

# Additional cytogenetic abnormalities in resource constraint countries; an additional burden on Chronic Myeloid Leukemia patients ?

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**Abstract:** The objective of this study was to analyze the additional cytogenetic abnormalities at baseline in chronic myeloid (CML) leukemia patients, compare its characteristics with patients having normal karyotype and to identify the rationale of performing cytogenetics in treatment naive CML patients. A case control study was conducted, 18 cases and 36 controls were recruited from 2010-2018. Controls were diagnosed CML patients without additional cytogenetic abnormalities. SPSS was used to analyze the data, chi-square and independent sample t- test were applied to observe the association. Kaplan -Meier was used to observe the survival outcomes. At follow up, after the initiation of treatment, there was no differences in cases and controls with respect to the hemoglobin, total leucocyte and platelet count. Molecular response at 06 month was similar between two groups while at 12 months there was a significant difference, where controls were found to have higher response rate. Survival outcomes were also found comparable in cases and controls. Our findings reflect negligible difference in clinical and molecular responses between cases and controls in CML patients. Thus, performing cytogenetics at baseline might not be helpful to predict progression of disease and treatment outcome.

**Keywords:** Additional cytogenetic abnormalities, case-control, Chronic myeloid leukemia, Pakistan

## Introduction

Chronic Myeloid Leukemia (CML) is a myeloproliferative neoplasm distinguished by the existence of Philadelphia chromosome (Ph) resulting from a translocation between chromosome 9 and 22, i.e. t(9;22)(q34;q11)<sup>1</sup>. The translocation causes the formation of a chimeric oncogene, breakpoint cluster region-Abelson leukemia virus oncogene (BCR-ABL) encoding the p210BCR-ABL<sup>2</sup>. Regardless of the distinguished cytogenetic and molecular features harbored by CML; the patients have a diverse clinical presentation, treatment responses, and survival<sup>3</sup>. Moreover, the heterogeneous characteristics of the disease are also evident at cytogenetic and molecular levels<sup>4,5</sup>. Depending upon the different breakpoints of the BCR gene, most CML cases possess a fusion oncogene comprising either the b3a2 or b2a2 transcripts<sup>5</sup>. Moreover, 5% - 10% of patients have variant translocations in which at least a third chromosome is involved in the rearrangement<sup>6</sup> addressed as additional cytogenetic abnormalities (ACA). The presence of these abnormalities is responsible for the prediction of adverse prognosis, disease progression, poor overall survival, and treatment outcome with conventional therapy being reported widely in the blast and accelerated phase as compared to the chronic phase<sup>7</sup>. The most common ACAs

include trisomy<sup>8</sup>, a second Ph chromosome, isochromosome (17)(q10), 1der(22) which are considered as “major route changes,” . However, the infrequent chromosomal aberrations such as trisomy 21, t(3;12), t(4;6), t(2;16), and t(1;21) are designated as minor ACAs<sup>8</sup>. The major route abnormalities have auxiliary negative prognosis as compared to minor route abnormalities<sup>9</sup> and previous studies have reported a negative prognostic impact on treatment response and survival of patients particularly in patients who are treated by first-line tyrosine kinase inhibitors (TKI). However, the findings are conflicting and ambiguous<sup>7,8,10</sup> and it might be due to the heterogeneous collection of cytogenetic abnormalities<sup>14</sup>. However, according to the ELN recommendation 2013, it was proposed that it is not essential to perform cytogenetics at baseline. On contrary, it is also emphasized to conduct cytogenetic analysis until the achievement of complete cytogenetic response (CCyR) and major molecular response(MMR)<sup>11,12</sup>. European society of medical oncology (ESMO) guidelines also suggest performing the cytogenetics at 3 and 6 months and every 06 months subsequently until the achievement of complete cytogenetic response<sup>13</sup>.

Majority of studies in the literature have discussed significance of assessment of cytogenetics during the treatment to rule out progression of the disease and to initiate a dose adjustment of TKI. The existing guidelines reflect the international literature while local data is diminished and in fact none of the guidelines has been developed for developing countries so far. In this context, we aimed to conduct a case control study in which we recruited patients with additional cytogenetic abnormalities as cases and compared the clinical and molecular responses with control group in order to identify the rationale of conducting cytogenetic at baseline which is costly and putting more burden on patients when it is a prerequisite to perform BCR-ABL by PCR at baseline as per the ELN recommendations.

## Materials and Methods

This study was approved by Institutional Review Board (IRB) in March 2018 and the data was recruited from the patients who visited the National Institute of Blood Diseases and Bone Marrow Transplant Karachi Pakistan during May 2010- September 2018. In this case control study, 18 CML cases with ACA were recruited retrospectively and for each case, 02 age, sex, and Sokal score matched controls without ACA were enrolled. Baseline cytogenetic analysis was performed overnight, 24-hrs unstimulated, and 72-hrs stimulated bone marrow cultures using standard procedures. The GTG (G-bands via trypsin using Giemsa) banding technique was applied, karyotypes were described according to the International System for Human Cytogenetic Nomenclature (ISCN) 2013, karyogram was made using Metasystem®. BCR-ABL1 by real-time quantitative PCR (RT-qPCR) was done by QIAGEN kits on Rotor-Gene Q 5plex HRM instrument with 72-tubes rotor, performed on peripheral blood and bone marrow. Response to treatment was assessed according to ELN recommendations 2013<sup>12</sup>. Informed consent was obtained from all the participants included in the study. Statistical Package for Social Sciences (SPSS) version 23.0 was used to analyze the data. Descriptive and inferential statistics including chi-square and independent t-test was applied to observe the association. P-value  $\leq 0.05$  was considered significant. Statistically significant differences in complete hematological response (CHR) at 03 months and molecular response at 06, 12, and at the end of study between cases and control were assessed by chi-square test. Differences in hemoglobin (Hb), total leucocyte count (TLC), and platelet counts at baseline and at the end of the study between cases and controls were assessed by independent t-test. Progression-Free Survival (PFS) and Overall Survival (OS) were estimated by the Kaplan–Meier method. Progression-free survival was calculated from the first dose of TKI to the first documentation of disease progression into accelerated or blast phase and OS was calculated from the first dose of TKI to the date of death or last follow-up.

## Results

Fifty-four participants were included in the study. Of these, 18 were diagnosed cases of CML having ACA, and 36 were taken as matched controls. Baseline hemoglobin (P-value 0.293), TLC (P-value 0.607), and platelet counts (P-value 0.698) were the same in both groups and were found to be non-significant. The complete hematological response was assessed at 03 months post-treatment and it was found that control group was greater in number achieving CHR than cases (P-value 0.016). However, at the study's end, the normalization of Hb (P-value 0.076), TLC (P-value 0.292), and platelet counts (P-value 0.655) were same in both groups. Fifteen cases were evaluable for molecular response and survival outcome analysis for which 30 best matched controls were selected. It was found that achievement of molecular response at 06 months was similar in both groups. However, at 12 months controls were greater in number than cases in molecular response achievement. At the study's end as per ELN recommendations, both the groups had a similar molecular responses. (Table 01). Estimated PFS and OS for cases and controls were 80% and 96 % and 87% and 90% respectively. (Table 02, Figure 01 & 02). Treatment response and survival of ACA cases along with compare and contrast with international studies is depicted in Table 03.<sup>1,8,7,14-19</sup>

**Table : 01 Molecular Response Between Cases And Controls**

	Molecular Response Achieve (n)	Molecular Response Not achieved (n)	P-Value
at 06 months			
Cases	06	09	0.399
Control	16	14	
12 months			
Cases	8	07	0.032
Control	25	05	
at the study's end			
Cases	08	07	0.111
Control	23	07	

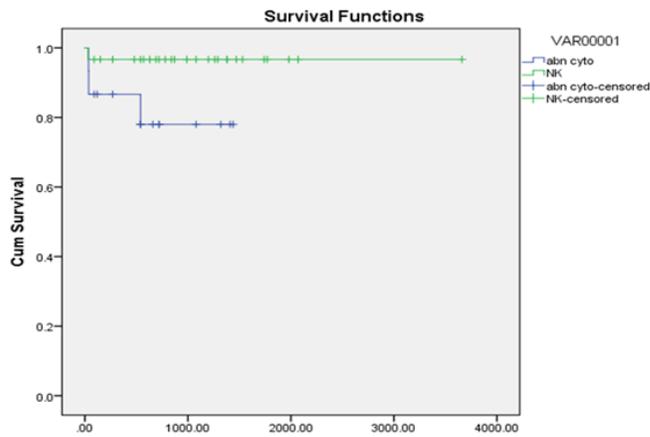
**Table : 02 Progression Free Survival and Overall Survival of Cases and Controls**

	Groups	Total number of cases and control	Number of Events	PFS /OS (%)	95% Confidence Interval		Log Rank (Mantel-Cox) P-value
					Lower Bound	Upper Bound	
Progression Free Survival (PFS)	Cases	15	03	80	906.224	1443.376	0.066
	Control	30	01	96	3305.826	3772.174	
Overall Survival (OS)	Cases	15	02	87	1029.933	1489.622	0.597
	Control	30	03	90	2912.171	3685.132	

**Table: 03 Treatment response and survival of ACA cases: Compare and contrast with international studies<sup>1,7,8,14-19</sup>.**

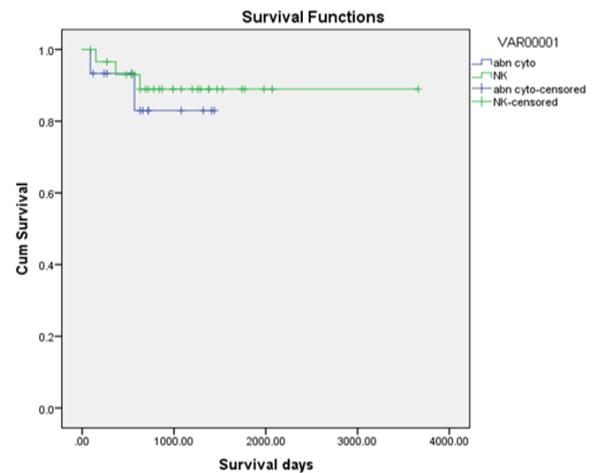
Author, Country	Year of publish	Hematological Response	Molecular Response	Progression Free Survival	Overall Survival
Present Study Anwar et al.	2019	3months: significant Overall: non significant	Overall: Non significant 12 months: significant	Non significant	Non significant
Chandran et al India <sup>14</sup>	2019	Non significant	Non significant	Non significant	Non significant
Safaei et al Iran <sup>15</sup>	2018	Not assessed	significant	Not assessed	significant
Millot, et al France <sup>16</sup>	2017	Not assessed	Non significant	Non significant	Non significant
Alhurairi et al USA <sup>7</sup>	2017	Non significant	Non significant	Non significant	Non significant
Savasoglu et al Turkey <sup>17</sup>	2016	Not assessed	Non significant	Not assessed	Non significant
Crisan et al Romania <sup>18</sup>	2015	Not assessed	10/11 achieved CCyR	Non significant	Non significant
Aissata et al Cote d'Ivoire <sup>19</sup>	2013	59% patients achieved CHR	Major Cytogenetic response in 52% patients , MMR in 3% patients	Not assessed	Not assessed
Luatti et al Italy <sup>8</sup>	2012	Non significant	12 Non significant Overall significant	Non significant	Non significant
Hsiao et al Taiwan <sup>1</sup>	2011	Non significant †	Not assessed	significant	Non significant †

*Non significant: results in patients with and without ACAs were same, † evaluated in patients in chronic phase only*



**Figure: 01 Progression Free Survival in Cases and Controls**

**NK= Normal Karyotypyr**  
**abn cyto= Abnormal cytogenetics**



**Figure: 02 Overall survival in Cases and Controls**

**NK= Normal Karyotype**  
**abn cyto= Abnormal cytogenetics**

## DISCUSSION:

Additional chromosomal abnormalities in CML at baseline and during treatment is a well-known phenomenon. There are some suggested mechanisms but the exact pathogenesis and underlying biology remain unclear and the adverse impact conferred by its presence has been controversial in the literature<sup>19,20</sup>. In our study we investigated the difference in post-treatment hematological and molecular response in patients with and without ACA in order to omit cytogenetic analysis at baseline at least in old age and non-affordable patients. ELN recommendations consider the presence of ACA at diagnosis as a warning feature requiring close monitoring<sup>12</sup> particularly the major-route abnormalities<sup>16</sup> and this is in concordance with previous studies reporting low response rate and overall inferior survival of patients compared to those without ACA<sup>7,8, 10</sup>. On the contrary some studies reported that ACA at diagnosis could not be considered an adverse prognostic factor in the chronic phase under first-line TKI treatment and the type of abnormality at baseline have a minimal role on the outcome, although the highest risk abnormalities (i.e., abnormalities in chromosomes 3 and i17q) are rarely if ever detected at diagnosis<sup>7, 16</sup>.

In our study when we assessed hematological response, the normalization of Hb, TLC, and platelets were identical in cases and controls but majority of patients in control group achieved CHR at 03 months as compared to cases. However, Alhuraji et al found similar median time of achievement of CHR in patients with and without ACAs<sup>7</sup>. Other regional and international studies also did not find significant difference in CHR between cases and controls as depicted in Table :021, 7,8, 14. One of the reasons in our cases for not achieving early CHR could be the TLC outlier readings of 02 of the patients. One of them was non compliant and other patients had complex karyotype at baseline as some studies have reported an adverse outcome of patients having complex karyotype<sup>9</sup>. In molecular response assessment it was found that patients who were having ACA had similar overall response as that of control in the study. At 06 months, the attainment of response was similar between cases and controls whereas another study reported a higher response rate at 06 months in patients without ACA<sup>7</sup>. Also the reverse pattern of response was observed at 12 months and we found higher rate of response at 12 months in controls whereas the study by Alhuraji et al<sup>7</sup> reported no significant difference between the two groups. Studies were done in France, USA, Romania, Turkey, Italy, and India also reported non significant difference in molecular response amongst patients with and without ACA<sup>7,8,14,16-18</sup>. Molecular response at the end of the study was also similar in patients with and without ACA in our study which is in concordance with the findings reported in literature<sup>7</sup>. Overall, there was no difference in OS and PFS in the current study between the cases and controls and it is also in

concordance with other studies<sup>7,8,14,16-18</sup>. It is reported in the literature that major route abnormalities are associated with early progression to advanced phase and a German study stated that PFS and OS were shorter than in patients with standard t (9; 22)<sup>9, 18</sup>. However, in our study patients who were progressed to advanced phase had minor, major, and complex ACA. In our cases, out of 15, 02 patients died and they were detected with minor and complex ACA at diagnosis. Hence the association of having major route abnormality and mortality that has been discussed in literature is unlike in our study and it is supported by another study done in Turkey in which they did not find statistical correlation between patients with major and complex ACA and without ACA<sup>17</sup>. In this study they also investigated the association of BCR-ABL kinase domain (KD) mutations and ACA and didn't find a significant association<sup>17</sup>.

In our study, we didn't identify ACA in patients during treatment. One of the studies emphasized that the detection of ACAs during the TKI treatment is a warning sign of disease progression and intermittent cytogenetic monitoring is mandatory as it is considered to be a form of treatment failure<sup>18</sup>. However some other studies did not describe the significant contribution of cytogenetic analysis in patients with molecular failures and also its impact on the course of the disease<sup>12</sup>.

Cytogenetics as compared to RT-qPCR have low sensitivity due to the low number of analyzed metaphases, the need for a bone marrow aspiration makes it a painful procedure for the patients<sup>11,20</sup> particularly in the old age group. The option to exclude routine cytogenetic monitoring may not only prevent uncertain classifications complicating the analysis of response assessment<sup>11</sup> but may also reduce the additional burden on non affordable patients in terms of cost when it is a prerequisite to get BCR-ABL done for disease diagnosis and monitoring. Thus it is still a matter of debate and we cannot predict the treatment outcome solely on the basis of presence of ACA and it could be questionable whether cytogenetic evaluation at diagnosis carries value If regular molecular monitoring is available. Cytogenetic assessment could however be worth performing in instances where a molecular warning or failure response is obtained.

Limitations of our study were that we didn't look for TKI mutation analysis and its correlation with ACA, hence the outcome in these patients could not be predicted in our study, also the sample size is less to conclude such findings assertively although we reduced this by adding controls studies with larger sample size are needed.

## CONCLUSION:

Patients with additional cytogenetic abnormalities in our cohort showed similar hematological and molecular responses as controls. In developing countries with limited resources, financial constraints and scarce medical facilities, omission of cytogenetic analysis at baseline when molecular level tests are available may be considered except in instance where molecular warning or failure occurred. However, further prospective studies with a large sample size are needed in this regard.

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