-independent n*-step interactive process π , it suffices to know which entities of $\operatorname{prd}_{\mathscr{A}}(x,n)$ are included in the initial state of π — all other entities of the initial state are irrelevant as far as this query is concerned!

Our next result bounds the size of *n*-predictors.

Theorem 4 Let $\mathscr{A} = (S, A)$ be a *q*-*MD* rs for $q \ge 1$. For each $x \in S$ and each $n \ge 1$, $|\operatorname{prd}_{\mathscr{A}}(x, n)| \le \sum_{k=0}^{n} q^{k}$.

Proof. Each $y \in \operatorname{prd}_{\mathscr{A}}(x,n)$ product-influences x in at most n steps. By the definition of influence graph, for each entity y that product-influences x in k-step (for some k), there is a path of length k from y to x. Since \mathscr{A} is a q-MD rs, by Lemma 1 there are at most $\sum_{k=0}^{n} q^k$ paths to x in $\inf_{\mathscr{A}}$ of length at most n. Therefore $|\operatorname{prd}_{\mathscr{A}}(x,n)| \leq \sum_{k=0}^{n} q^k$.

Example 7 Recall that \mathscr{A} in the running example is a 2-MD rs (see Example 4). Hence we have, e.g., $|\operatorname{prd}_{\mathscr{A}}(z_1, 1)| \leq 3$.

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