The Effect of Solution Treatment Temperature and Quenching Media Variation in Heat Treatment Process Cu-Zn-Al Shape Memory Alloys on Shape Memory Effect and Microstructures

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ABSTRACT

Shape memory alloys (SMAs) are metal alloys with a reversible ability to recover their shape at a certain temperature after being deformed. This ability referred to as Shape Memory Effect (SME). The application of SMAs such as Ni-Ti and Cu-Zn-Al alloys usually used on automotive, biomedical, etc. The commonly used SMA is Ni-Ti because of its superior SME properties than Cu-Zn-Al, even though the price is quite higher. The SME of Cu-Zn-Al might be improved by increasing the presence of the martensite phase in its microstructure by heat treatment. The heat treatment process given to Cu-21Zn-5Al alloy is a homogenizing, annealing, solution treatment process and quenched with brine solution and dry ice. The heat-treated alloys then undergo several examination trough hardness tests, X-Ray Diffraction, metallography, SME test, and Differential Scanning Calorimetry to determine the SME and microstructure of Cu-21Zn-5Al. From the test results, the specimen with temperature treatment solution of 850oC and quenched by brine solution had the highest SME value by 36.67%. All of the microstructure contained α , β , (martensite) and γ phases.

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I. Introduction

Shape Memory Alloys (SMAs) are smart material with ability to remember or to reverse the former shapes in response to heat, magnetic fields or electromagnetic waves after being deformed. This ability called by Shape Memory Effect (SME). These unique properties allowed SMAs to utilized in various fields such as actuators in automotive field and blood clotting filter devices in medical field. Both of these tools utilized SME ability to remember or reverse shape back to former shape in response to the shift of temperature by surrounding environment. The SME ability is closely related to the microstructure of the alloy in the presence of a martensite phase. The martensitic phase at room temperature is twinned martensite and easily deformed then, The martensitic phase of deformed SMAs is detwinned martensite. If detwinned martensite phase provided by a shift of temperature, a transformation would occur such as reserve transformation to austenite phase through a heating process then the austenite phase undergoes forward transformation to austenite phase through a cooling process and the SMAs restore its former shape. The transformation involves changes in the alloy's crystal structure [1].

In general, SMAs often used are Ni-Ti. Ni-Ti alloys have a superior SME (above 8%) but a fairly expensive price. An alternative to substitute Ni-Ti alloys as SMAs is Cu-based



alloys, especially Cu-Zn-Al alloys with a lower price and SME ability than Ni-Ti alloys. The SME ability in Cu-Zn-Al alloys is 4-5%. The SME of Cu-Zn-Al might be improved by increasing the presence of the martensite phase in its microstructure by heat treatment. The heat treatment is based on the Cu-Zn-Al ternary phase diagram and the intended heat treatment objectives such as homogenization, annealing, and solution treatment. For this reason, this study was conducted to determine the effect of variations temperature solution treatment and quenching media on SME and microstructure of shape memory alloys Cu-Zn-Al [2].

II. Material and Methods

The method includes research chronological, including research design, research procedure (in the form of algorithms, Pseudocode or other), instruments, and analysis techniques used in solving problems. Experimental procedures should be given in sufficient detail to allow these to be replicated by other researchers. The source of the various reagents and materials used in the study should be given, where possible.

A. Material

The specimen is X-Ray Fluorescence (XRF) tested by PANalytical MiniPal4 XRF. Table 1 shows the composition of Cu-21 Zn-5 Al shape memory alloys based on the ASM standards and as cast.

A remedentions	Composition			
Annotation	Cu (%)	Zn (%)	Al (%)	
ASM Handbook Volume 2 Chapter: Shape Memory Alloy	Balance	10-35	4-10	
As Cast	Balance	21	5	

Table 1. Composition Cu-21 Zn-5 Al shape memory alloys



Fig. 1. Cu-21Zn-5Al alloys (a) as cast; (b) after the machining process

Figure 1(a) shows as cast of Cu-21Zn-5Al alloy with dimension length, width and height of 32.2, 2.36 and 2.5 cm. The Cu-21Zn-5Al alloy is yellow colored-like brass in general. Then the machining process is carried out on the alloy using a CNC wire cutting tool.

Figure 1(b) shows Cu-21Zn-5Al alloy after the machining process as the form of a wire with a diameter and length of 1 mm and 10 cm. The wire is test specimens for the effect of memory shape test in accordance with ASTM F2082 standards.

B. Material Preparation

A homogenization treatment performed at 850°C for 2 hours followed with air cooling, was undertaken to improve the chemical and microstructural homogeneity in Cu-21Zn-5Al alloys. The alloys with dimension length, width and height of 1, 2.36, and 2.5 cm. The machined Cu-21Zn-5Al alloys annealed at 550°C for 4 hours with air cooling and thereafter, solution treatment with temperature variation at 750, 850, dan 900°C followed by variations quenching media such as brine solution and dry ice. The samples for the research are 7, which consist of AC, 750-BC, 750-DC, 850-BC, 850-DC, 900-BC, and 900-DC. The number on sample stands for solution treatment's temperature variation, BC means brine solution cooling, DC mean dry ice-cooling, and AC means as-cast alloy.

C. Vickers Hardness Test

The Vickers hardness tests were undertaken to determine the hardness value of Cu-21Zn-5Al alloy for identifying the material's resistance to localized plastic deformation induced by indentation. The Vickers hardness tests were performed by Universal Hardness Tester HBRV 187.5 A with a diamond pyramid indenter with a square base and an angle of 1360 between opposite faces. The samples and method for the Vickers hardness test were prepared following standard procedures of ASTM E92-17. The test involves delivering 30 kgf load for 10 seconds trough the indenter at 3 different points on each sample. The samples are as cast and after solution treatment process of Cu-21Zn-5Al alloy.

D. X-Ray Diffraction (XRD)

X-Ray Diffraction (XRD) analysis was undertaken for the phase characterization of the Cu-21Zn-5Al alloys produced. The samples for the analysis were prepared following standard procedures dimension with length, width, and height of 2.5, 1, and 0.4 cm. The analysis was performed by PAN Analytical XRD test equipment with diffraction 2θ angle spectral range of 10° to 90° . The XRD analysis was determined by PAN Analytical High Score Plus-Marven software to compare the peaks formed with International Center for Diffraction Data (ICDD) standard data according to the phase characterization. The samples are as cast and after solution treatment process of Cu-21Zn-5Al alloy.

E. Metallographic Examination

The observation of the microstructure of the Cu-21Zn-5Al alloy was studied using OLYMPUS BX51M-RF optical microscope. The samples for the observation were prepared following standard procedures of ASTM E-407. The samples were etched by immerse method with FeCl₃+HCl+H₂O solution for 10 seconds to reveal the microstructure. The metallographic observation was undertaken on as cast and after solution treatment of Cu-21Zn-5Al alloy.

F. Different Scanning Calorimetry (DSC)

The Shape Memory Effect (SME) test was undertaken to determine the percentage of recovery in deformed Cu-21Zn-5Al alloys. The samples for the analysis were prepared following standard procedures of ASTM F2082 with dimension of a wire with a diameter and length of 1 mm and 10 cm. The sample is Cu-21Zn-5Al wire after the solution treatment

process. The SME test involves cooling a test sample to its nominally fully martensitic phase, bending the sample at 20° , and heating the sample to its fully austenitic phase.

After heating, the angle of the sample is measured and calculated by equation (1), as in:

$$SME = \frac{\theta o - \theta t}{\theta o} \times 100\% \quad \dots \tag{1}$$

Where: θ_0 : Initial angle θ_t : Final angle

III. Results and Discussions

The results of Vickers hardness test for all sample is given in Figure 2. The highest value of hardness Vickers (HV) is Sample 750-BC, and the smallest value of HV is Sample AC. Cu-21Zn-5Al alloys have α , β , and martensite phase as the main microstructures.



Fig. 2. Hardness of investigated Cu-21Zn-5Al alloy

The characteristic of α phase is more lenient than β phase [3]. he hardness of Cu-Zn-Al shape memory alloys comes from β phase, because its properties as hard and brittle. Aluminum can increase the existance of β phase and hardness value [4]. As in martensite in steel, martensitic properties are hard and brittle. However, in shape memory alloys, the nature of martensite is soft and resilient [5]. The microstructure with more amount of α and martensite phase will make the HV value smaller. In addition to the types of phases, the grain shape can affected the value of HV. The value of HV in microstructures with finer grains are higher than the one with coarser grains [6].

The XRD pattern of Cu-21 Zn-5 Al alloys quenched by dry ice and solution treatment is shown in Figure 3 and Figure 4. The diffraction pattern represents the crystal lattice of the α -brass, β -brass, and γ -brass phases. According to the chemical composition of investigated alloy, it can be expected that alloy consists of 3 phases (α , β , and γ), which is confirmed by the XRD pattern. According to the Cu-Zn-Al ternary diagram, the presence of α and β phases indicated the potential ability of SME and the martensitic phase formed. The pattern of Sample AC and Cu-21 Zn-5 Al alloys quenched by dry ice shown a more dominant presence of the α -brass phase than the β phase. On the contrary, the Sample of Cu-21 Zn-5 Al alloys quenched by dry ice shown a more dominant presence of the β -brass phase than the α -brass phase.



Fig. 3. XRD pattern of Cu-21 Zn-5 Al alloys quenched by dry ice.



Fig. 4. XRD pattern of Cu-21 Zn-5 Al alloys quenched by brine solution.

The microstructure observation of Cu-21Zn-5Al alloy analyzed by comparing the percentage of phases using FIJI app in Figure 5. The result shown a formation of 4 phases namely α , β , β' (martensite) and γ .

The results of metallography analysis using optical microscopy for Sample AC is given in Figure 6 The optical microscopy reveals that the microstructure of Sample AC consist of α phase the irregularly light colored shaped grains, β phase the dark colored grains due to corrosion from etching process, and γ phase the black dot shaped grain [7]. The formed phases are similar with the XRD pattern from the same sample.



Fig. 5 The percentage of phases in Cu-21Zn-5Al



Fig. 6 The microstructure of sample AC with 100X magnitude

The results of metallography analysis using optical microscopy for Sample 750-BC, 850-BC, and 900-BC is given in Figure 7. The optical microscopy reveals Sample 750-BC, 850-BC, and 900-BC have the same microstructure as Sample AC which is consist of the same 3 phases (α , β , and γ) but with additions martensite (β ') phases. The martensite phase formed by a dissolved α phase in β phase as the consequence of quenching a single phase form at such a high rate that the atoms do not have time to diffuse out of the crystal structure in large enough quantities [8]. In Cu-21Zn-5Al alloy's case the appeared martensite phase caused by a solution treatment at high temperature until the full β phase then quenched at high rate by brine solution [9].



Fig. 7 The microstructure of Sample (a) 750-BC; (b) 850-BC; and (c) 900-BC with 100X magnitude

The results of metallography analysis using optical microscopy for Sample 750-DC, 850-DC, and 900-DC is given in Figure 8. The optical microscopy reveals Sample Cu-21Zn-5Al alloy quenched by dry ice have the same microstructure as Sample Cu-21Zn-5Al alloy quenched by brine solution which is consist of the same 4 phases (α , β , γ , and martensite). It's also shown from Figure 4 and Figure 5, the effect of the higher variation temperature solution treatment is a larger or coarser the grains formed. The effect of different quenching media is the amount of martensite phase in each sample. The sample with brine solution as quenching media have larger amount than the other sample [10].



Fig. 8. The microstructure of Sample (a) 750-DC; (b) 850-DC; and (c) 900-DC with 100X magnitude

The pattern Differential Scanning Calorimetry (DSC) of Cu-21Zn-5Al shown in Figure 9. The pattern shown 2 peaks with the first peak on the left caused by an exothermic reaction and the second peak on the right caused by an endothermic reaction. The formation of these two peaks is in accordance with the principle of DSC based on ASTM E2253 to analyze the exothermic reaction [11].

Exothermic and endothermic reactions occur due to reactions that absorb energy and release energy at certain range temperature [12]. In Cu-21Zn-5Al alloy, the reaction takes the form of a phase change from martensite to austenite and vice versa with temperature range shown in Table 2. The temperature range of the DSC pattern in Figure 8 in accordance with ASTM F2005-00 for standard graph of SMAs having two temperature transformation peaks. The two temperature transformation peaks referred as the martensitic transformation temperature (M_s and M_f) and the austenitic transformation temperature (A_s and A_f).

Sample	Temperature (°C)					
	A_s	A_p	A_f	M_s	M_p	M_{f}
Cu-21 Zn-5 Al	275	282	289	197	152	94.8

Table 2. The temperature transformation of Cu-21Zn-5Al alloy



Fig. 9. The DSC pattern of Cu-21Zn-5Al alloy

The SME value of Cu-21Zn-5Al alloy shown in Table 3. The sequent of highest to smallest recovery shape value from Cu-21Zn-5Al alloy with brine solution are the variation temperature of solution treatment at 850°C (36.67%), 900 °C (21.67%), and 750 °C (13.33%). On Cu-21Zn-5Al alloy with brine solution, 850 °C (16.67%), 900 °C (13.33%), and 750 °C (10%).

Sample	No.	Initial angle	Final angle	Deviation angle	Recovery Shape (%)
750-BC	1	20°	16°	4 °	
	2	20 °	18 °	2 °	13.33
	3	20 °	18°	2 °	
750-DC	1	20 °	18°	2 °	
	2	20 °	18°	2 °	10
	3	20 °	18 °	2 °	
850-BC	1	20 °	10 °	10 °	
	2	20 °	13°	7°	36.67
	3	20 °	15 °	5 °	
850-DC	1	20 °	15 °	5°	
	2	20 °	18°	2 °	16.67
	3	20 °	17 °	3 °	
900-BC	1	20 °	16°	4 º	
	2	20 °	15 °	5 °	21.67
	3	20 °	16°	4 º	
900-DC	1	20 °	17 °	3 °	
	2	20 °	17 °	3 °	13.33
	3	20 °	18 °	2 °	

Table 3. The recovery shape of Cu-21Zn-5Al alloy

The SME mechanism of shape memory alloy occured because reversible phase transformations between the austenite and martensite phases [13]. Therefore, the more martensite phase formed in SMAs, the greater the recovery shape and its SME [14]. Sample 850-BC have the highest recovery shape because the dominant of martensite phase which is confirmed by the microstructure sample in Figure 7.

IV. Conclusions

The effect of temperature variation of the treatment solution and the quenching media on the SME of Cu-21Zn-5Al alloy is the value of recovery shape depended on the amount of martensite phase formed. The more presence of martensite phase, the greater the value of recovery shape. The highest value of recovery shape is Sample 850-BC with 36.67%.

The effects of temperature variation of the treatment solution and the quenching media on the microstructure of Cu-21Zn-5Al alloy are 3 phases formed in all variations, namely α , β , and γ phases. In addition to the three phases, martensite or β ' phases is formed due to the influence of a rapid quenching media. The microstructure with the most dominant area of martensite phase is Sample 850-BC.

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